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#### Description

#### 1. Field of the Invention

The present invention relates to a nove nonlinear optical material having an increased second harmonic generating ability and usable for an optical switch an optical memory in an optical data information processing or optical communication system, or an optical bistable element to be used in optical signal operation. Further, it pertains to a nove nonlinear optical crystalline material containing a salt obtained by the reaction of a conjugated aromatic carboxylic abid compound with an optically active amine.

#### 2. Description of the Related Art

The nonlinear optical effect refers to, for example, a secondary or higher effect of the magnitude of an applied electric field exhibited by the relationship of an electric polarizing response of a substance, which is only primarily proportional to the magnitude of the applied electric field, when, for example, a strong photoelectric field such as a laser beam is applied to the substance.

A secondary nonlinear optical effect may include a second harmonic generation of converting the wavelength of an incident light to a 1/2 wavelength, a parametric oscillation which converts a light with one kind of wavelength into a light with two kinds of wavelengths, and a secondary light mixing which on the contrary generates a light with one kind of wavelength from a light with two kinds of wavelengths. Due to these various characteristics, materials having a nonlinear optical effect will be for use as an optical switch, optical memory in optical data/information processing, or such elements as optical bistable element, optical switch, etc. to be used in optical signal operations.

Generally speaking, in this field of the art, inorganic materials, primarily  $LiNbO_3$ , have been studied and investigated, but inorganic materials had a drawback such that great difficulty is encountered in forming a desired optical element, because of such shortcomings as their performance indices which are not so great, small response speed, no good form workability, great hygroscopicity, low stability, etc.

In recent years, in contrast to these inorganic materials, researchers are becoming more interested in application of organic materials. This is because the response of organic materials is based primarily on the  $\pi$  electron polarization, whereby the nonlinear effect is great, and also the response speed is great, as confirmed and reported in the art. For example, a large number of studies are reported in the ACS Symposium Series Vol. 233, 1983. The secondary nonlinear optical characteristics to be dealt with in the present invention, which is a third rank tensor, cannot be evoked if a symmetric center exists in the molecular or the crystal. For this reason, in the case of organic materials, even when they may have a structure exhibiting an excellent nonlinear effect at molecular level, they must be formed into crystals or solid state for using the second harmonic generation as the practical mode. However, at such stage of solidification, an inversion symmetrical structure will be frequently formed preferentially, whereby there has been involved the problem that a nonlinear optical effect as an optical element can not be exhibited.

# 40 SUMMARY OF THE INVENTION

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Accordingly, an object of the present invention is to solve the above-mentioned problems of the prior art and to provide an organic crystalline compound for various nonlinear optical elements, and having an increased second harmonic generating ability, a high molecular polarizing ability, and having no inversion symmetry.

Another object of the present invention is to provide an organic crystalline compound having excellent second harmonic generating ability required for a material for forming various optical signal processing elements.

Other objects and advantages of the present invention will be apparent from the following description.

In accordance with the present invention, there is provided an organic nonlinear optical substance having the formula (I):

$$A \leftarrow CR^{1} = CH \rightarrow CH = C - C - B$$

$$CN = CN = C$$

whereir R° represents -H or -Ohs:  $\frac{r}{r} \approx 0.1. \text{ or } 2$   $\frac{r}{A} \approx 0.1. \text{ or } 2$   $\frac{z^2}{A} \approx \frac{z^2}{z^4} \text{ Ar-, } R^2 \text{ , or } (z^5) = \frac{c}{z^6}$   $\frac{z^4}{z^4} = \frac{c}{z^6} = \frac{$ 

wherein Ar represents a 6 - 14 membered aromatic group including a heterocyclic ring or bisphenylene type ring; Z¹ represents H-, R⁵ R6 N-, R² O-, R⁵ S-, NC-, R⁵ OCO-, R¹ COO-, O; N-, R¹ R¹ R¹ NOC-, R¹ SCO(R¹²)- N-, or R¹ S-; Z², Z³, and Z⁴ independently represent H-, a C--C₂ alkyl-, R¹ O-, R¹ R¹ N-, R¹ S-, O₂ N-, or two R¹ being, in combination, R² CH R1 R² represents H- or a C--C₁₂ alkyl-, R⁵ to R² independently represent H-, or a C₁-C₁₂ hydrocarbon residue; Z⁵ independently represents H-, a C--C₂ saturated hydrocarbon residue, O₂ N-, R² O-, R² NC-, or R² R² N-, wherein R² to R² independently represent H or a C₁-C₂ saturated by hydrocarbon residue X represents -S-, -O-, or NR² is 0 or an integer of 1 to 3; and R² represents H or a hydrocarbon group having 1 to 8 carbon atoms;

B represents -OH+Amine where Amine represents an optically active amine -NR<sup>4</sup>Y where R<sup>4</sup> represents -H or a single bond; Y represents -(CH<sub>2</sub>)<sub>p</sub> CQ<sup>1</sup>Q<sup>2</sup>Q<sup>3</sup> where p is 0 or 1; Q<sup>1</sup>, Q<sup>2</sup>, and Q<sup>3</sup> are different and represent -H, a C<sub>1</sub>-C<sub>5</sub> alkyl, phenyl, naphthyl, -OH, -CH<sub>2</sub>OH, -COOH<sup>25</sup>, -CNR<sup>26</sup>R<sup>27</sup>, a residue of an α-amino acid from which an amino group is removed, where R<sup>25</sup> to R<sup>27</sup> independently represent -H or -C<sub>1</sub>-C<sub>5</sub> hydrocarbon residue, or Y represents -CQ<sup>4</sup>Q<sup>5</sup>Q<sup>5</sup> where Q<sup>4</sup>, Q<sup>5</sup> and Q<sup>6</sup> are different and Q<sup>4</sup> and Q<sup>5</sup> are as defined for Q<sup>1</sup>, Q<sup>2</sup> and Q<sup>3</sup> and Q<sup>6</sup> represents

$$-(CH_2)_{\overline{q}}$$

of which one bond is linked to the bond of  $R^4$  where  $\underline{q}$  is an integer of 1 to 4.

# DESCRIPTION OF THE PREFERRED EMBODIMENTS

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Generally speaking, the second harmonic generating ability is greater in a longer conjugated system, in which polarization within the molecular is greater and also contribution of the polarization is greater, but with elongation of the conjugated length, the absorption maximum is shifted toward the longer wavelength side, whereby correspondence to a 1/2 wavelength of the incident light may occur. In this case, optical damage 40 may occur which absorbs the second harmonic generated and changes the refractive index, chemical denaturation, or combustion by an absorption of heat energy. Accordingly, it is frequently disadvantageous to simply elongate the conjugated length. For example, a compound increased in molecular polarization due to an insertion of a carboxyl group represented by the formula (I) shown below, a group having a high electron attractability such as a cyano group, and further various substituents into benzene nucleus may be expected to have large nonlinearity as the result of shifting effect of electron arrangement within the ring, but practically has a structure with an inversion asymmetry center due to a large molecular polarization, whereby frequently a generation of the second harmonic can not be observed. Generally speaking, it is a difficult technique to control the crystalline structure, particularly to form a crystal form which will collapse the symmetry center. Accordingly, while an excellent nonlinear susceptibility may be expected to be 50 possessed at molecular level, most examples prove to be no longer effective as the second harmonic generating material. As the result of intensive studies, as shown in the present invention, by use of an optically active amine as the basic substance to introduce its optically active asymmetric structure thereof as the carboxylic acid salt, and consequently a structure without an inversion asymmetry center can be prepared to accomplish the present invention. As a consequence, the great nonlinear susceptibility at 55 molecular level can be exhibited as such as the crystal structure, and this may be considered to contribute greatly to applications in this field of the art.

To enhance the nonlinear optical effect, it is necessary to have a large dipole within one molecular structure, and for this purpose, the general formula has cyano group, carboxyl group permitted to exist on

the same carbon atom. Also for the molecular polarizations to interfere with each other, it is desirable that a conjugated system exists but an elongation of the conjugated length will result in an elongation of the absorption maximum to the longer wavelength side whereby there is the possibility that the damage may be formed due to the incident light wavelength or the second harmonic wavelength. For this reason, the conjugated length should not be too long.

From the above-mentioned standboints, it has been found that  $\alpha$ -dyanoactylic acid derivatives having a conjugated group are effective as an acid moiety having a conjugated system. Furthermore, the compounds having the formula (f) including the obtically active amine salts or amides are effective for providing asymmetry center as mentioned above.

According to a first embodiment of the present invention, the organic nonlinear optical substance has the general formula (I) wherein R' represents H, Z' represents H, R<sup>E</sup>R<sup>E</sup>N-, R<sup>E</sup>O-, R<sup>E</sup>S-, NC-, R<sup>E</sup>OCG-, O<sub>2</sub>N-, R<sup>E</sup>COO-, R<sup>E</sup>R<sup>E</sup>NOC-, R<sup>E</sup>CO(R<sup>E</sup>N-, or R<sup>E</sup>-, Ar represents an aromatic group having 5 to 14 carbon atoms, and B represents -OH-Amine' wherein Amine' represents an optically active amine selected from the group consisting of 1-phenylethylamine, 1-(α-naphthyl)ethylamine, 1-phenyl-2-methylethylamine, 1-phenyl-2-aminopropane, and brucine

These amines are strong bases and, therefore, easily react with carboxylic acids to form stable salts in any conventional method.

The optically active amines may be dextrorotatory or levorotatory. Formation of the salt may be carried out by conventional neutralization reaction, which may be in either state of a solution or a solid phase.

For maintaining the purity of the optical activity, it is not preferable to carry out the reaction at too high a temperature, but it is desirable to contrive to inhibit heat generation during salt formation. Salt formation will frequently give a product which differs in solubility to a great extent from the starting material, and therefore existence of salt formation can be easily confirmed and its purification can be also easily done.

The optically active amine salt of the carboxylic acid thus obtained assumes a crystalline state, having excellent moldability, and can be formed into various elements under the crystalline form as such or as a solid solution, which can be then applied to the nonlinear optical application field.

The organic nonlinear optical substances according to the first embodiment of the present invention have an extremely large second harmonic ability. The carboxylic acid moiety of this compound can be preferably derived from the caboxylic acids having the structure (II)

$$z^{1} - A_{r} - (CH = CH) - CH = C - COOH (II)$$

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Examples of such carboxylic acid may include: substituted phenyl 2-cyanopropenoic acid derivatives such as 3-phenyl-2-cyanopropenoic acid, 3-(p-dimethylaminophenyl)-2-cyanopropenoic acid, 3-(p-diethylaminophenyl)-2-cyanopropenoic acid, 3-(p-diphylaminophenyl)-2-cyanopropenoic acid, 3-(p-diphylaminophenyl)-2-cyanopropenoic acid, 3-(p-monomethylaminophenyl)-2-cyanopropenoic acid, 3-(p-monoethylaminophenyl)-2-cyanopropenoic acid, 3-(p-monoethylaminophenyl)-2-cyanopropenoic acid, and the m- or o- substituted derivatives;

3-(p-methyloxyphenyl)-2-cyanopropenoic acid, 3-(p-ethyloxyphenyl)-2-cyanopropenoic acid, 3-(p-propyloxyphenyl)-2-cyanopropenoic acid, 3-(p-butyloxyphenyl)-2-cyanopropenoic acid, 3-(p-pentyloxyphenyl)-2-cyanopropenoic acid, 3-(p-n-hexyloxyphenyl)-2-cyanopropenoic acid, 3-(p-decanoxyphenyl)-2-cyanopropenoic acid, and the m-or o- substituted derivatives;

3-(p-methylthiophenyl)-2-cyanopropenoic acid, 3-(p-ethylthiophenyl)-2-cyanopropenoic acid, 3-(p-propylthiophenyl)-2-cyanopropenoic acid, 3-(p-butylthiophenyl)-2-cyanopropenoic acid, 3-(p-pentylthiophenyl)-2-cyanopropenoic acid, 3-(p-n-hexylthiophenyl)-2-cyanopropenoic acid, 3-(p-decanethiophenyl)-2-cyanopropenoic acid, and the m-or o- substituted derivatives;

3-(p-cyanophenyl)-2-cyanopropenoic acid, 3-(m-cyanophenyl)-2-cyanopropenoic acid, 3-(o-cyanophenyl)-2-cyanopropenoic acid, 3-(p-ethyloxycarbonylphenyl)-2-cyanopropenoic acid, 3-(p-ethyloxycarbonylphenyl)-2-cyanopropenoic acid, 3-(p-propyloxycarbonylphenyl)-2-cyanopropenoic acid, and the mor or o-substituted derivatives:

3-(p-acetyloxyphenyl)-2-cyanopropenoic acid, 3-(p-propionyloxyphenyl)-2-cyanopropenoic acid, 3-(p-butanoylphenyl)-2-cyanopropenoic acid, and the m-or o- substituted derivatives;

3-(p-nitrophenyl)-2-cyanopropenoic acid, 3-(m-nitrophenyl)-2-cyanopropenoic acid, 3-(p-nitrophenyl)-2-cyanopropenoic acid, 3-(p-dimethylamidophenyl)-2-cyanopropenoic acid, 3-(p-diethylamidophenyl)-2-cyanopropenoic acid, 3-(p-dibutylamidophenyl)-2-cyanopropenoic acid, 3-(p-dibutylamidophenylamidophenylamidophenylamidophenylamidophenylamidophenylamidophenylamidophenylamidophenylamidophenylamidophenylamidophenylamidophenylamidophenylamidophenylamidophenylamidop

cyanoproperioic acid, and the millor or or substituted derivatives.

3-(chacetylaminophenyin-2-cyanopropenoic acid 3-(chacetylaminophenyin-2-cyanopropenoid acid and the m- or chaubstituted derivatives.

S-(c-methylphenyl)-2-dyanopropendic adid S-(c-ethylphenyl)-2-dyanopropendic adid S-(c-propylethylphenyl)-2-dyanopropendic adid S-(c-butylphenyl)-2-dyanopropendic adid. S-(c-pentylphenyl)-2-dyanopropendic adid. S-(c-decanephenyl)-2-dyanopropendic adid.

Substituted phenyl 2-dyand-2 4-pentadienoic acid derivatives such as:

2-byanc-5-phenyi-2.4-pentadienoic acid 2-cyanc-5-(p-dimethylaminophenyi-2.4-pentadienoic acid 2-cyanc-5-(p-dipropylaminophenyi-2.4-pentadienoic acid 2-cyanc-5-(p-dipropylaminophenyi-2.4-pentadienoic acid 2-cyanc-5-(p-dipropylaminophenyi-2.4-pentadienoic acid 2-cyanc-5-(p-monomethylaminophenyi)-2.4-pentadienoic acid and the m- or o- substituted derivatives:

2-cyano-5-(p-methyloxyphenyl)-2.4-pentadienoic acid. 2-cyano-5-(p-ethyloxyphenyl)-2.4-pentadienoic acid. 2-cyano-5-(p-butyloxyphenyl)-2.4-pentadienoic acid. 2-cyano-5-(p-butyloxyphenyl)-2,4-pentadienoic acid. acid. and m-, o- substituted perivatives thereof.

2-cyano-5-(p-methylthiophenyl)-2,4-pentadienoic acid, 2-cyano-5-(p-ethylthiophenyl)-2,4-pentadienoic acid, 2-cyano-5-(p-butylthiophenyl)-2,4-pentadienoic acid, and m-, o- substituted derivatives thereof;

5-(p-cyanophenyl)-2,4-pentadienoic acid, and m-, o- substituted derivatives thereof;

2-cyano-5-(p-methyloxycarbonylphenyl)-2,4-pentadienoic acid, 2-cyano-5-(p-ethyloxycarbonylphenyl)-2,4-pentadienoic acid, 2-cyano-5-(p-propyloxycarbonylphenyl)-2,4-pentadienoic acid, 2-cyano-5-(p-butyloxycarbonylphenyl)-2,4-pentadienoic acid, and m-, o- substituted derivatives thereof;

2-cyano-5-(p-acetyloxyphenyl)-2,4-pentadienoic acid, 2-cyano-5-(p-propionyloxyphenyl)-2,4-pentadienoic acid, and m-, o- substituted derivatives thereof;

2-cyano-5-(p-nitrophenyl)-2.4-pentadienoic acid, and m-, o- substituted derivatives thereof;

2-cyano-5-(p-dimethylamidophenyl)-2,4-pentadienoic acid, 2-cyano-5-(p-diethylamidophenyl)-2,4-pentadienoic acid,

2-cyano-5-(p-dipropylamidophenyl)-2,4-pentadienoic acid, 2-cyano-5-(p-dibutylamidophenyl)-2,4-pentadienoic acid, 2-cyano-5-(p-amidophenyl)-2,4-pentadienoic acid, 2-cyano-5-(p-amidophenyl)-2,4-pentadienoic acid, and π-, σ- substituted derivatives thereof;

2-cyano-5-(p-acetylaminophenyl)-2,4-pentadienoic acid, 2-cyano-5-(p-propionylaminophenyl)-2,4-pentadienoic acid and m-, o- substituted derivatives thereof;

2-cyano-5-(p-methylphenyl)-2,4-pentadienoic acid, 2-cyano-5-(p-ethylphenyl)-2,4-pentadienoic acid, 2-cyano-5-(p-butylphenyl)-2,4-pentadienoic acid, 2-cyano-5-(p-butylphenyl)-2,4-pentadienoic acid and m-, o-substituted derivatives thereof;

Substituted phenyl 2-cyano-2,4,6-heptatrienoic acid derivatives such as:

2-cyano-7-phenyl-2,4,6-heptatrienoic acid. 2-cyano-7-(p-dimethylaminophenyl)-2,4,6-heptatrienoic acid,

2-cyano-7-(p-diethylaminophenyl)-2,4,6-heptatrienoic acid, 2-cyano-7-(p-dipropylaminophenyl)-2,4,6-heptatrienoic acid,

2-cyano-7-(p-dibutylaminophenyl)-2,4, 6-heptatrienoic acid, 2-cyano-7-(p-aminophenyl)-2,4,6-heptatrienoic acid, 2-cyano-7-(p-monomethylaminophenyl)-2,4,6-heptatrienoic acid, and m-, o- substituted derivatives thereof;

2-cyano-7-(p-methyloxyphenyl)-2,4,6-heptatrienoic acid, 2-cyano-7-(p-ethyloxyphenyl)-2,4,6-heptatrienoic acid, 2-cyano-7-(p-butyloxyphenyl)-2,4,6-heptatrienoic acid, 2-cyano-7-(p-butyloxyphenyl)-2,4,6-heptatrienoic acid, and m-, o- substituted derivatives thereof;

2-cyano-7-(p-methylthiophenyl)-2,4,6-heptatrienoic acid, 2-cyano-7-(p-ethylthiophenyl)-2,4,6-heptatrienoic acid,

2-cyano-7-(p-propylthiophenyl)-2,4,6-heptatrienoic acid, 2-cyano-7-(p-butylthiophenyl)-2,4,6-heptatrienoic acid, and m- c- substituted derivatives thereof;

2-cyano-7-(p-cyanophenyli-2.4,6-heptatrienoic acid, and m-, o- substituted derivatives thereof;

2-cyane-7-(p-methyloxycarbonylphenyl)-2.4.6-neptatrienoic acid,

2-cyanc-7-(p-ethyloxycarbonylphenyl)-2,4.6-heptatrienoic acid. 2-cyanc-7-(p-propyloxycarbonylphenyl)-2,4.6-heptatrienoic acid. 2-cyanc-7-(p-butyloxycarbonylphenyl)-2,4,6-heptatrienoic acid. and m- or o- substituted derivatives;

2-cyano-7-(p-acetyloxyphenyl)-2,4,6-heptatrienoic acid, 2-cyano-7-(p-propionyloxyphenyl)-2,4,6-heptatrienoic acid,

$$z^{2}$$

$$z^{3} - Ar \leftarrow CH = CH \rightarrow CH = C - COOH \qquad (III)$$

$$z^{4} = C - COOH \qquad (III)$$

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Examples of such parboxyfic acid include 3-(3.4-dimethoxyphenyli-2-dyanopropendic acid 3-(3.4-diethoxyphenyli-2-cyanopropenoic acid 3-/3 4-dipropyle-yphenyli-1-cyanopropenoic acid, 3-(2.4-dimethoxypnenyl)-2-cyanopropenoic acid 3-(2.4-diethoxyphenyl)-2-cyanopropenoic acid, 3-(2.4-dipropyloxyphenyl)-2-cyanopropenoic acid. 3-(3.4-dimethylthiophenyl-2-cyanopropenoic acid. 3-(3.4-diethylthiophenyl)2cyanopropenoic acid, 3-(3.4-dipropylthiophenyl)-2-cyanopropenoic acid, 3-(2.4-dimethylthiophenyl)-2-3-(2,4-dipropylthiophenyl)-2acid, 3-(2 4-diethoxyphenyl)-2-cyanopropenoid acid, cyanopropenoic cyanopropenoic acid, 3-(3,4-dimetnylaminophenyl)-2-cyanopropenoic acid, 3-(3,4-diethylaminophenyl)-2ts cyanc-1-propenoic acid, 3-(3.4-dipropylaminophenyl)-2-cyanopropenoic acid, 3-(2.4-dimethylphenyl)-2cyanopropenoic acid, 3-(2,4-diethylaminophenyl)-2-cyanopropenoic acid, 3-(2,4-dipropylaminophenyl)-2cyanopropenoic acid, 3-(3,4-dinitrophenyl)-2-cyanopropenoic acid, 3-(2,4-dinitrophenyl)-2-cyanopropenoic acid, 5-(3.4-dimethoxyphenyl)-2-cyano-2.4-pentadienoic acid, 5-(3.4-diethoxyphenyl)-2-cyano-2.4-pentadienoic acid, 5-(3.4-dipropyloxyphenyl)-2-cyanc-2 4-pentadienoic acid. 5-(2,4-(dimethylthiophenyl)-2cyano-2,4-pentadienoic acid, 5-(2,4-diethylthiophenyl)-2-cyano-2,4-pentadienoic acid, 5-(2,4-dipropylthiophenyl)-2-cyanc-2,4-pentadienoic acid. 5-(3,4-dimethylthiophenyl)-2-cyanc-2,4-pentadienoic acid, 5-(3,4-dimethylthiophenyl)-2-cyanc-2,4-pentadienoic acid. diethylthiophenyl)-2-cyano-2,4-pentadienoic acid, 5-(3,4-dipropylthiophenyl)-2-cyano-2,4-pentadienoic acid, 5-(2,4-dimethylthiophenyl)-2-cyano-2,4-pentadienoic acid, 5-(2,4-diethylthiophenyl)-2-cyano-2,4-pentadienoic 5-(2,4-dipropylthiophenyl)-2-cyano-2,4-pentadienoic acid, 5-(3,4-dinitrophenyl)-2-cyano-2,4-pentadienoic acid, 5-(2,4-dinitrophenyl)-2-cyano-2,4-pentadienoic acid, 7-(3,4-dimethoxyphenyl)-2-cyano-2,4,6hexatrienoic acid, 7-(3.4-diethoxyphenyl)-2-cyano-2,4,6- hexatrienoic acid, 7-(3.4-dipropyloxyphenyl)-2cyano-2,4.6-hexatrienoic acid, 7-(2,4-dimethylthiophenyl)-2-cyano-2,4,6-hexatrienoic acid, 7-(2,4-diethylthiophenyl)-2-cyano-2.4,6-hexatrienoic acid, 7-(2,4-dipropylthiophenyl)-2-cyano-2,4,6-hexatrienoic acid, 7-(3,4-dimethylthiophenyl)-2-cyano-2,4,6-hexatrienoic acid, 7-(3,4-diethylthiophenyl)-2-cyano-2,4,6-hexatrienoic acid, 6-(3,4-dipropylthiophenyl)-2-cyano-2,4,6-hexa:rienoic acid, 6-(3,4-dinitrophenyl)-2-cyano-2,4,6-hexa atrienoic acid, 7-(2,4-dinitrophenyl)-2-cyano-2,4,6-hexatrienoic acid and the like. The mutual position of the double bonds of these conjugated carboxylic acids should be preferably trans-form, to obtain a stable structure and also for exhibiting the nonlinear optical effect but is not limited thereto.

The organic substance of the present invention is obtained as a solid with a crystalline structure not having an inversion symmetrical center according to the constitution as described above, and an excellent nonlinear susceptibility at the molecular level is exhibited as such also in the crystalline structure, thus exhibiting an excellent nonlinear optical effect such as a generation of the second harmonic at a high level, and therefore, this substance can be utilized for forming an optical signal processing element.

According to a fourth embodiment of the present invention, the organic nonlinear optical substance has the general formula (I) wherein R\* represents H, A represents

$$z^{2}$$
 $z^{3}$ 
 $z^{4}$ 
Ar

wherein one of  $\mathbb{Z}^2$ ,  $\mathbb{Z}^3$  and  $\mathbb{Z}^4$  represents H- and the remainder independently represents  $C_1 \cdot C_{10}$  alkyl,  $R^{16}O_-$ ,  $R^{17}R^{16}N_-$ ,  $R^{19}S_-$ , or  $O_2N_-$ , Ar represents an aromatic group having 6 to 14 carbon atoms, and B represents a residue of an optically active amine selected from the group ethyl consisting of 1-phenylethylamine, 1-( $\alpha$ -naphthyl)ethylamine, 1-phenyl-2-methylethylamine, 1-phenyl-2-aminopropane, and

The optically active amine salt of the carboxylic acid thus obtained assumes a crystalline state, having excellent moldability, and can be formed into various elements under the crystalline form as such or as a solid solution, which can be then applied to the nonlinear optical application field. The organic nonlinear optical substances according to the first embodiment of the present invention have an extremely large second harmonic ability.

The carboxylic acid moiety of this compound can be preferably derived from the carboxylic acids having the structure (III)

According to a fifth embodiment of the present invention, the organic nonlinear optical substance has the general formula (I) whereir R1 represents H. A represents Z1Ar-, Z1 represents H-, R1R4N-, R1G-, R4S-, NC-, O<sub>2</sub>N-, R4GOO-, R19COO, R11R4NOC-, R19CO(R14)N-, or R19-, Ar represents an aromatic ring having 6 to 14 carbon atoms, and E represents -NR4Y.

The moiety -NRf Y includes, for example, α-chira substituted primary alkylamines (i.e., p=0) having 4 to 20 carbor atoms such as 1-methylperbylamine, 1-ethylpropylamine, 1-methylperbylamine, 1-phenylethylamine, 1-iu-raphthyliethylamine, and 1-(hydroxymethyl)propylamine. 2-chiral substituted primary alkylamines (i.e., p=1) having 4 to 20 carbon atoms such as 2-(methyl)butylamine, 2-methylpentylamine, 2-phenylpropylamine, 2-(α-naphthyl)propylamine, and 2-(hydroxybutylamine; prolinole; amino acid derivatives having 3 to 20 carbon atoms such as alkyl esters (e.g., methyl esters and ethyl esters) of α-amino acids, α-amino acid amides, α-amino acid anilides, or peptides derived from the same or different α-amino acids. It should be noted, however, that the presence of a carboxyl group as an acid is not preferable from the reaction standpoints. Examples of the typical optically active (either dextrorotatory or levorotatory) amino acids, usable in the present invention are alanine, leucine, isoleucine, ethionine, cysteine serine, tyrosine, tryptophan, threonine, norvaine, norieucine, valine, histidine, phenylalanine, α-phenylglycine, methionine, and proline; monoamino dicarboxylic acids and carboamides such as aspartic acid, glutamic acid, asparagine, and glutamine; and diaminomonocarboxylic acids such as arginine, lysine, ornithine, canavanine, and hydroxylvsine.

The carboxylic acid moiety of this compound can be preferably derived from the carboxylic acids having the structure (II).

According to further embodiments of the present invention, the organic nonlinear optical substances have the following general formula (I):

(i) R' represents H-, A represents Z'-Ar-, Z' represents R³ R6N-, R² O-, R³ S-, NC-, R³ OCO-, R¹° COO-, R¹° R¹ R° NOC-, R¹° CO(R¹²)N-, or R¹°, Ar represents an aromatic group having 6 to 14 carbon atoms, and B represents -OH+Amine\* wherein Amine\* represents an optically active  $\alpha$ -amino acid or the derivative thereof, which can include those as mentioned above

(ii) R1 represents H, A represents

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$$z^2$$
 $z^3$ 
 $z^4$ 
 $z^4$ 

where one of  $\mathbb{Z}^2$ ,  $\mathbb{Z}^3$ , and  $\mathbb{Z}^4$  represents H or substituted  $C_1$ - $C_8$  alkyl, the remainder of  $\mathbb{Z}^2$ ,  $\mathbb{Z}^3$  and  $\mathbb{Z}^4$  represents together methylene dioxy group wherein the dioxy groups are bonded to the adjacent positions of Ar, Ar represents an aromatic group having 6 to 14 carbon atoms, and B represents -OH+Amine\* wherein Amine\* represents an optically active amine selected from the group consisting of 1-phenylethylamine, 1-( $\alpha$ -naphthyl)ethylamine, 1-phenyl-2-methylethylamine, 1-phenyl-2-aminopropane, brucine, 1-butanol, 1-amino-2-propanol, 2-amino-1-p-opanol, 2-amino-1-(p-nitrophenyl)-1,3-propanediol, 2-dimethylamino-1-phenyi-1-benzyl-1-propanol, 1-(N,N-dimethylamino)-1-phenyl-propylamine, and a residue of an optically active  $\alpha$ -amino acid and the derivative thereof; or the above-mentioned NR<sup>4</sup> Y.

The carboxylic acids forming the organic nonlinear optical substances of this embodiment can be represented by the formula (IV):

$$z^{2}$$
0 - Ar (- CH = CH ) - CH = C - COOH (IV
 $CH_{2}$ - O

Examples of such carboxylic acids are 3-(3.4-dioxymethylenephenyl)-2-cyanopropenoic acid, 2-cyano-5-(3.4-dioxymethylenephenyl)-2,4-pentadiences acid, 2-cyano-7-(3.4-dioxymethylenephenyl)-2,4-bentatrienoic acid, 3-(3.4-dioxymethylene-6-propy/pnenyl)-2-cyanopropenoic acid, 2-cyano-5-(3,4-dioxymethylene-6-propylphenyl)-2,4-pentadienoic acid, 2-cyano-7-(3.4-dioxymethylene-6-propylphenyl)-2,4-bentadienoic acid, 2-cyano-7-(3.4-dioxymethylene-6-propylphenyl)-2,4-6-

neptatrienoic acid and piperoney derivatives

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(iii P' represents H or CH). A represents Ri where P' represents H or an alky group having 1 to 12 carbon atoms and B represents -OH+Amine' wherein Amine' represents an obtically active amine selected from the group consisting of 2-amino-1-butanol 1-amino-2-propanol 2-amino-1-propanol 2-amino-1-propanol 2-amino-1-propanol 2-dimethylamino-1-pneny-1-propanol and 1-(N,N-dimethylamino)-1-pheny-propylamine.

The carboxylic acids forming the organic nonlinear optical substances of this embodiment can be represented by the formula (V)

$$H - CH_2 \rightarrow CR' = CH \rightarrow CH = C - COOH$$
 (V)

Examples of such carboxylic acid may include 3-alkyl substituted 2-cyano propionic acid derivatives such as 3-methyl-2-cyanopropenoic acid. 3-(n-propyl)-2-cyanopropenoic acid. 3-(n-butyl)-2-cyanopropenoic acid, 3-(n-hexyl)-2-cyanopropenoic acid;

5-Alkyl substituted 2 4-pentadienoic acid derivatives such as 2-cyano-5-methyl-2,4-pentadienoic acid, 2-cyano-5-(n-propyl)-2,4-pentadienoic acid, 2-cyano-5-(n-butyl)-2,4-pentadienoic acid, 2-cyano-5-(n-pentyl)-2,4-pentadienoic acid, 2-cyano-5-(n-hexyl)-2,4-pentadienoic acid, 2-cyano-5-(n-heptyl)-2,4-pentadienoic acid, 2-cyano-5-

5-Alkyl substituted 2,4-pentadienoic acid derivatives such as 2-cyano-5-methyl-2,4-hexadienoic acid, 2-cyano-5-(n-propyl)-2,4-hexadienoic acid, 2-cyano-5-(n-butyl)-2,4-hexadienoic acid, 2-cyano-5-(n-pentyl)-2,4-hexadienoic acid, 2-cyano-5-(n-hexyl)-2,4-hexadienoic acid, 2-cyano-5-(n-heptyl)-2,4-hexadienoic acid.

7-Alkyl substituted 2,4,6-heptatrienoic acid derivatives such as 2-cyano-7-methyl-2,4,6-heptatrienoic acid, 2-cyano-7-(n-propyl)-2,4,6-heptatrienoic acid, 2-cyano-7-(n-butyl)-2,4,6-heptatrienoic acid, 2-cyano-7-(n-pentyl)-2,4,6-heptatrienoic acid, 2-cyano-7-(n-hexyl)-2,4,6-heptatrienoic acid, 2-cyano-7-(n-heptyl)-2,4,6-heptatrienoic acid, 2-cyano-7-(n-

5-Methyl 7-alkyl substituted 2,4,6-heptatrienoic acid derivatives such as 2-cyano-5-methyl-7-methyl-2,4,6-heptatrienoic acid, 2-cyano-5-methyl-7-(n-propyl)-2,4,6-heptatrienoic acid, 2-cyano-5-methyl-7-(n-pentyl)-2,4,6-heptatrienoic acid, 2-cyano-5-methyl-7-(n-hexyl)-2,4,6-heptatrienoic acid, 2-cyano-5-methyl-7-(n-hexyl)-2,4,6-heptatrienoic acid, 2-cyano-5-methyl-7-(n-hexyl)-2,4,6-heptatrienoic acid.

7-Alkyl substituted 2,4,6-octatrienoic acid derivatives such as 2-cyano-7-methyl-2,4,6-octatrienoic acid, 2-cyano-7-(n-propyl)-2,4,6-octatrienoic acid, 2-cyano-7-(n-butyl)-2,4,6-octatrienoic acid, 2-cyano-7-(n-beryl)-2,4,6-octatrienoic acid, 2-cyano-7-(n-hepyl)-2,4,6-octatrienoic acid, 2-cyano-7-(n-hepyl)-2,4,6-octatrienoic acid.

(iv) R' represents H, A represents

$$\begin{array}{c|c} C - C \\ \hline (z^5)_{\underline{r}} & & \\ \hline C & C \\ \hline \end{array}$$

and B represents -OH+Amine' wherein Amine' represents an optically active amine selected from the group consisting of 1-phenylethylamine, 1-(α-naphthyl)ethylamine, 1-phenyl-2-methylethylamine, 1-phenyl-2-aminopropane, brucine, 2-amino-1-butanol, 1-amino-2-propanol, 2-amino-1-propanol, 2-amino-1-(p-nitrophenyl)-1,3-propanediol, 2-dimethylamino-1-phenyl-1-benzyl-1-propanol, and 1-(N,N-dimethylamino)-1-phenyl-propylamine; or -NR<sup>4</sup> Y.

The carboxylic acids forming the organic nonlinear optical substances of this embodiment can be represented by the formula (VI):

$$(Z^{5}) \xrightarrow{0-3} X CH = CH \xrightarrow{0-2} CH = C - COOH (VI)$$

Examples of such carboxylic acid may include for example 3-(8-membered ring derivatives with neteric atoms, substituted 2-cyanoproperbic acids such as

\$-(3-thieryli-2-cyanopropendic acid \$-(2-thieryli-2-dyanopropendic acid, \$-(2-pyrrolyli-2-dyanopropendic acid, \$-(3-pyrrolyli-2-dyanopropendic acid, \$-(3-furyli-2-dyanopropendic acid, \$-(3-furyli-2-dyanopropendic acid, \$-(3-furyli-2-dyanopropendic acid, \$-(3-furyli-2-dyanopropendic acid, \$-(N-methyli-2-dyanopropendic acid, \$-(N-methyli-2-dyanopropendic

5-(5-membered ring derivatives with heterd atoms: substituted 2-cyano-2.4-pentadienoic acids such as 2-cyano-5-(3-thienyl)-2.4-pentadienoic acid, 2-cyano-5-(2-thienyl)-2.4-pentadienoic acid, 2-cyano-5-(2-cyano-5-(3-pyrrolyl)-2.4-pentadienoic acid, 2-cyano-5-(2-furyl)-2.4-pentadienoic acid, 2-cyano-5-(3-furyl)-2.4-pentadienoic acid, 2-cyano-5-(2-indolyl)-2.4-pentadienoic acid, 2-cyano-5-(3-indolyl)-2.4-pentadienoic acid, 2-cyano-5-(N-menthyl-2-pyrrolyl)-2.4-pentadienoic acid, 2-cyano-5-(N-methyl-3-pyrrolyl)-2.4-pentadienoic acid, 2-cyano-5-(N-ethyl-2-pyrrolyl)-2.4-pentadienoic acid, 2-cyano-5-(N-ethyl-2-pyrrolyl)-2.4-pentadienoic acid, 2-cyano-5-(N-n-butyl-2-pyrrolyl)-2.4-pentadienoic acid, 2-cyano-5-(5-nitro-2-furyl)-2.4-pentadienoic acid, 2-cyano-5-(5-nitro-2-furyl)-2.4-pentadienoic acid, 2-cyano-5-(5-nitro-2-furyl)-2.4-pentadienoic acid, 2-cyano-5-(5-nitro-3-thienyl)-2.4-pentadienoic acid, 2-cyano-5-(5-nitro-3-thienyl

5-(5-membered ring derivatives with hetero atoms) substituted 2-cyano-2.4,6-heptatrienoic acids such as 2-cyano-7-(3-tnienyl)-2.4,6-heptatrienoic acid, 2-cyano-7-(2-thienyl)-2,4,6-heptatrienoic acid, 2-cyano-7-(2-thienyl)-2,4,6-heptatrienoic acid, 2-cyano-7-(2-thienyl)-2,4,6-heptatrienoic acid, 2-cyano-7-(2-thienyl)-2,4,6-heptatrienoic acid, 2-cyano-7-(2-indolyl)-2,4,6-heptatrienoic acid, 2-cyano-7-(2-indolyl)-2,4,6-heptatrienoic acid, 2-cyano-7-(3-indolyl)-2,4,6-heptatrienoic acid, 2-cyano-7-(3-indolyl)-2,4,6-heptatrienoic acid, 2-cyano-7-(N-methyl-2-pyrrolyl)-2,4,6-heptatrienoic acid, 2-cyano-7-(N-methyl-3-pyrrolyl)-2,4,6-heptatrienoic acid, 2-cyano-7-(N-methyl-3-pyrrolyl)-2,4,6-heptatrienoic acid, 2-cyano-7-(N-n-butyl-3-pyrrolyl)-2,4,6-heptatrienoic acid, 2-cyano-7-(S-nitro-2-furyl)-2,4,6-heptatrienoic acid, 2-cyano-7-(5-nitro-2-furyl)-2,4,6-heptatrienoic acid, 2-cyano-7-(5-nitro-2-furyl)-2,4,6-heptatrienoic acid, 2-cyano-7-(5-nitro-2-thienyl)-2,4,6-heptatrienoic a

#### **EXAMPLE**

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The present invention will now be further illustrated by, but is by no means limited to, the following Examples.

## Synthetic Example 1

# Synthesis of 2-cyano-5-(4-dimethylaminophenyl)-2,4-pentadienoic acid (1)

To 100 ml of an aqueous solution of 2.55 g of sodium hydroxide 5.97 g of methyl cyanoacetate was added and further 9.55 g of p-dimethylaminocinnamoyl aldehyde was added under stirring, followed by heating to 85°C with continuous stirring for 40 hours. After completion of the reaction, 50 ml of 12 N hydrochloric acid was added, and a solid was recovered. The solid was subjected to recrystallization from methanoi, repeated twice, to give 6.38 g of the dosired product, m.p. 218 - 219°C. The elemental analysis of C 68.40%, H 5.88%, N 11.30% coincided well with the calculated values of C 69.36%, H 5.84%, N 11.56%. IR absorption spectrum: presence of CN group at 2216 cm<sup>-1</sup>, COOH group at 1673 cm<sup>-1</sup>, benzene ring and conjugate double bond at 1615, 1586, and 1551 cm<sup>-1</sup> being recognized. In NMR spectrum, absorption by methyl group was recognized at 3.08 ppm, and AB type absorption based on benzene ring at 6.80 and 7.60 ppm. λmax was found to be 440 nm.

### Synthesis Example 2

# Synthesis of 2-dyand-3-44-dimethylaminophenyl-2-propendic adic (2)

In 400 milliof an aqueous solution of 13.77 g of sodium hydroxide, 34.80 g of methyl dyanoacetate was dissolved and 34.01 g of p-dimethylamin) benzaidehyde was then added under a nitrogen atmosphere, followed by adding 200 milliof ethanoi to obtain a uniform solution. Under reflux, the stirring was continued for 51 hours and the reaction mixture was added to 12 N hydrochloric acid to obtain the precipitates. The resultant solid was repeated twice to recrystallize from a methanoiletnano mixture to obtain 13.51 g of the needles.

NMR spectrum; methyl group at 3.08 ppm, doublet benzene ring at 6.84 - 6.82 ppm, and 7.93 - 7.95 ppm, and -CH= group at 8.25 ppm.

Yield: 37%

m.p.: 226 - 228 ° C

Elemental analysis: C 66.82%, H 5.56%, N 12.76% (Calc. C 66.14%, H 5.60%, N 12.96%)

λmax in ethanol: 399 nm

#### Synthesis Example 3

# 20 Synthesis of 2-cyano-5-(4-methoxyphenyl)-2,4-pentadienoic acid (3)

The desired compound was prepared in the same manner as in Synthesis Example 1 by using 16.2 g of p-methoxycinnamic aidehyde having a melting point of 45.5°C, which was obtained from p-methoxystyrene and phosphorus trichloride according to a method disclosed in J. Amer. Chem. Soc., 78, 3209 (1956), 4.8 g of sodium hydroxide and 11.3 g of methyl cyanoacetate. The product was recrystallized from ethanol to obtain the needles having a melting point of 240°C at a yield of 69%.

Elemental analysis: C 68.11%, H 4.81%, N 6.10% (Calc. C 68.10%, H 4.85%, N 6.11%)

NMR spectrum: methyl group at 3.83 ppm, doublet benzene ring around 7.02 - 7.64 ppm, and -CH = group at 7.09, 7.59 and 8.06 ppm.

λmax in ethanol: 372 nm

#### Synthesis Example 4

# Synthesis of 2-cyano-3-(3,4-methylenedioxyphenyl)-2-propenoic acid (4)

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To an aqueous solution containing 14.20 g of sodium hydroxide and 33.75 g of methyl cyanoacetate, 30.32 g of 3,4-(methylenedioxy)benzaldehyde was added, followed by stirring at 95 °C for 16 hours. After completion of the reaction, an aqueous diluted hydrochloric acid solution was added to obtain a pale yellow solid. The resultant solid was recrystallized from ethanol to obtain a crystal having a melting point of 233 °C

Elemental analysis: C 61.01%, H 3.21%, N 6.37% (Calc. C 60.83%, H 3.26%, N 6.45%)

Infrared spectrum: Absorption by -CN at a wavelength of 2224 cm<sup>-1</sup>, -COO- at 1677 cm<sup>-1</sup>, conjugated system at 1575 cm<sup>-1</sup> and 1293 cm<sup>-1</sup>

NMR spectrum:  $-CH_2$ - at 6.19 ppm(s), -CH= at 8.22 ppm(s), and -H based upon a benzene ring at 45 7.12, 7.63, and 7.68 ppm.

## Synthesis Example 5

## Synthesis of 2-cyano-3-(3,4-dimethoxyphenyl)-2-propenoic acid (5)

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To 150 ml of an aqueous solution of 9.19 g of sodium hydroxide, 20.50 g of methyl cyanoacetate was added and, under stirring. 25.38 g of 3,4-dimethoxy-benzaldehyde was further added, followed by heating at 85°C for 40 hours under stirring. After completion of the reaction, the reaction mixture was added to 50 ml of 12 N hydrochloric acid to recover the resultant solid. The solid was repeated twice to recrystallize from ethanol to obtain 19.84 g of the desired compound.

M.p.: 206.13 °C

Elemental analysis: C 61.94%, H 4.78%, N 6.04% (Calc. C 61.79%, H 4.76%, N 6.01%)

IR spectrum: Absorption by CN group at 2221 cm<sup>-1</sup>, COOH group at 1716 cm<sup>-1</sup>, benzene ring at 1596,

1573, and 1512 cm<sup>-1</sup> and presence of conjugated double bond NMR spectrum. Absorption by methyl group at 3.97 - 4.01 ppm. ABX type absorption based upon benzene ring at 7.00, 7.55, and 7.88 ppm Amax in ethanol. 353 nm. Synthesis Example 6 Synthesis of 2-cyano-3-(2 4-dinitrophenyl)-2-propendic acid (6) The desired compound (6) was obtained in the same manner as in Synthesis Example 5, except that 10 2,4-dinitropenzaldehyde was used instead of 3,4-dimethoxybenzaldehyde. M.p.: 210 ° C Eiemental analysis, C 46,00%, H 1.98%, N 16,03% (Calc. C 46,53%, H 1.92%, N 15,97% 15 Synthesis Example 7 Synthesis of 2-cyano-5-(3,4-dimethoxyphenyl)-2,4-pentadienoic acid (7) The desired compound (7) was obtained in the same manner as in Synthesis Example 1, except that 2-20 (3.4-dimethoxyphenyl)-1-formyl 1-propenoic acid obtained from the reaction of 3,4-dimethoxy benzaldehyde and phosphorus trichloride. M.p.: 190 ° C Elemental analysis: C 64.70%, H 5.15%, N 5.62% (Calc. C 64.85%, H 5.06%, N 5.40%) 25 Synthesis Examples 8 - 14 The compounds (8) - (14) shown in Table 1 were synthesized from the corresponding aldehydes and methyl cyanoacetate in the manner described above. 30 35 40 45 50

Table 1: Synthesis of Aromatic Derivative

Com-	Structure				n.p	. (°C)				$\lambda$ ma x *	
pound No.	Element	.a.	anal	уs	ís	(C, H,	N	) ( <b>!</b> ——	Four	nd/C: Ca	led)
8	p-NO <sub>2</sub> -0 <sub>6</sub> H <sub>4</sub> -0H=0(CN	I) C0	НС			208				302nm	
-	F 2 6 4	F	54.91	. 2	. 93	12.80	1	С	55.05	2.75	12.3
9	D-CHO-C_HCH=C(	CN	COOF	i		229				320nm	
•	p-CH <sub>3</sub> -0-C <sub>6</sub> H <sub>4</sub> -CH-C(	F 6	55.10	) 4	. 64	6.71	1	С	65.02	4.96	6.8
10	H-C <sub>6</sub> H <sub>4</sub> -CH=C(CN)C	COOE	ł			210				295nm 4.08	
	6 4	F	59.58	3 4	. 36	8.03	1	С	69.35	4.08	8.0
11	C H -CH=CH-CH=C	CN	0001	i		212				320nm	
	C <sub>6</sub> H <sub>5</sub> -CH=CH-CH=C	F	72.50	) 4	.60	7.01	1	С	72.34	4.56	7.0
12	C_HCH-CH-CH-CH	i - Cl	H=C(0	N)	000	н 238				360nm	
12	65	F	74.75	5 4	.88	6.35	1	С	74.64	4.93	6.2
13	m-CH -O-C H -CH <b>-</b> C	CN	) COO!	i		166				296nm	
1.7	m-CH <sub>3</sub> -O-C <sub>6</sub> H <sub>4</sub> -CH=C	F	70.69	9 6	. 67	12.37	1	С	71.18	5.88	12.4
1.4	n_C H O_C H -CH	<b>-</b> C (	CN)C	OOH		82				_	
14	p-C <sub>10</sub> H <sub>21</sub> O-C <sub>6</sub> H <sub>4</sub> -CH	F	73.5	5 8	. 55	4.15	1	С	73.43	8.53	4.0

\*1: determined in methanol

## 35 Synthesis Example 15

# Synthesis of trans,trans,trans,2-cyano-7-(n-pentyl)-2,4,6-heptatriene-1-carbokylic acid (15)

To 150 ml of an aqueous solution containing 6.87 g of sodium hydroxide and 16.40 g of methyl cyanoacetate 14.85 g of trans,trans-2,4-decadienal was added, followed by heating at 100 °C for 16 hours under stirring. After completion of the reaction, an excess amount of an aqueous hydrochloric acid solution was added thereto to obtain a viscous solid. The resultant solid was recrystallized from n-hexane to obtain the crystal having a melting point of 98 - 102 °C.

Elemental analysis: C 70.00%, H 7.75%, N 6.27% (Calc. C 71.19%, H 7.83%, N 6.39%)

IR spectrum: -CN at 2211 cm $^{-1}$ , -COO- at 1609 cm $^{-1}$ , conjugated system at 1561 cm $^{-1}$  and 996 cm $^{-1}$  NMR spectrum: -CH $^{-1}$ CH $^{-1$ 

#### Synthesis Example 16

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# Synthesis of trans,trans,2-cyano-5-(n-heptyl)-2.4-pentadienoic acid (16)

The desired compound was synthesized and purified in the same manner as in Synthesis Example 15, except that trans 2-decenal was used as a starting material. Thus crystals were obtained.

Elemental analysis: C 71.20%, H 8.90%, N 6.17% (Calc. C 70.55%, H 8.67%, N 6.33%)

#### Synthesis Example 17

# Synthesis of 2-cyanc-3-(2-thienyl--2-propendic acid (17

To 160 millof an adueous solution containing 20.97 g of sodium hydroxide and 46.11 g of methyl cyanoacetate: 40.08 g of thiophene 2-carboxyaldehyde was added, followed by heating at 90°C for 9 hours under stirring. After completion of the reaction, an excess amount of hydrochioric acid was added and the resultant solid was recovered. The solid was recrystallized from ethanolitic obtain the needles

M.p.: 234 ° C

Elemental analysis: C 53.63%, H 2.69%, N 7.80%, S 17.70% (Calc.: C 53.61%, H 2.82%, N 7.82%, S

NMF spectrum: proton of thiophen ring at 7.34, 8.02 and 8.17 ppm, proton at p-position at 8.55 ppm λmax in ethanoi: 335 nm

## 15 Synthesis Example 18

# Synthesis of 2-cyano-3-(3-thienyl)-2-propensic acid (18)

The desired compound (18) was prepared in the same manner as in Synthesis Example 17, except that thiophene 3-carboxyaldehyde was used instead of thiophene 2-carboxyaldehyde.

M.p.: 211 °C

Elemental analysis: C 53.73%, H 2.71, N 7.73%, S 17.52% (Calc. C 53.61%, H 2.82%, N 7.82%, S 17.89%)

# 25 Synthesis Example 19

# Synthesis of 2-cyano-3-(2-pyrrolyl)-2-propenoic acid (19)

After 36 93 g of methyl cyanoacetate and 16.94 g of sodium hydroxide were dissolved in 260 ml of water, 23.80 g of pyrrole 2-carboxyaldehyde was added thereto, followed by heating at 95 °C for 30 hours under stirring. Thereafter, hydrochloric acid was added and the resultant solid was recrystallized from a mixed solvent of ethanol/methanol to obtain the crystal having a melting point of 213 °C.

Elemental analysis: C 59.34%, H 3.82%, N 17.26% (Calc.: C 59.25%, H 3.73%, N 17.28%)

## 35 Synthesis Example 20

# Synthesis of 2-cyano-3-(2-furyl)-2-propenoic acid (20)

The desired compound (20) was obtained in the same manner as in Synthesis Example 17, except that 40 furfural was used.

M.p.: 219 ° C

Elemental analysis: C 59.02%, H 2.95, N 8.53% (Caic.: C 58.89%, H 3.10%, N 8.59%)

λmax in ethanol: 330 nm

# 45 Synthesis Example 21

# Synthesis of 2-cyano-5-(2-furyl)-2,4-pentadienoic acid (21)

The desired compound (21) was prepared in the same manner as in Synthesis Example 17 except that 50 24.7 g of 3-(2-furyl) acrolein was used.

The melting point of the resultant compound was 220 °C and the structure thereof was confirmed by the elemental analysis value and NMR spectrum. The  $\lambda max$  in ethanol was 368 nm.

#### Synthesis Example 22

# Synthesis of 2-cyano-7-(2-turyli-2.4 6-hebiatriene-1-parboxylic abid (22)

The desired compound (22) was prepared in the same manner as in Synthesis Example 21 except that the aldehyde obtained from the oxidation reaction with phosphorus oxythichioride mentioned in Synthesis Example 3. The trans structure of the resultant was confirmed by an NMR spectrum.

# Synthesis Example 23

Synthesis of 2-cyano-3-/3-indoly/i-2-propendic acid (23)

The desired compound (23) in the form of a pale yellow flake prystal was obtained at a yield of 33.5% in the same manner as in Synthesis Example 1 by using 21.34 g of indole 3-carboxyaldenyde, 9.47 g of sodium hydroxide and 23 46 g of methy: cyanoacetate

M.p.: 230 °C

Elemental analysis: C 68.33%, H 3.77%, N 13.29% (Calc., C 67.92%, H 3.80%, N 13.20%)

λmax in ethanol: 378 nm

# 20 Evaluation method of intensity of second harmonic generation

According to the method as described in S. K. Kurtz et al. Journal of Applied Physics (J. Appl. Phys.). Vol. 39, p. 3798 (published in 1968), the generation of the second harmonic wave was measured for the powder of the compound of the present invention. As the incident ray source, a beam of 1.06  $\mu$  of Nd:YAG laser (2 W/2 KHz pulse) was employed and irradiated on the powdery sample filled in a glass cell. The incident light was filtered by a filter and the green light generated at an incident angle of 55° from the normal direction was detected to obviate the effect from the strength of the incident light. As the sample for Control, urea powder or m-nitroaniline powder having a particle size of 50 to 90 µm, which was previously powdered followed by sieving, was employed. Regarding the resistance to a laser beam, the laser beam was irradiated to the sample and the deformation in the appearance was visually observed. Generally, the determination of the principal characteristics was carried out at a non-focus point because the intensity of the laser beam was strong.

#### Example 1

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A 2.39 g amount of the carboxylic acid compound (1) obtained in the Synthesis Example 1 was dissolved in 150 ml of tetrahydrofuran and, to the resultant solution, 1.18 g of L-(-)-1-phenylethylamine was added under stirring. Momentarily, the precipitate was generated, which was filtered to recover 3.16 g of a reddish orange solid. The solid was recrystallized from ethanol/methanol mixture to obtain 2.16 g of 40 needles. The elemental analysis value of this product was as follows.

C: 72.70% (Calc. 72.09%), H: 6.68% (Calc. 6.95%), N: 11.63% (Calc. 11.56%)

IR spectrum: carboxylate at 2400 - 3200 cm<sup>-1</sup>

In the case of the carboxylic acid (1), the absorbance of the COOH group at 1673 cm<sup>-1</sup> was shifted to about 1620 cm<sup>-1</sup> to exhibit the generation of the salt formation.

The NMR spectrum exhibited an absorbance by a methyl group at 2.95 ppm, benzene at 6.74 - 7.50 ppm, and methyl group of 1-phenylethylamine at 1.50 ppm. The relative ratio of the methyl group absorbance intensity was 2:1, indicating the formation of a salt of carboxylic acid/amine = 1:1. The equivalent molar ratio was also confirmed from the elemental analysis. The Amax of this salt in ethanol was 420 nm, which was changed to the low wavelength side by 20 nm when compared with that of the so corresponding carboxylic acid (1). The melting point was 188 °C and the degree of the optical rotation [a]o at Na-D ray in methanol was -5 \* (c = 0.597). When the powder was irradiated by a 1.06 µ light of Nd-YAG laser, the intensity of the second harmonic generation was about 3 times of that of m-nitroaniline.

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to a fit sait of carboxylic acid (1) and 1-(phenyl)ethylamine

#### Example 2

The carboxylic acid (12) prepared in the Synthesis Example 12 was used to form a salt with L-(-)-1-phenylethylamine in a THF solution in the same manner as in Example 1. The crystals were precipitated with the elabse of time. The resultant crystal was recrystallized from a mixed solvent of methanoliethano to obtain a pale yellow crystal having a melting point of 172°C.

The elemental analysis of the resultant crystal is 0.75.98%, H. 6.18%, and N. 8.06%, which was well-coincided with the calculated value of C. 76.26%, H. 6.41%, and N. 8.09% in terms of a 1/1 salt of the carboxylic acid (12) and phenylethylamine.

IR spectrum showed broad carboxylate absorption at 2400 - 3200 cm<sup>-1</sup>, and the absorption of COOH group at 1673 cm<sup>-1</sup> in the carboxylic acid (12) was shifted to about 1620 cm<sup>-1</sup> to reveal the formation of the salt.

The NMR spectrum gave an integrated intensity suggesting the formation of carboxylic acid amine of 1:1. The degree of the optical rotation [a]<sub>0</sub> at Na-D ray in methanol was ± 0.97 degree (c = 0.597). The 3 kmax in ethanol of the resultant salt was 355 nm, which was changed to the low wavelength side by 5 nm when compared with that of the corresponding carboxylic acid. When the powder was irradiated by a 1.06  $\mu$  light of Nd-YAG laser, the intensity of the second harmonic generation was about 1.8 times of that of mitroaniline.

#### 20 Examples 3 - 12

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Optically active amine salts of various carboxylic acid compounds were obtained in the same manner as in Example 1, and their second harmonic generating abilities were determined. The results are shown in Table 2.

Table 2

Example No.	Carboxylic acid amine	m.p. (*C)	Elemental analysis (Found/Calc.)	Degree of optical rotation [α] <sub>0</sub>	λmax	SHG * generating ability
3	11 PEA	147	C:74.92%, H:6.14%, N: 8.77% (C:74.96%, H:6.30%, N: 8.74%)	-2.01	325 nm	1.2
4	2 PEA	177	(C:74.96%, H.6.67%, N:12.37% (C:71.18%, H.6.88%, N:12.46%)	-0.33	385 nm	0.4
5	9 PEA	136	C:69.96%, H:6.04%, N: 8.61% (C:70.34%, H 6.23%, N: 8.64%)	-0.88	320 nm	0.3
6	10 PEA	164	C:72.82%, H:5 98%, N: 9.58% (C:74.45%, H:6.26%, N: 9.65%)	-0.73	288 nm	0.1
7	13 PEA	149	C:70.41%, H:6.23%, N: 8.62% (C:70.34%, H:6.23%, N: 8.62%)		285 nm	0.3
8	5 PEA	207	C:67.74%, H:6 12%, N: 7.94% (C:67.77%, H:6 27%, N: 7.91%)		333 nm	0.2
9	4 PEA	176	C:67.79%, H:5 37%, N: 8.21% (C:67.43%, H:5 37%, N: 8.28%)		337 nm	0.3
10	8 PEA	154	(C:63.31%, H:4.78?%, N:12.25%) (C:63.70%, H:7.94%, N:12.38%)	+ 2.35	302 nm	0.1
11	23 PEA	175	C 71.90%, H:5.64%, N:12.52% (C:72.04%, H:5.76%, N:12.61%)		358 nm	0.1
12	20 PEA	150	C 67.90%, H:5.64%, N: 9.85%)		320 nm	0.2

<sup>\* (</sup>relative to m-nitroaniline (m-NA))

# Ехатріє 13

A 0.67 g amount of the carboxylic acid 4 obtained in Synthesis Example 4 was dissolved in 7 mill of tetranydrofuran, followed by adding 0.92 g of optically active  $S_{\text{eff}}$ -1- $\mu$ -nabnthyliethylamine. The resultant solid was filtered and recrystallized from ethanol. The melting point was 17110 and the ratio of the integrated intensity of the absorption peaks of the carboxylic acid (4) component to ( $\alpha$ -naphthyl)ethylamine component such as methyligroup obtained from the NMR spectrum was 1.11.

The elemental analysis was 0.71.55%, H 5.20%, N 7.21%, which was coincided with the calculated value, 0.71.55%, H 5.26%, N 7.20% which was based upon the formation of a 1:1 salt of the carboxylic acid and the amine.

When the resultant crysta, was finely powdered and the generation of the second harmonic generation was determined, the intensity of the emission is about 1.5 times of that of the urea.

#### Example 14

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A 0.93 g amount of the carboxylic acid (1) obtained in Synthesis Example 1 was dissolved in 10 ml of tetrahydrofuran, followed by adding 0.70 g of S-(-)-1-( $\alpha$ -naphthyl)ethylamine thereto. The resultant solid was recovered and washed thoroughly with tetrahydrofuran. After drying, the crystal having a melting point of 171 °C. The degree [ $\alpha$ ]<sub>C</sub> of the optical rotation of the sample in methanol was -30.0 degree (c = 0.04) and  $\lambda$ max was 421 nm. The maximum wave length was approximately coincide with that of Example 1. The second harmonic generation generating ability of the crystal was about 3.9 times of that of urea. Furthermore, when the crystalline powder was exposed to the laser beam for a long time, apparent damage was not observed.

# 25 Examples 15 - 19

Various optically active amine salts were prepared in the same manner as in Example 14 and the second harmonic generating abilities were determined.

The results are shown in Table 3.

Table 3

Example	Carboxylic acid	Optically active amine	SHG generating ability *1
15	Synthesis Example 5	1-Phenyl-2-methylethylamine	1.5
16	Synthesis Example 7	1-Phenylethylamine	5.0
17	Synthesis Example 7	1-(α-naphthyl)ethylamine	3.5
18	Synthesis Example 5	1-Phenylethylamine	2.0
19	Synthesis Example 5	1-Phenyl-2-aminopropane	2.5

\*1: Based on urea powder

#### Example 20

A 1.54 g amount of the thiophene-containing carboxylic acid (17) obtained in Synthesis Example 17 was dissolved in 40 ml of tetrahydrofuran, followed by adding 1.46 g of optically active R-(-)-1-phenylethylamine. The precipitated solid was recovered and recrystallized from ethanol to obtain the crystal having a melting point of 171 °C (decomposition)

The elemental analysis values were C 63.85%, H 5.15%, N 9.30%, S 10.40%, which were well coincide with the calculated values, C 63.97%, H 5.38%, N 9.33%, S 10.17% based upon a 1:1 amine salt of the carboxylic acid. The integrated intensity ratio of the absorption peak of the carboxylic acid of Synthesis Example 17 and phenethylamine from the NMR spectrum. The maximum absorption wavelength was 322 nm. When the second harmonic generation was determined after the crystal was finely powdered, the green emission having the ability of about twice of that of urea was observed.

# Example 21

A 1.51 g amount of the 3-substituted thiophene carboxylic acid (18) obtained in Synthesis Example 18 was dissolved in 20 ml of tetrahydrofuran, followed by adding 1.20 g of Expert-onenylethylamine. The precipitated solid was recovered and recrystallized from ethanol, after drying to obtain the crystal having a melting point of 171 °C (decomposition).

The elemental analysis values were C €3.82%, H 5.07%, N 9.31%, S 10.57%, which were well coincide with the calculated values C 63.97%. H 5.38%, N 9.32%, S 10.17% based upon a 1.1 amine salt of the carboxylic acid. The integrated intensity ratio of the absorption beak of the carboxylic acid of Synthesis Example 18 and phenethylamine from the NMR spectrum. The maximum absorption wavelength was 322 nm. The second harmonic generating ability of the crystal was 3 times of that of urea and the maximum absorption wavelength of the sample in ethanol was 322 nm.

## Example 22

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A 0.99 g amount of the carboxylic acid (21) obtained in Synthesis Example 21 was dissolved in 40 ml of tetrahydrofuran, followed by adding 0.78 g of optical active R-(-)-1-phenylethylamine thereto.

After n-hexane was then added, the precipitated solid was recovered. The solid was recrystallized from ethanol to obtain the crystal having a melting point of 121 °C (decomposition). The elemental analysis data were C 69.55%, H 5.95%, and N 9.00%, which was well coincided with the calculated values of the optically active amine salt of the synthesized carboxylic acid (21). The emission having an intensity of about 5 times of that of urea was observed by an Nd-YAG laser beam. The maximum absorption wavelength of the sample in ethanol was 350 nm.

#### 5 Example 23

A 3.20 g amount of the carboxylic acid (12) obtained in Synthesis Example 12 was dissolved in 50 ml of tetrahydrofuran, followed by adding 2.50 g of R-(-)-2-amino-1-butanol thereto.

The precipitation was momentarily generated and, after filtering, 3.00 g of yellow solid was recovered a mixed solvent of ethanol/methanol to obtain 2.1 g of the needle having a melting point of 187 °C. The optical rotation degree in methanol with an Na-D ray was 16 degree. The maximum absorption of the sample in methanol was 355 nm amine salt of the synthesized carboxylic. The second harmonic generating ability of the powder was 33 times of that of urea.

# 35 Examples 24 - 42

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The salts of various carboxylic acids and optically active alcohol amines were carried out in the same manner as in Example 23 and the second harmonic generating abilities of the resultant crystals were determined

The results are shown in Table 4.

Table 4

E/	xampie	Carboxylic acid	Optically active amine base	SHG generating ability *1
	24	23	r-Amine-2-propano	€.9
	25	1	2-Amino-1-butario	1.8
	26	2 10	e e	1.2
	27 28	2	:   1-Amino-2-propano	30.0
	29	10	P P	1.1
	30	1	o .	26.0
	31	12	"	1.1 0.5
	32	2	2-Amino-1-propanol	0.2
5	33	10	2-Amino-1-(p-nitrophenyl)-1,3-propane dioi	0.7
	34	12	2-Dimethylamino-1-phenyl-1-phenyl-1-benzyl-1-propanol	0.6
	35	12 17	2-Amino-1-butanol	3.0
	36 37	17	2-Amino-1-propanol	1.5
,	38	4	n	1.3
	39	20	"	3.8
	40	20	2-Amino-1-butanol	3.6
	41	21	"	3.2
	42	19	n	

\*1: Based upon urea powder

#### Example 43

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A 0.89 g amount of dimethoxy substituted conjugated carboxylic acid (7) obtained in Synthesis Example 7 was dissolved in 10 ml of THF, followed by adding 0.42 g of dextrorotatory R-(-)-2-amino-1-butanol. The resultant precipitates was filtered and recrystallized from ethanol to obtain the white crystal having a melting point of 130.5 °C. The NMR spectrum of the resultant solid revealed the integrated intensity, which shows the formation of 1:1 by mole salt of the corresponding carboxylic acid and amine. The crystal was finely powdered and the second harmonic generating ability was determined. As a result, the emission capability thereof was 5.8 times of that of urea. The maximum absorption of the sample in ethanol was 370 nm. The powder of 2-methyl-4-nitroaniline used as a control was melted and carbonized under the determination conditions of the second harmonic generating ability, whereas the change in the emission capability of the present sample with the elapse of time was not observed and the damage resistance of the present sample against light was good.

# Example 44

The amine salt was formed in the same manner as in Example 43, except that R-(-)-1-amino-2-propanol was used as the optically active amine. The second harmonic generating ability of the resultant salt was about 4 times of that of urea and the ability was not changed with the elapse of time. Thus, it has been observed that a high resistance to the light damage was good.

#### Example 45

The salt was formed in the same manner as in Example 43, except that the dimethoxy compound (5) obtained in Synthetic Example 5 as the carboxylic acid. The emission capability of the resultant salt was not changed when the sample was exposed to a laser beam for a long time

#### Example 46

A 2.30 g amount of L-phenyia:anine etny' ester hydrochioride was suspended in 50 m of ether, followed by adding thereto. 0.96 g of triethy' amine and further 30 m of water ter minof the supernatant ether phase was recovered and this solution was added to a previously prepared solution of 0.26 g of the above-mentioned carboxylic acid (1) in 6 m/l of THF. The needles were obtained with the elabse of time. The decomposition of this crystal was 180°C. When the second harmonic generating ability of this crystal was determined the intensity was about 15 times of that of urea.

# rc <u>E</u>≥<u>a</u>mple 47

A 3.30 g amount of L-valine methyl ester hydrochloride was suspended in 50 ml of etner, followed by adding thereto 1.89 g of triethyl amine to obtain an ether solution of the L-valine methyl ester. This solution was added to a solution of 0.12 g of the above-mentioned carboxylic acid (2) in 10 ml of THF to obtain the needles. When the second harmonic generating ability of the crystal powder was determined, the intensity was 3 times of that of urea.

## Examples 48 - 64

The amine salts of a-amino acid esters of various carboxylic acids were obtained in the same manner as in Examples 1 and 2 and the second harmonic generating abilities were determined.

The results are shown in Table 5.

Table 5

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Example	Carboxylic acid	Optically active amine base	SHG generating ability *1
48	10	L-Phenylalanine ethyl ester	16
49	12	<b>"</b>	10
50	8	n	5
51	10	н	7
52	13	н	5
53	15	<b>"</b>	4
54	19	<b>"</b>	4
55	1	L-Valine methyl ester	12
56	11	н	15
57	14	*	4
58	12	*	18
59	16	"	3
60	1	D-Phenylglycine methyl ester	10
61	9	"	5
62	7		11
63	1	α-N-Benzyol-L-aginine ethyl ester	8
64	7	"	3

<sup>\*1:</sup> Eased on urea

# 50 Example 65

# Synthesis of S-(-)-phenethyl 2-cyano-5-phenyl-2,4-pentadienocarboxamide (24)

A 3.3 g amount of an acid chloride of the compound (11) obtained by thermally treating the compound (11) with thionyl chloride was added, under vigorous stirring, to 20 ml of dioxane containing 1.8 g of S-(-)-phenethyl amine and 1.5 g of triethyl amine. After stirring at room temperature for further 3 hours, the reaction product was added to a large amount of water and the resultant precipitates were filtered, followed by recrystallizing to obtain 2.7 g of yellow needles having a melting point of 117 °C.

Elementa analysis C 79,50% H 6,05% N 9,30% (Calc. C 79,44% H 6,00%) N 9,26% (

IF absorption spiectrum -NH- group at 3364 pm  $^{\circ}$  ON group at 2216 pm  $^{\circ}$  amine . I. at 1649 pm  $^{\circ}$  and 1522 pm  $^{\circ}$ 

Amax in dioxane, 336 nm.

Writen the second harmonic generating ability of the crystal was determined, the intensity was about 11 times of urea and there are no substantial deformation, when the sample was irradiated for a long time.

#### Ехатріе 66

#### Synthesis of S-i-i-phenethy, 2-dyand-T-phenyi-2 4.6-heptatriene-1-darboxamide (25)

A 1.79 g amount of the acid chloride of the compound (11) (m.p. 143°C) obtained by thermally treating the compound (11) with thionyl chloride was added, under vigorous stirring, to 30 ml of THF containing 1.06 g of S-(-i-phenethyl amine and 0.70 g of pyridine dissolved therein. After completion of the reaction, a large amount of water was added to the reaction product and the resultant precipitates were filtered, followed by recrystallizing, to obtain 1.6 g of a crystal having a melting point of 128°C.

Elemental analysis: C 80.74%, H 6.20%, N 8.66% (Calc. C 80.44%, H 6.15%, N 8.53%)

IR absorption spectrum; -NH- group at 3360 cm $^{-1}$ , -CN group at 2216 cm $^{-1}$ , presence of amine I, II at 1649 cm $^{-1}$  and 1522 cm $^{-1}$ 

λmax in ethanol: 370 nm

NMR spectrum: the methyl group of phenethyl group at 1.57 ppm, -CH= at 7.99 ppm, 6.79 - 7.05 ppm,  $\epsilon$ .82 ppm (Thus, the structure of the desired compound was confirmed)

When the second harmonic generating ability of the crystal was determined, it was observed that the intensity was 1.1 times of urea and there are no substantial deformation after a long time irradiation of a laser beam.

#### Example 67

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# Synthesis of S-(-)1-(α-naphthyliethyl 2-cyano-7-phenyl-2.4,6-heptatriene-1-carboxamide (26)

To a dry THF solution of an equivalent mixture of the compound (12) and S-(-)1-(α-naphthyl)ethylamine, dicyclohexylcarbo diimide was added, followed by stirring for one night. The precipitated dicyclohexyl urea was recovered by filtration and the mother liquor was concentrated, followed by recrystallizing from a mixture of ethanol/methanol to obtain a white solid having a melting point of 100 °C.

IR absorption spectrum: -NH- group at 3360 cm $^{-1}$ , CN group of 2220 cm $^{-1}$ , presence of amide I, II at 1650 cm $^{-1}$  and 1522 cm $^{-1}$ 

When the second harmonic generating ability of the crystal was determined, the intensity was 4 times of that of urea and there are no substantial deformation after a long time irradiation of a laser beam.

## 40 Examples 68 - 72

Various optically active amides shown in Table 6 were obtained from various carboxylic acid and optically active acid amide.

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EP 0 335 641 B1

		SHG	3	s	3	S	s							
5		m.p.	135	126	86	140	158							
16	9 p1	Synthesis method	DCC	CL	CL		DCC				(19)			
15	Table 6: Optically Active Acid Amide	Amine component	PRO	S-(-)PEA	R-(-)-sBA		S-(-)PEA				Dicyclohexyl carbodilmide method (Example 67)	(99		
20	tically Act	nent	но	соон		-c(cn)cooH	(CN)C00H		e e	anol	liimide meth	Acid chloride method (Example 66)		
25	ble 6: 0p	Carboxylic acid component	P-NO2-C6H4-CH-C(CN)COOH	p-CH <sub>3</sub> -O-C <sub>6</sub> H <sub>4</sub> -CH-C(CN)COOH	C(CN)COOH	p-CH <sub>3</sub> -0-C <sub>6</sub> H <sub>4</sub> -CH-CH-CH-C(CN)COOH	сен за сенте сенте се	ol	S-(-)-phenethylamine	R-(-)-2-amino-1-butanol	exyl carbod	oride metho	ssion	mission
30	T,	Carboxylic	P-NO2-C644-	р-СН <sub>3</sub> -О-С <sub>6</sub> Р	H-C6H4-CH-C(CN)COOH	р-сн3-0-с	сен <sub>5</sub> -сн-сн	L-prolinol	S-(-)-ph	R-(-)-2-	Dicycloh	Acid chl	Weak emission	Strong emission
35		Example No.	89	69	7.0	7.1	72	PRO:	S-(-)PEA:	R-(-)sBA:	DCC:	CL:	W:	.;

Claims

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1. An organic nonlinear optical substance having the formula (I):

$$A \quad \leftarrow \quad CR^{1} = CH \quad \Rightarrow \quad CH = C \quad -C \quad -B \qquad (I)$$

$$CN \quad O$$

whereir

nerein
R' represents -H or -CH<sub>3</sub>;
n is 0, 1, or 2;

A represents Z'-Ar-,

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$$z^{2}$$
 $z^{3}$ 
 $z^{4}$ 
 $z^{4}$ 
 $z^{2}$ 
 $z^{3}$ 
 $z^{4}$ 
 $z^{2}$ 
 $z^{3}$ 
 $z^{4}$ 
 $z^{2}$ 
 $z^{3}$ 
 $z^{4}$ 
 $z^{4}$ 
 $z^{5}$ 
 $z^{5}$ 
 $z^{5}$ 
 $z^{5}$ 

B represents -OH+Amine\* where Amine represents an optically active amine; -NR<sup>4</sup>Y where R<sup>4</sup> represents -H or a single bond; Y represents -(CH<sub>2</sub>)<sub>p</sub> CQ<sup>1</sup>Q<sup>2</sup>Q<sup>5</sup> where p is 0 or 1; Q<sup>1</sup>, Q<sup>2</sup>, and Q<sup>3</sup> are different and represent -H, a C+-C<sub>5</sub> alkyl, phenyl, naphthyl, -OH, -CH<sub>2</sub>OH, -COOR<sup>25</sup>, -CNR<sup>26</sup>R<sup>27</sup>, a residue of an  $\alpha$ -amino acid from which an amino group is removed, where R<sup>25</sup> to R<sup>27</sup> independently represent -H or -C<sub>1</sub>-C<sub>6</sub> hydrocarbon residue; or Y represents -CQ<sup>4</sup>Q<sup>5</sup>Q<sup>6</sup> where Q<sup>4</sup>, Q<sup>5</sup> and Q<sup>6</sup> are different and Q<sup>4</sup> and Q<sup>5</sup> are as defined for Q<sup>1</sup>, Q<sup>2</sup> and Q<sup>5</sup> and Q<sup>6</sup> represents (CH<sub>2</sub>)<sub>q</sub> of which one bond is linked to the bond of R<sup>4</sup> where q is an integer of 1 to 4.

- 2. An organic nonlinear optical substance as claimed in claim 1, wherein in the formula (I), R¹ represents H, Z¹ represents H-, R⁵RêN-, R²O-, R²O-, R°O-, R¹O-, R¹O-
- 3. An organic nonlinear optical substance as claimed in claim 1, wherein, in the formula (I), R¹ represents H, Z¹ represents H-, R⁵RêN-, R²O-, RêS-, NC-, O; N-, R⁵OCO-, R¹OCOO-, R¹1R¹RNOC-, R¹3CO(R¹⁴)N-, or R¹5-, Ar represents an aromatic group having 6 to 14 carbon atoms, and B represents -OH-Amine\* wherein Amine\* represents an optically active amine selected from the group consisting of 2-amino-1-butanol, 1-amino-2-propanol, 2-amino-1-proparol, 2-amino-1-(p-nitrophenyl)-1,3-propanediol, 2-dimethylamino-1-phenyl-1-berizyl-1-propanol, and 1-(N,N-dimethylamino)-1-phenyl-propylamine.
- An organic nonlinear optical substance as claimed in claim 1, wherein, in the formula (I), R<sup>1</sup> represents H, A represents

$$z^{2}$$

$$z^{3} \rightarrow Ar$$

$$z^{4}$$

wherein one of  $Z^2$ ,  $Z^2$ , and  $Z^4$  represents H and the remainder independently represents C--C-oalkyl,  $R^{16}$  O-,  $R^{17}$   $R^{18}$  N-,  $R^{16}$  S, or  $O_2$  N-, Ar represents an aromatic group having 6 to 14 carbon atoms, and B represents a residue of an optically active amine selected from the group consisting of 2-amino-1-butanol, 1-amino-2-propanol, 2-amino-1-propanol, 2-amino-1-(p-nitropnenyl)-1,3-propanediol, 2-dimethylamino-1-phenyl-1-benzyl-1-propanol, and 1-(N-N-dimethylamino)-1-phenylpropylamine.

An organic nonlinear optical substance as claimed in claim 1, wherein, in the formula (I), R<sup>1</sup> represents
H. A represents

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$$z^{2}$$
 $z^{3}$  Ar
 $z^{4}$ 

wherein one of Zf, Zf and Zf represents H- and the remainder independently represents Cr-Cr(alkyl BfC-, BfBN-, BfS-, or C, N-, Ar represents an aromatic group having 6 to 14 carbon atoms, and B represents a residue of an obtically active amine selected from the group consisting of 1-(phenyl)-ethylamine. 1-(a-naphthyl)-ethylamine. 1-phenyl-2-methylethylamine. 1-phenyl-2-aminopropane, and brudine.

- An organic nonlinear optical substance as claimed in claim 1, wherein, in the formula (I). R1 represents H, A represents Z1 Ar-, Z1 represents H-, R1R1 N-, R1O-, R1S-, NC-, O2N-, R1OCO-, R1CCOO-, R1R12NOC-, R12CO(R14)N-, or R1S-, Ar represents an aromatic ring having 6 to 14 carbon atoms, and B represents -NR4Y.
  - 7. An organic nonlinear optical substance as claimed in claim 1, wherein, in the formula (I), R1 represents H, A represents Z1-Ar-, Z1 represents R5 R6 N-,R2O- R5S-, NC-, R5OCO-, R1COO-, R1R2NOC, R1SCO-(R14)N-, or R15-, Ar represents an aromatic group having 6 to 14 carbon atoms, and B represents -OH+ Amine1 wherein Amine1 represents an optically active α-amino acid or the derivative thereof.
  - 8. An organic nonlinear optical substance as claimed in claim 1, wherein, in the formula (I), R\* represents H, A represents

$$z^{2}$$
 $z^{3}$ 
 $z^{4}$ 
Ar-

where one of  $Z^2$ ,  $Z^3$ , and  $Z^4$  represents H or substituted  $C_1$ - $C_2$  alkyl, the remainder of  $Z^2$ ,  $Z^3$ , and  $Z^4$  represents together methylene dioxy group wherein the dioxy groups are bonded to the adjacent positions of Ar, Ar represents an aromatic group having 6 to 14 carbon atoms, and B represents -OH+Amine\* wherein Amine\* represents an optically active amine selected from the group consisting of 1-phenylethylamine, 1-( $\alpha$ -naphthyl)ethylamine, 1-phenyl-2-methylethylamine, 1-phenyl-2-aminopropane, brucine, 2-amino-1-butanol, 1-amino-2-propanol, 2-amino-1-propanol, 2-amino-1-( $\alpha$ -nitrophenyl)-1,3-propanediol, 2-dimethylamino-1-phenyl-1-benzyl-1-propanol, 1-(N,N-dimethylamino)-1-phenyl-propylamine, and a residue of an optically active  $\alpha$ -amino acic and the derivative thereof; or -NR<sup>4</sup>Y.

- 9. An organic nonlinear optical substance as claimed in claim 1, wherein, in the formula (I), R' represents H or CH<sub>3</sub>, A represents R<sup>2</sup> where R<sup>2</sup> represents H or an alkyl group having 1 to 12 carbon atoms, and B represents -OH Amine\* wherein Amine\* represents an optically active amine selected from the group consisting of 1-(phenyl)ethylamine, 1-(α-naphthylbethylamine, 1-phenyl-2-aminopropane, brucine: 2-amino-1-butanol, 1-amino-2-propanol, 2-amino-1-propanol, 2-amino-1-(p-nitrophenyl)-1,3-propanediol, 2-dimethylamino-1-phenyl-1-benzyl-1-propanol, 1-(N,N-dimethylamino)-1-phenyl-1-benzyl-1-propanol, 1-(N,N-dimethylamino)-1-phenyl-propylamine, and a residue of an optically active α-amino acid and the derivative thereof.
- 10. An organic nonlinear optical substance as claimed in claim 1, wherein, in the formula (I). R<sup>1</sup> represents H, A represents

$$(z^{5})_{r}\frac{c}{\frac{c}{c}}\frac{c}{\frac{c}{c}}\frac{c}{c}$$
,

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and B represents -OH+Amiric\* wherein Amine\* represents an obtically active amine selected from the group consisting of 1-prientylethylamine 1-\(\alpha\)-naphthylethylamine 1-pnenyi-2-methylethylamine 1-pnenyi-2-methylethylamine 1-pnenyi-2-methylethylamine 1-pnenyi-2-methylethylamine 1-pnenyi-2-methylethylamine 1-pnenyi-1-propanoi. 2-amino-1-potanoi. 2-amino-1-potanoi. 2-amino-1-pnenyi-1-propanoi. 1-(N,N-dimethylamino-1-pnenyi-propylamine, and a residue of an optically active α-amiric acid and the derivatives thereof; or NRf V

An organic nonlinear optical substance as claimed in claim 1, wherein, in the formula (I) R1 represents.
 H, A represents.

$$z^2$$
 $z^3$ 
Ar
 $z^4$ 

wherein one of  $Z^2$ ,  $Z^3$ , and  $Z^4$  represents H- and the remainder independently represents C--C<sub>10</sub>alkyl, R<sup>16</sup>O-, R<sup>17</sup>R<sup>16</sup>N-, R<sup>18</sup>S, or O<sub>2</sub>N-, Ar represents an aromatic group having 6 to 14 carbon atoms, and B represents -OH+Amine\* wherein Amine\* represents an optically active  $\alpha$ -amino acids or the derivatives thereof.

## Patentansprüche

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1. Organische nichtlineare optische Verbindung mit der Formel (I):

$$A - (-CR^{1} = CH) + (-CH) = (-C - C - B)$$
 $(I)$ 
 $(CN) = (CH)$ 

worin R¹ Wasserstoff oder -CH<sub>3</sub> repräsentiert; n gleich 0, 1 oder 2 ist; A die Gruppe Z¹-Ar-,

$$z^2$$
 $z^3$ 
 $Ar-$ ,  $R^2$ - oder  $(z^5)$ 
 $z^4$ 
 $C$ 

repräsentiert,

worin Ar eine 6-14gliedrige aromatische Gruppe einschließlich eines heterozyklischen Rings oder Bisphenylenrings repräsentiert;

Z¹ Wasserstoff, R⁵ R¢ N-, R² O-, R¢ S-, NC-, R¢ OCO-, R¹° COO,  $O_2$  N-, R¹ R¹² NOC-, R¹° CO(R¹⁴)N-, oder R⁺⁵- repräsentiert;

 $Z^2$ ,  $Z^3$  und  $Z^4$  unabhängig voneinander Wasserstoff, einen C--C<sub>8</sub>-Alkylrest, R<sup>16</sup>O-, R<sup>17</sup>R<sup>18</sup>N, R<sup>19</sup>S-, O<sub>2</sub>N- oder zwei R<sup>16</sup>-Reste repräsentiert, welche kombiniert R<sup>20</sup>CH (ergeben;

R2 Wasserstoff oder einen C+-C+2-Alkylrest repräsentiert;

R<sup>5</sup> bis R<sup>2C</sup> unabhängig voneinander Wasserstoff oder einen C+-C<sub>C</sub>+Kohlenwasserstoffrest repräsentieren; Z<sup>5</sup> unabhängig Wasserstoff, einen gesättigten C+-C<sub>C</sub>+Kohlenwasserstoffrest, 0<sub>2</sub>N-, R<sup>2C</sup>O+, R<sup>2C</sup>S-, NC+ oder R<sup>23</sup>R<sup>2C</sup>N- repräsentiert, worin R<sup>2C</sup> bis R<sup>2C</sup> unabhängig voneinander Wasserstoff oder einen gesättigten C+-C<sub>C</sub>+Kohlenwasserstoffrest repräsentieren; X -S+-O+ oder in NR<sup>2C</sup> repräsentiert; r gleich 0 oder eine ganze Zahl von 1 bis 3 ist; und R<sup>2C</sup> Wasserstoff oder eine Kohlenwasserstoffgruppe mit 1 bis 8 Kohlenstoffatomen repräsentiert; und

worin B -OH+Amin' repräsentiert, wobei Amin ein optisch aktives Amin repräsentiert; -NR<sup>4</sup>Y, wobei R<sup>4</sup>

Wasserstoff oder eine Eintachbindung repräsentiert, Y -(CH<sub>UL</sub> CQ\*Q\* repräsentiert, wobellig gielon 0oder 1 ist. Q1 Q4 und Q4 verschieder sind und Wasserstoff ein CH-Ca-Aiky., Pheny. Naphthyl. -OH. -CH; CH; -COOR®, -CNR® Riff und einen Rest einer a-Aminosäure von der ein Aminorest entfernt ist. repräsentieren, worin R<sup>25</sup> bis RFI unabhängig voneinander Wasserstoff oder einen CH-GE-Konienwasserstoffrest repräsentieren, oder worin Y -CQ $^cQ^cQ^cQ^c$  repräsentiert, worin  $Q^c$ ,  $Q^c$  und  $Q^c$  verschieden sinc und  $Q^c$  und  $Q^c$  wie zuvor  $Q^c$ .  $Q^c$  und  $Q^c$  definiert sind, und wobe:  $Q^c$  (CH;  $\rho_c$  repräsentiert, von dem eine Bindung mit der Bindung von Rf verknüptt ist, wobel gleine ganze Zanl von 1 bis 4 ist.

- 2. Organische nichtlineare obtische Verbindung nach Ansprüch 1. wörin in der Ecrmei (I; R. Wasserstoff repräsentiert. Z¹ Wasserstoff, R⁵ R⁵ N-, R¹ O-, R⁵ S-, NC-, R⁵ OCO-, R¹º COC-, O₂ N-, R¹º R¹º NOC-, R¹º CO-(R\*4)N- oder R\*5- repräsentiert. Ar eine aromatische Gruppe mit 5 bis 14 Kohlenstoffatomen repräsentiert und B -OH+Amin' repräsentiert, worin Amin' ein optisch aktives Amin, ausgewählt aus der aus 1-Phenviethylamin,  $1-(\alpha-Naphthyl)$ -ethyl-amin, 1-Phenyl-2-methylethylamin, <math>1-Phenyl-2-aminopropan und Brucin bestehenden Gruppe, repräsentiert.
- 3. Organische nichtlineare optische Verbindung nach Anspruch 1, worin in der Formel (I) R' Wasserstoff repräsentiert, Z¹ H-, R⁵ R¹ N-, R7O-, R⁵S-, NC-, O₂N-, R⁵ OCO-, R¹ COO-, R¹ H¹²NOCY-, R¹ CO(R¹²)Noder R15 repräsentiert, Ar eine aromatische Gruppe mit 6 bis 14 Konlenstoffatomen und B ein -OH-Amin' repräsentiert, wobei Amin' ein optisch aktives Amin repräsentiert, ausgewählt aus der aus 2-Amino-1-butanol, 1-Amino-2-propanol, 2-Amino-1-propanol, 2-Amino-1-(p-nitrophenyl)-1,3-propandiol, 2-Dimethyl-amino-1-phenyl-1-benzyl-1-propanol und 1-(N,N-dimethylamino)-1-phenyl-propylamin bestehenden Gruppe.
- Organische nichtlineare optische Verbindung nach Anspruch 1, worin in Formel (I) R1 Wasserstoff repräsentiert, A 25



repräsentiert, worin einer der Reste

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Z<sup>2</sup>, Z<sup>3</sup> und Z<sup>4</sup> Wasserstoff repräsentiert und die restlichen unabhängig voneinander C<sub>1</sub>-C<sub>10</sub>-Alkyl, R<sup>16</sup>O-, R<sup>17</sup>R<sup>18</sup>N-, R<sup>19</sup>S oder O<sub>2</sub>N- repräsentieren, wobei Ar eine aromatische Gruppe mit 6 bis 14 Kohlenstoffatomen repräsentiert und wobei B einen Rest eines optisch aktiven Amins repräsentiert, welches aus der aus 2-Amino-1-butanol, 1-Amino-2-propanol, 2-Amino-1-propanol, 2-Amino-1-(p-nitrophenyl)-1,3-propandiol, 2-Dimethylamino-1-phenyl-1-benzyl-1-propanol und 1-(N,N-dimethylamino)-1-phenylpropylamin bestehenden Gruppe ausgewählt ist.

5. Organische nichtlineare optische Verbindung nach Anspruch 1, worin in der Formel (I) R1 Wasserstoff repräsentiert, A



repräsentiert, worin einer der Reste 50

 $Z^2$ ,  $Z^3$  und  $Z^4$  Wasserstoff repräsentiert und die restlichen unabhängig voneinander  $C_1$ - $C_{10}$ -Alkyl,  $R^{16}$  O-R<sup>17</sup>R<sup>18</sup>N-, R<sup>18</sup>S- oder O<sub>2</sub>N- repräsentieren, worin Ar eine aromatische Gruppe mit 6 bis 14 Kohlenstoffatomen repräsentiert und B einen Rest eines optisch aktiven Amins repräsentiert, ausgewählt aus der aus 1-Phenylethylamin, 1-a-Naphthyl-ethylamin, 1-Phenyl-2-methyletnylamin, 1-Phenyl-2aminopropan und Brucin bestehenden Gruppe.

6. Organische nichtlineare optische Verbindung nach Anspruch 1, worin in der Formel (I) R\* Wasserstoff repräsentiert, A Z'-Ar- repräsentiert, wobei Z' Wasserstoff, R<sup>€</sup>R<sup>€</sup>N-, R<sup>2</sup>O-, R<sup>E</sup>S-, NC-, O₂N-, R<sup>E</sup>OCO-,

PTICOO- RTPPINOC- RTCO(RTIN- oder RTI repräsentiert, wobe. Ar einer aromatischer. Ring mit 6 bis 14 Konienstoffatomer repräsentiert und wobe EI-NRFY repräsentiert

- 7. Organische nichtlineare optische Verbindung nach Anspruch 1, worin in der Formel (I: R1 Wasserstoff repräsentiert. A Z'-A'- repräsentiert. Z' REREN- REG- RES-, NC-, REOCO-, RECOC-, RERENOC. B<sup>15</sup>CO(R<sup>16</sup>)N- oder R<sup>15</sup>- repräsentiert. Ar eine aromatische Gruppe mit 6 bis 14 Hönlichstoffatomen repräsentiert und worin E -OH+Amin\*, wobe: Amin\* eine optisch aktive α-Aminosäure oder deren Derivate repräsentiert
- 10 8. Organische nichtlineare optische Verbindung nach Anspruch 1, worin in der Formel (I) R1 Wasserstoff repräsentiert. A

$$z^2$$
 $z^3$ 
Ar

repräsentiert, worin einer der Reste

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- Z2, Z2 und Z4 Wasserstoff repräsentieren oder einen substituierten C:-Cε-Alkylrest und wobei die restlichen Reste Z<sup>2</sup>, Z<sup>3</sup> und Z<sup>4</sup> zusammen eine Methylen-dioxy-Gruppe repräsentieren, wobei die Dioxy-Gruppen an die benachbarten Positionen an Ar gebunden sind, wobei Ar eine aromatische Gruppe mit 6 bis 14 Kohlenstoffatomen repräsentiert und worin B -OH-Amin\* repräsentiert, wobei Amin' ein optisch aktives Amin repräsentiert, ausgewählt aus der aus 1-Phenylethylamin,  $1-\alpha$ -Naphthylethylamin, 1-Phenyl-2-methylethylamin, 1-Phenyl-2-aminopropan, Brucin, 2-Amino-1-butanol, 1-amino-2-propanol, 2-Amino-1-propanol, 2-Amino-1-(p-nitrophenyl)-1,3-propandiol, 2-Dimethyl-amino-1-phenyl-1-benzyl-1-propanol, 1-(N.N-Dimethyl-amino)-1-phenyl-propylamin und einem Rest einer optisch aktiven α-Aminosäure und deren Derivate bestehenden Gruppe; oder -NR<sup>4</sup>Y.
- 30 9. Organische nichtlineare optische Verbindung nach Anspruch 1, worin in der Formel (I) R1 Wasserstoff oder Methyl repräsentiert; A R² repräsentiert, wobei R² Wasserstoff oder eine Alkylgruppe mit 1 bis 12 Kohlenstoffatomen repräsentiert und worin B -OH+Amin\* repräsentiert, worin Amin\* ein optisch aktives Amin repräsentiert, ausgewählt aus der aus 1-Phenylethylamin, 1-(α-Naphthyl)ethylamin, 1-Phenyl-2methylethylamin, 1-Phenyl-2-aminopropan, Brucin; 2-Amino-1-butanol, 1-Amino-2-propanol, 2-Amino-1propanol, 2-Amino-1-(p-nitrophenyl)-1,3-propandiol, 2-Dimethylamino-1-phenyl-1-benzyl-1-propanol, 1-35 (N,N-dimethylamino)-1-phenyl-1-benzyl-1-propanol, 1-(N,N-Dimethylamino)-1-phenyl-propylamin und einem Rest einer optisch aktiven a-Aminosäure und deren Derivate bestehenden Gruppe.
- 10. Organische nichtlineare optische Verbindung nach Anspruch 1, worin in der Formel (I) R1 Wasserstoff repräsentiert, A 40

$$(z^5)_r \stackrel{\mathsf{C}}{+} \stackrel{\mathsf{C}}{+} \stackrel{\mathsf{C}}{+}$$

- und B ein -OH-Amin' repräsentiert, wobei Amin' ein optisch aktives Amin repräsentiert, ausgewählt aus der aus 1-Phenylethylamin, 1-(a-Naphthyl)ethylamin-1-phenyl-2-methylethylamin, 1-phenyl-2-aminopropan, Brucin, 2-Amino-1-butanol, 1-Amino-2-propanol, 2-Amino-1-propanol, 2-Amino-1-(p-nitrophenyl)-1,3-propandiol, 2-Dimethylamin-1-phenyl-1-benzyl-1-propanol, 1-(N,N-Dimethylamino)-1-phenyl-propylamin und einem Rest einer optisch aktiven α-Aminosäure und deren Derivate bestehenden Gruppe; oder
- 11. Organische nichtlineare optische Verbindung nach Anspruch 1, worin in der Formel (I) R1 Wasserstoff repräsentiert, A

$$\frac{z^2}{z^3} > \text{A.r}$$

repräsentiert, worin einer der Reste  $Z^1$ ,  $Z^2$  und  $Z^4$  Wasserstoff repräsentiert und die restlichen unaphängig voheinander  $G_1, G_2, Alkyl, R^{10}G_2, R^{11}R^{10}N_1, R^{10}S$  oder  $G_2N_2$ repräsentieren, Ar eine aromatische Gruppe mit 6 bis 14 Kohlenstoffatomen repräsentiert und Eleir  $-OH_1$ Amin repräsentiert, worin Amin eine optisch aktive  $\alpha$ -Aminosäure oder deren Derivate repräsentiert.

#### Revendications

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1. Substance optique non linéaire organique répondant à la formule (I) :

$$A \leftarrow CR^{1} = CH \rightarrow \frac{1}{n} \quad CH = C - C - B \qquad (I)$$

$$CN \quad O$$

dans laquelle R' représente -H ou -CH₃ ; n est 0, 1 ou 2 ; A représente Z'-Ar-,

$$z^{2}$$
 $z^{3}$ 
Ar-,  $z^{2}$ - ou  $(z^{5})$ 
 $z^{4}$ 

B représente un groupe -OH• Amine\* dans lequel Amine représente une amine optiquement active ; -NR⁴Y dans lequel R⁴ représente -H ou une simple liaison ; Y représente -(CH₂) $_{\text{L}}$ CQ¹Q²Q³où p est 0 ou 1 ; O¹, Q² et Q³ sont différents et représentent -H, un groupe alkyle en C·-C₅, un groupe phényle, naphtyle, -OH, -COH $_{\text{L}}$ OH, -COOR $_{\text{L}}$ 5, -CNR $_{\text{L}}$ 6 R²², un radical d'alpha-aminoacide dont un groupe amino est éliminé, R²⁵ à R²² représentant indépendamment -H ou un radical hydrocarbure en C₁-C₂ ; ou Y représente -CQ⁴Q⁵Q⁴où Q⁴, Q⁵ et Q⁶ sont différents et Q⁴ et Q⁵ sont tels que définis pour Q¹, Q² et Q² et Q⁶ représente (CH $_{\text{L}}$ 9) $_{\text{Q}}$  dont une liaison est liée à la liaison de R⁴ où q est un entier de 1 à 4.

- 2. Substance optique non linéaire organique selon la revendication 1, dans laquelle dans la formule (I), R¹ représente H, Z¹ représente H-, R⁵R¹N-, R²O-, R˚S-, NC-, R⁵OCO-, R¹°COO-, O₂N-, R¹¹R¹²NOC-, R¹³CO(R¹⁴)N- ou R¹⁵-, Ar représente un groupe aromatique en C₅-C₁₄ et B représente -OH-Amine¹ où Amine¹ représente une amine optiquement active choisie parmi la 1-pnényléthylamine, la 1-(alphanaphtyl)éthylamine, la 1-phényl-2-méthyéthylamine, le 1-phényl-2-aminopropane et la brucine.
- Substance optique non linéaire organique selon la revendication 1, dans laquelle dans la formule (I), R' représente H-, Z' représente H-, R<sup>5</sup>R<sup>6</sup>N-, R<sup>7</sup>O-, R<sup>8</sup>S-, NC-, O<sub>2</sub>N-, R<sup>5</sup>OCO-, R'COO-, R'R<sup>2</sup>NOC-,

RIPCO(RIF)N- ou RIF-. As représente un groupe aromatique en C.-C-2 et B représente un groupe -OH-Aminet où Aminet représente une amine optiquement active choisse dans le groupe constitué par le 2-amine-1-putano : le 1-amine-2-propanoi, le 2-amine-1-propano. le 2-amine-1-(c-nitrophényl)-1,3-propanedio, le 2-dimétnyl- amine-1-phényl-1-penzyl-1-propano et la 1-(N,N-dimétriy--amino)-1-phényl-propylamine.

 Susptance optique non linéaire organique selon la revendication 1 dans laquelle, dans la formule (I), R' représente. H. A représente.

$$z^{\frac{2}{3}}$$

$$z^{\frac{3}{4}} Ar$$

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où un des symboles  $Z^2$ ,  $Z^2$  et  $Z^4$  représentent H et les autres représentent indépendamment un groupe alkyle en  $C_1$ - $C_1$ c,  $R^{16}$ C-,  $R^{17}$ R<sup>18</sup>N-,  $R^{19}$ S, ou  $O_2$ N-,  $A^r$  représente un groupe aromatique en  $C_6$ - $C_{14}$  et B représente un radical d'une amine optiquement active choisie dans le groupe constitué par le 2-amino-1-butanol, le 1-amino-2-propanol, le 2-amino-1-propanol, le 2-amino-1-(p-nitrophényl)-1,3-propanediol, le 2-diméthyl-amino)-1-phényl-1-benzyl-1-propanol et le 1-(N,N-diméthyl-amino)-1-phénylpropylamine.

5. Substance optique non linéaire organique selon la revendication 1, dans laquelle, dans la formule (I) R¹ représente H, A représente



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où un des symboles  $Z^2$ ,  $Z^3$  et  $Z^4$  représentent H- et les autres représentent indépendamment un groupe alkyle en  $C_1$ - $C_{10}$ ,  $R^{16}$   $O_-$ ,  $R^{17}$   $R^{18}$   $N_-$ ,  $R^{19}$   $S_-$ , ou  $O_2N_-$ , Ar représente un groupe aromatique en  $C_6$ - $C_{14}$  et B représente un radical d'une amine optiquement active choisie dans le groupe constitué par la 1-(phényl)éthylamine, la 1-(alphanaphtyl)éthylamine, la 1-phényl-2-méthyléthylamine, le 1-phényl-2-aminopropane et la brucine.

- 6. Substance optique non linéaire organique selon la revendication 1, dans laquelle dans la formule (I), R¹ représente H, A représente Z¹Ar-, Z¹ représente H-, R⁵ R⁶N-, R²O-, R⁶S, NC-, O₂N-, R⁶OCO-, R¹·R¹²NOC-, R¹°CO(R¹⁴)N- ou R¹⁵-, Ar représente un cycle aromatique en C₆-C₁₄ et B représente -NR⁴Y.
- 7. Substance optique non linéaire organique selon la revendication 1, dans laquelle, dans la formule (I), R¹ représente H, A représente Z'Ar-, Z' représente R⁵R6N-,R²O-, R⁵S-, NO-, R⁵OCO-, R¹OCOO-, R¹RNOC-, R¹SCO(R¹4)N-ou R¹5-, Ar représente un cycle aromatique en C<sub>€</sub>-C<sub>14</sub> et B représente un groupe -OH-Amine\* dans lequel Amine\* représente un alpha-aminoacide optiquement actif ou un dérivé de celui-ci.
- 50 8. Substance optique non linéaire organique selon la revendication 1, dans laquelle, dans la formule (I), R¹ représente H, A représente



où un des symboles Zi, Zi et Zi représente H où un groupe alkyie en C+-C<sub>4</sub> substitué les autres représentent ensemble un groupe méthylénedioxy dans lequel les groupes dioxy sont liés aux positions adiacentes de Ar. An représente un groupe aromatique en C<sub>4</sub>-C<sub>1/4</sub> et B représente un groupe -OH+Amine\* dans leque Amine\* représente une amine obtiquement active choisie dans le groupe constitué par la 1-phényiéthylamine 1-(alpha-haphtyl)éthylamine la 1-phényi-2-méthyléthylamine, le 1-phényi-2-aminopropane, la prudine, le 2-amino-1-butanoi. le 1-amino-2-propanoi. le 2-amino-1-propanoi, le 2-amino-1-phényi-1,3-propanediol. le 2-diméthylamino-1-phényi-1-benzyi-1-propanoi, la 1-(N,N-diméthylamino-1-phényi-propylamine et un radical d'un alpha-aminoacide optiquement actif et le dérivé de celui-di , ou -NR<sup>4</sup> Y

- 9. Substance optique non linéaire organique selon la revendication 1, dans laquelle, dans la formule (I), R' représente H ou CH<sub>5</sub>. A représente R<sup>2</sup>, dans leque! R<sup>2</sup> représente H ou un groupe alkyle en C--C-<sub>2</sub>, et B représente un groupe -OH+Amine\* dans leque. Amine\* représente une amine optiquement active choisie dans le groupe constitué par la 1-(phényl)éthylamine, 1-(alphanaphtyl)éthylamine.la 1-phényl-2-méthyléthylamine, le 1-phényl-2-aminopropane, la brucine ; le 2-amino-1-butanol, le 1-amino-2-propanol, le 2-amino-1-propanol, le 2-amino-1-(p-nitrophényl)-1,3-propanediol, le 2-diméthylamino-1-phénil-1-benzyl-1-propanol, la 1-(N,N-diméthylamino)-1-phényl-propylamine et un radical d'un alpha-aminoacide optiquement actif et le dérivé de celui-ci.
- Substance optique non linéaire organique selon la revendication 1, dans laquelle, dans la formule (I), R¹ représente H, A représente

- et B représente un groupe -OH·Amine\* dans lequel Amine\* représente une amine optiquement active choisie dans le groupe constitué par la 1-(phényl)éthylamine, 1-(alphanaphtyl)éthylamine, la 1-phényl-2-méthyléthylamine, le 1-phényl-2-aminopropane, la brucine ; le 2-amino-1-butanol, le 1-amino-2-propanol, le 2-amino-1-propanol, le 2-amino-1-(p-nitrophényl)-1,3-propanediol, le 2-diméthylamino-1-phényl-1-benzyl-1-propanol, la 1-(N,N-diméthylamino)-1-phényl-propylamine et un radical d'un alpha-aminoacide optiquement actif et les dérivés de celui-ci ; ou NR<sup>4</sup> Y.
- Substance optique non linéaire organique selon la revendication 1, dans laquelle, dans la formule (I), R¹ représente H, A représente

$$\begin{array}{c}
z^{2} \\
z^{3} \rightarrow \text{Ar} \\
z^{4}
\end{array}$$

où un des symboles Z², Z³ et Z⁴ représentent H- et les autres représentent indépendamment un groupe alkyle en C₁-C₁0, R¹6O-, R¹7R¹8N-, R¹8S, ou O₂N-, Ar représente un groupe aromatique en C₄-C₁4 et B représente un groupe - OH+Amine\* dans lequel Amine\* représente des alpha-aminoacides optiquement actifs et leurs dérivés.

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# (T2)

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# (4) Organic nonlinear optical substance.

(i): An organic nonlinear optical substance having the formula

(I):
$$A \leftarrow CR^{1} = CH \rightarrow_{n} CH = C - C - B \quad (I)$$

$$CN \quad O$$

wherein R1 represents -H or -CH<sub>3</sub>; n is 0, 1, or 2; A represents Z1-Ar,

$$z^{2}$$
 $z^{3}$ 
 $z^{4}$ 
Ar-,  $z^{2}$ -, or  $(z^{5})_{r}$ 
 $z^{6}$ 
 $z^{7}$ 

wherein Ar represents a 6 - 14 membered aromatic group including a heterocyclic ring or bisphenylene type ring; Z¹ represents H-, R⁵R⁵N-, R²O-, R³S-, NC-, R°OCO-, R¹0COO-, O₂N-, R¹¹R¹²NOC, R¹³CO(R¹⁴)N-, or R¹5-; Z², Z³, and Z⁴ independently represent H-, a C₁-Ce alkyl-, R¹6O-, R¹²R¹ªN, R¹°S-, O₂N-, or two R¹6 being, in combination, R²°CH; R² represents H- or a C₁-C₁₂ alkyl; R⁵ to R²⁰ independently represent H-, or a C₁-C₁₀ hydrocarbon residue; Z⁵ independ-

ently represents H-, a C<sub>1</sub>-C<sub>8</sub> saturated hydrocarbon residue, O<sub>2</sub>N-, R<sup>2</sup>1O-, R<sup>2</sup>S-, NC-, or R<sup>23</sup>R<sup>24</sup>N- wherein R<sup>21</sup> to R<sup>24</sup> independently represent H or a C<sub>1</sub>-C<sub>6</sub> saturated hydrocarbon residue; X represents -S-, -O-, or > NR<sup>28</sup>; r is 0 or an integer of 1 to 3; and R<sup>26</sup> represents H or a hydrocarbon group having 1 to 8 carbon atoms;

B represents -OH-Amine\* where Amine\* represents an optically active amine; -OR³ where R³ represents a C12-C25 linear hydrocarbon residue; -NR⁴Y where R⁴ represents -H or a single bond; Y represents + CH2+ $_p$  CQ1Q2Q³ where p is 0 or 1; Q¹, Q², and Q³ are different and represent -H, a C1-C5 alkyl, phenyl, naphthyl, -OH, -CH2OH, -COOR25, -CNR26R27, a residue of an α-amino acid from which an amino group is removed, where to independently represent -H or -C1-C8 hydrocarbon residue; or -CQ4Q5Q6 where Q⁴, Q⁵ and Q⁶ are different and Q⁴ and Q⁵ are as defined for Q¹, Q² and Q³ and Q⁶ represent +CH2+ $_q$  of which one bond is linked to the bond of R⁴ where q an integer of 1 to 4.

EP 0 335 641 A

#### Description

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#### ORGANIC NONLINEAR OPTICAL SUBSTANCE

#### BACKGROUND OF THE INVENTION

#### 1. Field of the Invention

The present invention relates to a novel nonlinear optical material having an increased second harmonic generating ability and usable for an optical switch, an optical memory in an optical data/information processing or optical communication system, or an optical bistable element to be used in optical signal operation. Further, it pertains to a novel nonlinear optical crystalline material containing a salt obtained by the reaction of a conjugated aromatic carboxylic acid compound with an optically active amine.

#### 2. Description of the Related Art

The nonlinear optical effect refers to, for example, a secondary or higher effect of the magnitude of an applied electric field exhibited by the relationship of an electric polarizing response of a substance, which is only primarily proportional to the magnitude of the applied electric field, when, for example, a strong photoelectric field such as a laser beam is applied to the substance.

A secondary nonlinear optical effect may include a second harmonic generation of converting the wavelength of an incident light to a 1/2 wavelength, a parametric oscillation which converts a light with one kind of wavelength into a light with two kinds of wavelengths, and a secondary light mixing which on the contrary generates a light with one kind of wavelength from a light with two kinds of wavelengths. Due to these various characteristics, materials having a nonlinear optical effect will be for use as an optical switch, optical memory in optical data/information processing, or such elements as optical bistable element, optical switch, etc. to be used in optical signal operations.

Generally speaking, in this field of the art, inorganic materials, primarily LiNbO<sub>3</sub>, have been studied and investigated, but inorganic materials had a drawback such that great difficulty is encountered in forming a desired optical element, because of such shortcomings as their performance indices which are not so great, small response speed, no good form workability, great hygroscopicity, low stability, etc.

In recent years, in contrast to these inorganic materials, researchers are becoming more interested in application of organic materials. This is because the response of organic materials is based primarily on the  $\pi$  electron polarization, whereby the nonlinear effect is great, and also the response speed is great, as confirmed and reported in the art. For example, a large number of studies are reported in the ACS Symposium Series Vol. 233, 1983). The secondary nonlinear optical characteristics to be dealt with in the present invention, which is a third rank tensor, cannot be evoked if a symmetric center exists in the molecular or the crystal. For this reason, in the case of organic materials, even when they may have a structure exhibiting an excellent nonlinear effect at molecular level, they must be formed into crystals or solid state for using the second harmonic generation as the practical mode. However, at such stage of solidification, an inversion symmetrical structure will be frequently formed preferentially, whereby there has been involved the problem that a nonlinear optical effect as an optical element can not be exhibited.

## SUMMARY OF THE INVENTION

Accordingly, an object of the present invention is to solve the above-mentioned problems of the prior art and to provide an organic crystalline compound for various nonlinear optical elements, and having an increased second harmonic generating ability, a high molecular polarizing ability, and having no inversion symmetry.

Another object of the present invention is to provide an organic crystalline compound having excellent second harmonic generating ability required for a material for forming various optical signal processing elements.

Other objects and advantages of the present invention will be apparent from the following description. In accordance with the present invention, there is provided an organic nonlinear optical substance having the formula (I):

$$A \leftarrow CR^{1} = CH \rightarrow \underline{\underline{n}} CH = C - C - B$$

$$CN O$$
(I)

wherein R<sup>1</sup> represents -H or -CH<sub>3</sub>; n is 0, 1, or 2; A represents Z<sup>1</sup>-Ar,

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$$z^{2}$$
 $z^{3}$ 
Ar-,  $R^{2}$ , or  $(z^{5})$ 
 $z^{4}$ 
 $z^{4}$ 

wherein Ar represents a 6 - 14 membered aromatic group including a heterocyclic ring or bisphenylene type ring; Z¹ represents H-, R⁵R6N-, R²O-, R8S-, NC-, R⁰OCO-, R¹0COO-, O₂N-, R¹1R¹2NOC-, R¹3CO(R¹4)N-, or R¹5-; Z², Z³, and Z⁴ independently represent H-, a  $C_1$ -C8 alkyl-, R¹6O-, R¹7R¹8N-, R¹9S-, O₂N-, or two R¹6 being, in combination, R²0CH; R² represents H- or a  $C_1$ -C12 alkyl; R⁵ to R²0 independently represent H-, or a  $C_1$ -C10 hydrocarbon residue; Z⁵ independently represents H-, a  $C_1$ -C8 saturated hydrocarbon residue, O₂N-, R²1O-, R²2S-, NC-, or R²3R²4N-, wherein R²¹ to R²⁴ independently represent H or a  $C_1$ -C8 saturated by hydrocarbon residue; X represents -S-, -O-, or NR²8; r is 0 or an integer of 1 to 3; and R²6 represents H or a hydrocarbon group having 1 to 8 carbon atoms;

B represents -OH-Amine\* where Amine\* represents an optically active amine; -NR4Y where R4 represents -H or a single bond; Y represents (CH<sub>2</sub>)<sub>p</sub> CQ<sup>1</sup>Q<sup>2</sup>Q<sup>3</sup> where p is 0 or 1; Q<sup>1</sup>, Q<sup>2</sup>, and Q<sup>2</sup> are different and represent -H, a C<sub>1</sub>-C<sub>5</sub> alkyl, phenyl, naphthyl, -OH, -CH<sub>2</sub>OH, -COOR<sup>25</sup>, -CNR<sup>26</sup>R<sup>27</sup>, a residue of an  $\alpha$ -amino acid from which an amino group is removed, where R<sup>25</sup> to R<sup>27</sup> independently represent -H or -C<sub>1</sub>-C<sub>8</sub> hydrocarbon residue, or -CQ<sup>4</sup>Q<sup>5</sup>Q<sup>6</sup> where Q<sup>4</sup>, Q<sup>5</sup> and Q<sup>6</sup> are different and Q<sup>4</sup> and Q<sup>5</sup> are as defined for Q<sup>1</sup>, Q<sup>2</sup> and Q<sup>3</sup> and Q<sup>6</sup> represents +CH<sub>2</sub>+ $_{\rm Q}$  of which one bond is linked to the bond of R<sup>4</sup> where  $_{\rm Q}$  is an integer of 1 to 4.

# DESCRIPTION OF THE PREFERRED EMBODIMENTS

Generally speaking, the second harmonic generating ability is greater in a longer conjugated system, in which polarization within the molecular is greater and also contribution of the polarization is greater, but with elongation of the conjugated length, the absorption maximum is shifted toward the longer wavelength side, whereby correspondence to a 1/2 wavelength of the incident light may occur. In this case, optical damage may occur which absorbs the second harmonic generated and changes the refractive index, chemical denaturation, or combustion by an absorption of heat energy. Accordingly, it is frequently disadvantageous to simply elongate the conjugated length. For example, a compound increased in molecular polarization due to an insertion of a carboxyl group represented by the formula (I) shown below, a group hewing a high electron attractability such as a cyano group, and further various substituents into benzene nucleus may be expected to have large nonlinearily as the result of shifting effect of electron arrangement within the ring, but practically has a structure with an inversion asymmetry centerdue to a large molecular polarization, whereby frequently a generation of the second harmonic can not be observed. Generally speaking, it is a difficult technique to control the crystalline structure, particularly to form a crystal form which will collapse the symmetry center. Accordingly, while an excellent nonlinear susceptibility may be expected to be possessed at molecular level, most examples prove to be no longer effective as the second harmonic generating material. As the result of intensive studies, as shown in the present invention, by use of an optically active amine as the basic substance to introduce its optically active asymmetric structure thereof as the carboxylic acid salt, and consequently a structure without an inversion asymmetry center can be prepared to accomplish the present invention. As a consequence, the great nonlinear susceptibility at molecular level can be exhibited as such as the crystal structure, and this may be considered to contribute greatly to applications in this field of the art.

To enhance the nonlinear optical effect, it is necessary to have a large dipole within one molecular structure, and for this purpose, the general formula has cyano group, carboxyl group permitted to exist on the same carbon atom. Also, for the molecular polarizations to interfere with each other, it is desirable that a conjugated system exists, but an elongation of the conjugated length will result in an elongation of the absorption maximum to the longer wavelength side, whereby there is the possibility that the damage may be formed due to the incident light wavelength or the second harmonic wavelength. For this reason, the conjugated length should not be too long.

From the above-mentioned standpoints, it has been found that  $\alpha$ -cyanoacrylic acid derivatives hewing a conjugated group are effective as an acid moiety having a conjugated system. Furthermore, the compounds having the formula (I) including the optically active amine salts or amides are effective for providing asymmetry center, as mentioned above.

According to a first embodiment of the present invention, the organic nonlinear optical substance has the general formula (I) wherein R¹ represents H, Z¹ represents H-, R⁵R6N-, R³O-, R8S-, NC-, R9OCO-,  $O_2N$ -, R¹0COO-, R¹¹R¹²NOC-, R¹3CO(R¹⁴)N-, or R¹5-, Ar represents an aromatic group having 5 to 14 carbon atoms, and B represents -OH-Amine\* wherein Amine\* represents an optically active amine selected from the group consisting of 1-(phenyl)ethylamine, 1-( $\alpha$ -naphthy)ethylamine, 1-phenyl-2-methylethylamine, 1-phenyl-2-aminopropane, and brucine.

These amines are strong bases and, therefore, easily react with carboxylic acids to form stable salts in any conventional method.

The optically active amines may be dextrorotatory or levorotatory. Formation of the salt may be carried out by conventional neutralization reaction, which may be in either state of a solution or a solid phase.

For maintaining the purity of the optical activity, it is not preferable to carry out the reaction at too high a temperature, but it is desirable to contrive to inhibit heat generation during salt formation. Salt formation will frequently give a product which differs in solubility to a great extent from the starting material, and therefore existence of salt formation can be easily confirmed and its purification can be also easily done.

The optically active amine salt of the carboxylic acid thus obtained assumes a crystalline state, having excellent moldability, and can be formed into various elements under the crystalline form as such or as a solid solution, which can be then applied to the nonlinear optical application field.

The organic nonlinear optical substances according to the first embodiment of the present invention have an extremely large second harmonic ability. The carboxylic acid molety of this compound can be preferably from the caboxylic derived acids having the structure

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z^{1} - \lambda_{z} - (CH - CH) - CH = C - COOH (II)
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Examples of such carboxylic acid may include:

substituted phenyl 2-cyanopropenoic acid derivatives such as 3-phenyl-2-cyanopropenoic acid,

3-(p-dimethylaminophenyl)-2-cyanopropenoic acid,

3-(p-aminophenyl)-2-cyanopropenoic acid,

3-(p-diethylaminophenyl)-2-cyanopropenoic acid,

3-(p-dipropylaminophenyl)-2-cyanopropenoic acid,

3-(p-dibutylaminophenyl)-2-cyanopropenoic acid,

3-(p-monomethylaminophenyl)-2-cyanopropenoic acid,

3-(p-monoethylaminophenyl)-2-cyanopropenoic acid, and the m- or o- substituted derivatives:

3-(p-methyloxyphenyl)-2-cyanopropenoic acid,

3-(p-ethyloxyphenyl)-2-cyanopropenoic acid,

3-(p-propyloxyphenyl)-2-cyanopropenoic acid,

3-(p-butyloxyphenyl)-2-cyanopropenoic acid,

3-(p-pentyloxyphenyl)-2-cyanopropenoic acid,

3-(p-n-hexyloxyphenyl)-2-cyanopropenoic acid,

3-(p-decanoxyphenyl)-2-cyanopropenoic acid, and the m-or o- substituted derivatives;

3-(p-methylthiophenyl)-2-cyanopropenoic acid,

3-(p-ethylthiophenyl)-2-cyanopropenoic acid,

3-(p-propylthiophenyl)-2-cyanopropenoic acid,

3-(p-butylthiophenyl)-2-cyanopropenoic acid,

3-(p-pentylthiophenyl)-2-cyanopropenoic acid,

3-(p-n-hexylthiophenyl)-2-cyanopropenoic acid,

3-(p-decanethiophenyl)-2-cyanopropenoic acid, and the m-or o- substituted derivatives;

3-(p-cyanophenyl)-2-cyanopropenoic acid,

3-(m-cyanophenyl)-2-cyanopropenoic acid,

3-(o-cyanophenyl)-2-cyanopropenoic acid,

3-(p-methyloxycarbonylphenyl)-2-cyanopropenoic acid,

3-(p-ethyloxycarbonylphenyl)-2-cyanopropenoic acid,

3-(p-propyloxycarbonylphenyl)-2-cyanopropenoic acid, and the m- or o- substituted derivatives;

3-(p-acetyloxyphenyl)-2-cyanopropenoic acid,

3-(p-propionyloxyphenyl)-2-cyanopropenoic acid,

3-(p-butanoyiphenyl)-2-cyanopropenoic acid, and the m-or o- substituted derivatives;

3-(p-nitrophenyl)-2-cyanopropenoic acid,

3-(m-nitrophenyl)-2-cyanopropenoic acid,

3-(o-nitrophenyl)-2-cyanopropenoic acid,

3-(p-dimethylamidophenyl)-2-cyanopropenoic acid,

3-(p-diethylamidophenyl)-2-cyanopropenoic acid,

3-(p-dipropylamidophenyl)-2-cyanopropenoic acid,

3-(p-dibutylamidophenyl)-2-cyanopropenoic acid, and the m- or o- substituted derivatives;

3-(p-acetylaminophenyl)-2-cyanopropenoic acid,

3-(p-propionylaminophenyl)-2-cyanopropenoic acid, and the m- or o- substituted derivatives:

3-(p-methylphenyl)-2-cyanopropenoic acid.

3-(p-ethylphenyl)-2-cyanopropenoic acid,

3-(p-propylphenyl)-2-cyanopropenoic acid,

3-(p-butylphenyl)-2-cyanopropenoic acid,

3-(p-pentylphenyl)-2-cyanopropenoic acid,

3-(p-n-hexylphenyl)-2-cyanopropenoic acid,

3-(p-decanephenyl)-2-cyanopropenoic acid, and the m- or o- substituted derivatives;

Substituted phenyl 2-cyano-2,4-pentadienoic acid derivatives such as:

2-cyano-5-phenyl-2,4-pentadienoic acid, 2-cyano-5-(p-dimethylaminophenyl)-2,4-pentadienoic 2-cyano-5-(p-diethylaminophenyl)-2,4-pentadienoic acid, 2-cyano-5-(p-dipropylaminophenyl)-2,4-pentadienoic acid 2-cyano-5-(p-dibutylaminophenyl)-2,4-pentadienoic acid, 2-cyano-5-(p-monomethylaminophe-

nyl)-2.4-pentadienoic acid, 2-cyano-5-(p-aminophenyl)-2.4-pentadienoic acid, and the m- or o- substituted

derivatives; 2-cyano-5-(p-methyloxyphenyl)-2,4-pentadienoic acid, 2-cyano-5-(p-ethyloxyphenyl)-2,4-pentadienoic acid, 2-cyano-5-(p-propyloxyphenyl)-2,4-pentadienoic acid, 2-cyano-5-(p-butyloxyphenyl)-2,4-pentadienoic acid	
and m-, o- substituted derivatives thereof; 2-cyano-5-(p-methylthiophenyl)-2,4-pentadienoic acid, 2-cyano-5-(p-ethylthiophenyl)-2,4-pentadienoic acid, 2-cyano-5-(p-butylthiophenyl)-2,4-pentadienoic acid, 2-cyano-5-(p-butylthiophenyl)-2,4-pentadienoic	5
acid, 2-cyano-5-(p-propylthiophenyl)-2,4-pentacienoic acid, 2-cyano 5 (p-cyanophenyl)-2,4-pentacienoic acid, and m-, o- substituted derivatives thereof;  5-(p-cyanophenyl)-2,4-pentacienoic acid, and m-, o- substituted derivatives thereof;  2-cyanophenyl)-2,4-pentacienoic acid, and m-, o- substituted derivatives thereof;	
2-cyano-5-(p-methyloxycarbonylphenyl)-2,4-pentadienoic acid, 2-cyano-5-(p-buty-	10
loxycarbonylphenyl)-2,4-pentadienoic acid, and m-, or substituted derivatives (horsely)-2,4-pentadienoic	
acid, 2-cyano-5-(p-butanoyloxyphenyl)-2,4-pentadienoic acid, and m-, o- substituted derivatives thereof;	
2-cyano-5-(p-dimethylamidophenyl)-2,4-pentadienoic acid, 2 sydno 5 (p-dimethylamidophenyl)	15
2-cyano-5-(p-dipropylamidophenyl)-2,4-pentadienoic acid, 2-cyano-5-(p-dipropylamidophenyl)-2,4-pentadienoic acid, 2-cyano-5-(p-amidophenyl)-2,4-pentadienoic acid, 2-cyano-5-(p-amidophe	
2-cvano-(5-p-acetylaminophenyl)-2,4-pentadienoic acid, 2-cyano-(5-p-propion) artificial acid, 2-cyano-(5-p-propion)	<b>2</b> 0
dienoic acid and m-, o- substituted derivatives thereof; 2-cyano-5-(p-methylphenyl)-2,4-pentadienoic acid, 2-cyano-5-(p-ethylphenyl)-2,4-pentadienoic acid, 2-cyano-5-(p-propylphenyl)-2,4-pentadienoic acid, 2-cyano-5-(p-butylphenyl)-2,4-pentadienoic acid and m-,	
o- substituted derivatives thereof;	<b>2</b> 5
2-cyano-7-phenyi-2,4,6-heptatrienoic acid, 2-cyano-7-(p-dimetriylaminophenyi)-2,4,6-heptatrienoic acid, 2-cyano-7-(p-diethylaminophenyi)-2,4,6-heptatrienoic acid, 2-cyano-7-(p-dipropylaminophenyi)-2,4,6-hepta-	
trienoic acid, 2-cyano-7-(p-aminophenyl)-2,4, 6-heptatrienoic acid, 2-cyano-7-(p-aminophenyl)-2,4,6-heptatrienoic acid, 2-cyano-7-(p-monomethylaminophenyl)-2,4,6-heptatrienoic acid, and m-, o- substituted derivatives acid, 2-cyano-7-(p-monomethylaminophenyl)-2,4,6-heptatrienoic acid, and m-, o- substituted derivatives	<b>3</b> 0
thereof; 2-cyano-7-(p-methyloxyphenyl)-2,4,6-heptatrienoic acid, 2-cyano-7-(p-ethyloxyphenyl)-2,4,6-heptatrienoic acid, 2-cyano-7-(p-butyloxyphenyl)-2,4,6-heptatrienoic acid, 2-cyano-7-(p-butyloxyphenyl)-2,4,6-heptatrienoic	
acid, 2-cyano-7-(p-propyloxypnenyl)-2,4,6-heptatrienoic acid, 2-cyano-7-(p-ethylthiophenyl)-2,4,6-heptatrienoic acid, 2-cyano-7-(p-methylthiophenyl)-2,4,6-heptatrienoic acid, 2-cyano-7-(p-ethylthiophenyl)-2,4,6-heptatrienoic	<b>3</b> 5
acid, 2-cyano-7-(p-propylthiophenyl)-2,4,6-heptatrienoic acid, 2-cyano-7-(p-butylthiophenyl)-2,4,6-heptatrienoic	
acid, and m-, o- substituted derivatives thereof; 2-cyano-7-(p-cyanophenyl)-2,4,6-heptatrienoic acid, and m-, o- substituted derivatives thereof;	
2-cyano-7-(p-methyloxycarbonylphenyl)-2,4,5-neptatrienoic acid, 2-cyano-7-(p-propyloxycarbonylphe-	40
nyl)-2,4,6-heptatrienoic acid, 2-cyano-7-(p-butyloxycarbonylphenyl)-2,4,6-heptatrienoic acid, and in o.	
2-cyano-7-(p-acetyloxyphenyl)-2,4,6-heptatrienoic acid, 2-cyano-7-(p-propiolityloxyphenyl)-2,4,6-heptatrienoic	45
noic acid, 2-cyano-7-(p-butanoyloxyphenyl)-2,4,6-heptatrienoic acid, and the m- or o- substituted derivatives; 2-cyano-7-(p-nitrophenyl)-2,4,6-heptatrienoic acid, and the m- or o- substituted derivatives; 2-cyano-7-(p-nitrophenyl)-2,4,6-heptatrienoic acid, and the m- or o- substituted derivatives;	
2-cyano-7-(p-dimethylamidophenyl)-2,4,6-heptatrienoic acid, 2-cyano-7-(p-dibutylamidophe- tatrienoic acid, 2-cyano-7-(p-dipropylamidophenyl)-2,4,6-heptatrienoic acid, 2-cyano-7-(p-dibutylamidophenyl)-2,4,6-heptatrienoic acid, 2-cyano-7-(p-dibutylamidophenyl)-2,4,6-heptatrienoic acid,	50
2-cyano-7-(p-monomethylamidophenyl)-2,4,6-neptatrienoic acid, and the medico-substitutes acid, acid, 2-cyano-7-(p-ethylphenyl)-2,4,6-heptatrienoic acid,	
2-cyano-7-(p-methylphenyl)-2,4,6-heptatrienoic acid, 2-cyano-7-(p-butylphenyl)-2,4,6-heptatrienoic acid, and 2-cyano-7-(p-propylphenyl)-2,4,6-heptatrienoic acid, and the m- or o- substituted derivatives.  According to a second embodiment of the present invention, the organic nonlinear optical substance has According to a second embodiment of the present invention, the Organic nonlinear optical substance has	55
the general formula (I) wherein R1 represents H, 21 represents H-, HOH-N-, NO-, NO-, NO-, NO-, NO-, NO-, NO-,	
atoms, and B represents -OH-Amine* wherein Amine* represents an optically active amine selected from the group consisting of 2-amino-1-butanol, 1-amino-2-propanol, 2-amino-1-propanol, 2-amino-1-(p-nitrophenyl)-1,3-propanediol, 2-dimethylamino-1-phenyl-1-benzyl-1-propanol, and 1-(N.N-dimethylamino)-1-phenyl-1	<b>6</b> 0
propylamine.  All of the above-mentioned amines have strong basicity and can form readily stable salts with carboxylic	

acids. Formation of the salt may be carried out by conventional neutralization reaction, which may be in either state of a solution of a solid phase. For maintaining the purity of the optical activity, it is not preferable to carry out the reaction at too high a temperature, but it is desirable to contrive to inhibit heat generation during salt

formation. Salt formation will frequently give a product which differs in solubility to a great extent from the starting material, and therefore existence of salt formation can be easily confirmed and its purification can be also easily done.

The optically active amine salt of the carboxylic acid thus obtained assumes a crystalline state, having excellent moldability, and can be formed into various elements under the crystalline form as such or as a solid solution, which can be then applied to the nonlinear optical application field. The carboxylic acid amine salts of this embodiment obtained from the above-mentioned amines especially exhibit excellent damage resistance against laser light.

The carboxylic acid moiety can be derived from the carboxylic acids having the above-mentioned general formula (II).

According to a third embodiment of the present invention, the organic nonlinear optical substance has the general formula (I) wherein R1 represents H, A represents

$$\begin{array}{ccc}
z^{3} & & & \\
& z^{3} & & \\
& z^{4} & & \\
\end{array}$$

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wherein one of Z², Z³, and Z⁴ represents H and the remainder independently represents C<sub>1</sub>-C<sub>10</sub> alkyl, R¹6O-, R¹<sup>7</sup>R¹<sup>8</sup>N-, R¹<sup>9</sup>S, or O<sub>2</sub>N, Ar represents an aromatic group having 6 to 14 carbon atoms and B represents a residue of an optically active amine selected from the group consisting of 2-amino-1-butanol, 1-amino-2-propanol, 2-amino-1-propanol, 2-amino-1-(p-nitrophenyl)-1,3-propanediol, 2-dimethylamino-1-phenyl-1-benzyl-1-propanol, and 1-(N,N-dimethylamino-1-phenyl-propytamine.

25 The caboxylic acid amine salts of this embodiment also exhibit excellent damage resistance against laser light.

The carboxylic acid usable in the present invention, of which molecular polarization occurs as  $\alpha$ -cyanocarboxylic acid and in which electrons are localized on the carboxylic acid side, has two substituents which will make such influences effective existing on the aromatic group, and this contributes greatly to exhibition of the nonlinear optical effect. Particularly, the functional groups should be desirably selected mutually so as to give the synergetic effect. From such standpoint, it is desirable to use a carboxylic acid in which a plurality of ether groups, thioether groups, amino groups having the function as the electron donating group are mutually substituted on the aromatic group. Also, depending on the substituted position, electron attracting groups will exhibit a donating function. The above-mentioned carboxylic acids can be represented by the following structure (III).

$$z^{2}$$
 $z^{3}$  Ar (-CH = CH -) CH = C - COOH (III)
 $z^{4}$ 

Examples of such carboxylic acid include

3-(3,4-dimethoxyphenyl)-2-cyanopropenoic acid, 3-(3,4-diethoxyphenyl)-2-cyanopropenoic acid, 3-(3,4-dipropyloxyphenyl)-1-cyanopropenoic acid, 3-(2,4-dimethoxyphenyl)-2-cyanopropenoic acid, 3-(2,4-diethoxyphenyl)-2-cyanopropenoic acid, 3-(2,4-dipropyloxyphenyl)-2-cyanopropenoic acid, 3-(3,4-dimethylthiophenyl)-2-cyanopropenoic acid, 3-(3,4-diethylthiophenyl)2-cyanopropenoic acid, 3-(3,4-dipropylthiophenyl)-2-cyanopropenoic acid, 3-(2,4-dimethylthiophenyl)-2-cyanopropenoic acid, 3-(2,4-diethoxyphenyl)-2-cyanopropenoic acid, 3-(2,4-dipropylthiophenyl)-2-cyanopropenoic acid, 3-(3,4-dimethylaminophenyl)-2-cyanopropenoic acid, 3-(3,4-diethylaminophenyl)-2-cyano-1-propenoic acid, 3-(3,4-dipropylaminophenyl)-2-cyanopropenoic acid, 3-(2,4-dimethylphenyl)-2-cyanopropenoic acid, 3-(2,4-diethylaminophenyl)2-cyanopropenoic acid, 3-(2.4-dipropylaminophenyl)-2-cyanopropenoic acid, 3-(3,4-dinitrophenyl)-2-cyanopropenoic acid, 3-(2,4-dinitrophenyl)-2-cyanopropenoic acid, 3-(2,4-dinitrop trophenyl)-2-cyanopropenoic acid, 5-(3,4-dimethoxyphenyl)-2-cyano-2,4-pentadienoic acid, 5-(3,4-diethoxyphenyl)-2-cyano-2,4-pentadienoic acid, 5-(3,4-dipropyloxyphenyl)-2-cyano-2,4-pentadienoic acid, 5-(2,4-(dimethylthiophenyl)-2-cyano-2,4-pentadienoic acid, 5-(2,4-diethylthiophenyl)2-cyano-2,4-pentadienoic acid, 5-(2,4-dipropylthiophenyl)-2-cyano-2,4-pentadienoic acid, 5-(3,4-dimethylthiophenyl)-2-cyano-2,4-pentadienoic acid, 5-(3,4-diethylthiophenyl)-2-cyano-2,4-pentadienoic acid, 5-(3,4-dipropylthiophenyl)-2-cyano-2.4-pentadienoic acid, 5-(2,4-dimethylthiophenyl)-2-cyano-2,4-pentadienoic acid, 5-(2,4-diethylthiophenyl)-2-cyano-2,4-pentadienoic acid, 5-(2,4-dipropylthiophenyl)-2-cyano-2,4-pentadienoic acid, 5-(3,4-dinitrophenyl)-2-cyano-2,4-pentadienoic acid, 5-(2,4-dinitrophenyl)-2-cyano-2,4-pentadienoic acid, 7-(3,4-dimethoxyphenyl)-2-cyano-2,4,6-hexatrienoic acid, 7-(3,4-diethoxyphenyl)-2-cyano-2,4,6- hexatrienoic acid, 7-(3,4-dipropyloxyphenyl)-2-cyano-2,4,6-hexatrienoic acid, 7-(2,4-dimethylthiophenyl)-2-cyano-2,4,6-hexatrienoic acid, 7-(2,4-diethylthiophenyl)-2-cyano-2,4,6-hexatrienoic acid, 7-(2,4-dipropylthiophenyl)-2-cyano-2.4.6-hexatrienoic acid, 7-(3.4-dimethylthiophenyl)-2-cyano-2.4.6-hexatrienoic acid, 7-(3.4-diethylthiophe-

nyl)-2-cyano-2,4,6-hexatrienoic acid, 6-(3,4-dipropylthiophenyl)-2-cyano-2,4,6-hexatrienoic acid, 6-(3,4-dinitrophenyl)-2-cyano-2,4,6-hexatrienoic acid, 7-(2,4-dinitrophenyl)-2-cyano-2,4,6-hexatrienoic acid and the like. The mutual position of the double bonds of these conjugated carboxylic acids should be preferably trans-form, to obtain a stable structure and also for exhibiting the nonlinear optical effect but is not limited thereto.

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The organic substance of the present invention is obtained as a solid with a crystalline structure not having an inversion symmetrical center according to the constitution as described above, and an excellent nonlinear susceptibility at the molecular level is exhibited as such also in the crystalline structure, thus exhibiting an excellent nonlinear optical effect such as a generation of the second harmonic at a high level, and therefore, this substance can be utilized for forming an optical signal processing element.

According to a fourth embodiment of the present invention, the organic nonlinear optical substance has the general formula (I) wherein R<sup>1</sup> represents H, A represents

$$z^{2}$$
 $z^{3} \rightarrow Ar$ 
 $z^{4}$ 

wherein one of  $Z^2$ ,  $Z^3$  and  $Z^4$  represents H- and the remainder independently represents  $C_1$ - $C_{10}$  alkyl,  $R^{16}O_-$ ,  $R^{17}R^{18}N_-$ ,  $R^{19}S$ , or  $O_2N_-$ , Ar represents an aromatic group having 6 to 14 carbon atoms, and B represents a residue of an optically active amine selected from the group ethyl consisting of 1-(phenyl)ethylamine, 1-( $\alpha$ -naphthyl)ethylamine, 1-phenyl-2-methylethylamine, 1-phenyl-2-aminopropane, and brucine.

The optically active amine salt of the carboxylic acid thus obtained assumes a crystalline state, having excellent moldability, and can be formed into various elements under the crystalline form as such or as a solid solution, which can be then applied to the nonlinear optical application field. The organic nonlinear optical substances according to the first embodiment of the present invention have an extremely large second harmonic ability.

The carboxylic acid moiety of this compound can be preferably derived from the carboxylic acids having the structure (III).

According to a fifth embodiment of the present invention, the organic nonlinear optical substance has the general formula (I) wherein R¹ represents H, A represents Z¹Ar-, Z¹ represents H-, R⁵R6N-, R²O-, RªS-, NC-, O₂N-, R³OCO-, R¹°COO, R¹¹R¹²NOC-, R¹³CO(R¹⁴)N-, or R¹5-, Ar represents an aromatic ring having 6 to 14 carbon atoms, and B represents -NR⁴Y.

The moiety -NR4Y includes, for example,  $\alpha$ -chiral substituted primary alkylamines (i.e., p=0) having 4 to 20 carbon atoms such as 1-(methyl)propylamine, 1-(ethyl)propylamine, 1-(methyl)putylamine, 1-(methyl)pentylamine, 1-( $\alpha$ -naphthyl)ethylamine, and 1-(hydroxymethyl)propylamine;  $\beta$ -chiral substituted primary alkylamines (i.e., p=1) having 4 to 20 carbon atoms such as 2-(methyl)butylamine, 2-(methyl)pentylamine, 2-phenylpropylamine, 2-( $\alpha$ -naphthyl)propylamine, and 2-(hydroxybuty)lamine; prolinole; amino acid derivatives having 3 to 20 carbon atoms such as alkyl esters (e.g., methyl esters and ethyl esters) of  $\alpha$ -amino acids,  $\alpha$ -amino acid amides,  $\alpha$ -amino acid anilides, or peptides derived from the same or different  $\alpha$ -amino acids. It should be noted, however, that the presence of a carboxyl group as an acid is not preferable from the reaction standpoints. Examples of the typical optically active (either dextrorotatory or levorotatory) amino acids, usable in the present invention are alanine, leucine, isoleucine, ethionine, cysteine, senne, tyrosine, tryptophan, threonine, norvaline, norleucine, valine, histidine, phenylalanine,  $\alpha$ -phenylglycine, methionine, and proline; monoamino dicarboxylic acids and carboamides such as aspartic acid, glutamic acid, asparagine, and glutamine; and diaminomonocarboxylic acids such as arginine, lysine, omithine, canavanine, and hydroxylysine.

The carboxylic acid moiety of this compound can be preferably derived from the carboxylic acids hewing the structure (II).

According to further embodiments of the present invention, the organic nonlinear optical substances have the following general formula (I):

(i) R¹ represents H-, A represents Z¹-Ar-, Z¹ represents R⁵R⁶N-, R²O-, R⁶S-, NC, RੰOCO-, R¹OCOO-, R¹¹R¹²NOC, R¹³CO(R¹⁴)N-, or R¹⁵, Ar represents an aromatic group having 6 to 14 carbon atoms, and B represents -OH-Amine¹ wherein Amine¹ represents an optically active  $\alpha$ -amino acid or the derivative thereof, which can include those as mentioned above.

(ii) R1 represents H, A represents

$$\begin{array}{c}
z^{2} \\
z^{3} \\
 \end{array}$$
 Ar
$$\begin{array}{c}
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\end{array}$$

where one of  $Z^2$ ,  $Z^3$ , and  $Z^4$  represents H or substituted  $C_1$ - $C_6$  alkyl, the remainder of  $Z^2$ ,  $Z^3$  and  $Z^4$  represents together methylene dioxy group wherein the dioxy groups are bonded to the adjacent positions of Ar, Ar 65

represents an aromatic group having 6 to 14 carbon atoms, and B represents -OH-Amine\* wherein Amine\* represents an optically active amine selected from the group consisting of 1-(phenyl)-ethylamine, 1-( $\alpha$ -naphthyl)ethylamine, 1-phenyl-2-(methyl)ethylamine, 1-phenyl-2-aminopropane, brucine, 1-butanol, 1-amino-2-propanol, 2-amino-1-propanol, 2-amino-1-(p-nitrophenyl)-1,3-propanediol, 2-dimethylamino-1-phenyl-1-benzyl-1-propanol, 1-(N,N-dimethylamino)-1-phenyl-propylamine, and a residue of an optically active  $\alpha$ -amino acid and the derivative thereof; or the above-mentioned NR4Y.

The carboxylic acids forming the organic nonlinear optical substances of this embodiment can be represented by the formula (IV):

$$Z^{2}$$
 $O - Ar - CH = CH - CH = C - COOH$ 
 $CH_{2} - O$ 
 $O - 2$ 
 $CN$ 
 $O - Ar - CH = CH - COOH$ 
 $O - 2$ 
 $O - 2$ 

Examples of such carboxylic acids are 3-(3,4-dioxymethylenephenyl)-2-cyanopropenoic acid, 2-cyano-5-(3,4-dioxymethylenphenyl)-2,4,6-heptatrienoic acid, 3-(3,4-dioxymethylene-6-propylphenyl)-2-cyanopropenoic acid, 2-cyano-5-(3,4-dioxymethylene-6-propylphenyl)-2,4-pentadienoic acid, 2-cyano-7-(3,4-dioxymethyle-6-propylphenyl)-2,4,6-heptatrienoic acid, and piperonoyl derivatives.

(iii) R¹ represents H or CH₃, A represents R² where R² represents H or an alkyl group having 1 to 12 carbon atoms, and B represents -OH-Amine\* wherein Amine\* represents an optically active amine selected from the group consisting of 2-amino-1-butanol, 1-amino-2-propanol, 2-amino-1-propanol, 2-amino-1-(p-nitrophenyl)-1,3-propanediol, 2-dimethylamino-1-phenyl-1-benzyl-1-propanol, and 1-(N'N-dimethylamino)-1-phenyl propylamine.

The carboxylic acids forming the organic nonlinear optical substances of this embodiment can be represented by the formula (V):

$$H - (-CH_2 - ) - (-CR' = CH - ) - CH = C - COOH (V)$$

Examples of such carboxylic acid may include 3-alkyl substituted 2-cyano propionic acid derivatives such as 3-(methyl)-2-cyanopropenoic acid, 3-(n-propyl)-2-cyanopropenoic acid, 3-(n-butyl)-2-cyanopropenoic acid, 3-(n-hexyl)-2-cyanopropenoic acid;

5-Alkyl substituted 2,4-pentadienoic acid derivatives such as 2-cyano-5-(methyl)-2,4-pentadienoic acid, 2-cyano-5-(n-propyl)-2,4-pentadienoic acid, 2-cyano-5-(n-butyl)-2,4-pentadienoic acid, 2-cyano-5-(n-heptyl)-2,4-pentadienoic acid, 2-cyano-5-(n-heptyl)-2,4-pentadienoic acid, 2-cyano-5-(n-heptyl)-2,4-pentadienoic acid, 2-cyano-5-(n-heptyl)-2,4-pentadienoic acid.

5-Alkyl substituted 2,4-pentadienoic acid derivatives such as 2-cyano-5-(methyl)-2,4-hexadienoic acid, 2-cyano-5-(n-propyl)-2.4-hexadienoic acid, 2-cyano-5-(n-butyl)-2,4-hexadienoic acid, 2-cyano-5-(n-hexyl)-2,4-hexadienoic acid, 2-cyano-5-(n-heptyl)-2,4-hexadienoic acid;

7-Alkyl substituted 2,4,6-heptatrienoic acid derivatives such as 2-cyano-7-(methyl)-2,4,6-heptatrienoic acid, 2-cyano-7-(n-propyl)-2,4,6-heptatrienoic acid, 2-cyano-7-(n-butyl)-2,4,6-heptatrienoic acid, 2-cyano-7-(n-hexyl)-2,4,6-heptatrienoic acid, 2-cyano-7-(n-hexyl)-2,4,6-heptatrienoic acid, 2-cyano-7-(n-heptyl)-2,4,6-heptatrienoic acid;

5-Methyl 7-alkyl substituted 2,4,6-heptatrienoic acid derivatives such as 2-cyano-5-methyl-7-(methyl)-2,4,6-heptatrienoic acid, 2-cyano-5-methyl-7-(n-propyl)-2,4,6-heptatrienoic acid, 2-cyano-5-methyl-7-(n-pentyl)-2,4,6-heptatrienoic acid, 2-cyano-5-methyl-7-(n-hexyl)-2,4,6-heptatrienoic acid, 2-cyano-5-methyl-7-(n-hexyl)-2,4,6-heptatrienoic acid, 2-cyano-5-methyl-7-(n-heptyl)-2,4,6-heptatrienoic acid:

7-Alkyl substituted 2,4,6-octatrienoic acid derivatives such as 2-cyano-7-(methyl)-2,4,6-octatrienoic acid, 2-cyano-7-(n-propyl)-2,4,6-octatrienoic acid, 2-cyano-7-(n-butyl)-2,4,6-octatrienoic acid, 2-cyano-7-(n-pentyl)-2,4,6-octatrienoic acid, 2-cyano-7-(n-hexyl)-2,4,6-octatrienoic acid, 2

(iv) R1 represents H, A represents

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and B represents -OH-Amine\* wherein Amine\* represents an optically active amine selected from the group consisting of 1-(phenyl)ethylamine, 1-(α-naphthyl)ethylamine, 1-phenyl-2-methylethylamine, 1-phenyl-2-aminopropane, brucine, 2-amino-1-butanol, 1-amino-2-propanol, 2-amino-1-propanol, 2-amino-1-(p-nitrophenyl)-1,3-propanediol. 2-dimethylamino-1-phenyl-1-benzyl-1-propanol, and 1-(N,N-dimethylamino)-1-phenylpropylamine; or -NR4Y.

The carboxylic acids forming the organic nonlinear optical substances of this embodiment can be represented by the formula (VI):

$$(Z^{5}) = CH = CH \rightarrow CH = C - COOH (VI)$$
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Examples of such carboxylic acid may include, for example, 3-(5-membered ring derivatives with hetero atoms) substituted 2-cyanopropenoic acids such as

3-(3-thienyl)-2-cyanopropenoic acid, 3-(2-thienyl)-2-cyanopropenoic acid, 3-(2-pyrrolyl)-2-cyanopropenoic acid, 3-(3-pyrrolyl)-2-cyanopropenoic acid, 3-(2-furyl)-2-cyanopropenoic acid, 3-(3-furyl)-2-cyanopropenoic acid. 3-(2-indolyl)-2-cyanopropenoic acid. 3-(3-indolyl)-2-cyanopropenoic acid. 3-(N-methyl 2-pyrrolyl)-2-cyanopropenoic acid, 3-(N-methyl 3-pyrrolyl)-2cyanopropenoic acid, 3-(N-ethyl 2-pyrrolyl)-2-cyanopropenoic acid, 3-(N-ethyl 3-pyrroly)-2-cyanopropenoic acid, 3-(N-n-butyl 2-pyrrolyl)-2-cyanopropenoic acid, 3-(N-n-butyl 2-pyrrolyl)-2butyl 3-pyrrolyl)-2-cyanopropenoic acid, 3-(5-nitro 2-furyl)-2-cyanopropenoic acid, 3-(5-nitro 3-furyl)-2-cyanopropenoic acid, 3-(5-nitro 3-thienyl)-2-cyanopropenoic acid, 3-(5-nitro 2-thlenyl)-2-cyanopropenoic acid, 3-(5-chloro 3-indoly!)-2-cyanopropenoic acid;

5-(5-membered ring derivatives with hetero atoms) substituted 2-cyano-2,4-pentadienoic acids such as 2-cyano-5-(3-thienyl)-2,4-pentadienoic acid, 2-cyano-5-(2-thienyl)-2,4-pentadienoic acid, 2-cyano-5-(2-pyrrolyl)-2,4-pentadienoic acid, 2-cyano-5-(3-pyrrolyl)-2,4-pentadienoic acid, 2-cyano-5-(2-furyl)-2,4-pentadienoic acid, 2-cyano-5-(3-furyl)-2,4-pentadienoic acid, 2-cyano-5-(2-indolyl)-2,4-pentadienoic acid, 2-cyano-5-(3-indolyl)-2,4-pentadienoic acid, 2-cyano-5-(N-menthyl 2-pyrrolyl)-2,4-pentadienoic acid, 2-cyano-5-(N-methyl 3-pyrrolyl)-2,4-pentadienoic acid, 2-cyano-5-(N-ethyl 2-pyrrolyl)-2,4-pentadienoic acid, 2-cyano-5-(N-ethyl 3-pyrrolyl)-2,4-pentadienoic acid, 2-cyano-5-(N-n-butyl 2-pyrrolyl)-2,4-pentadienoic acid, 2-cyano-5-(N-n-butyl 3-pyrrolyl)-2,4-pentadienoic acid, 2-cyano-5-(5-nitro 2-furyl)-2,4-pentadienoic acid, 2-cyano-5-(5-nitro 3-furyl)-2,4-pentadienoic acid, 2-cyano-5-(5-nitro 2-thienyl)-2,4-pentadienoic acid, 2-cyano-5-(5-nitro 3-thienyl)-2,4-pentadienoic acid, 2-cyano-5-(5-chloro 2-indolyl)-2,4-pentadienoic acid,

5-(5-membered ring derivatives with hetero atoms) substituted 2-cyano-2,4,6-heptatrienoic acids such as 2-cyano-7-(3-thienyl)-2,4,6-heptatrienoic acid, 2-cyano-7-(2-thienyl)-2,4,6-heptatrienoic acid, 2-cyano-2-cyano-7-(3-pyrrolyl)-2,4,6-heptatrienoic acid. acid, 7-(2-pyrrolyl)-2,4,6-heptatrienoic 7-(2-furyl)-2,4,6-heptatrienoic acid, 2-cyano-7-(3-furyl)-2,4,6-heptatrienoic acid, lyl)-2,4,6-heptatrienoic acid, 2-cyano-7-(3-furyl)-2,4,6-heptatriaenoic acid, 2-cyano-7-(2-indolyl)-2,4,6-heptatrienoic acid, 2-cyano-7-(3-Indolyl)-2,4,6-heptatrienoic acid, 2-cyano-7-(N-methyl 2-pyrrolyl)-2,4,6-heptatrienoic acid, 2-cyano-7-(N-methyl 3-pyrrolyl)-2,4,6-heptatrienoic acid, 2-cyano-7-(N-ethyl 2-pyrrolyl)-2,4,6-heptatrienoic acid, 2-cyano-7-(N-ethyl 3-pyrrolyl)-2,4,6-heptatrienoic acid, 2-cyano-7-(N-n-butyl 2-pyrrolyl)-2,4,6-heptatrienoic acid, 2-cyano-7-(N-n-butyl 3-pyrrolyl)-2,4,6-heptatrienoic acid, 2-cyano-7-(5-nitro 2-furyl)-2,4,6-heptatrienoic acid, 2-cyano-7-(5-nitro 3-furyl)-2,4,6-heptatrienoic acid, 2-cyano-7-(5-nitro 2-thienyl)-2,4,6-hexatrienoic acid, 2-cyano-7-(5-nitro 3-thienyl)-2,4,6-heptatrienoic acid, 2-cyano-7-(5-chloro 2-indolyl)-2,4,6-heptatrienoic acid.

The present invention will now be further illustrated by, but is by no means limited to, the following Examples.

## Synthetic Example 1

Synthesis of 2-cyano-5-(4-dimethylaminophenyl)-2,4-pentadienoic acid (1)

To 100 ml of an aqueous solution of 2.55 g of sodium hydroxide 5.97 g of methyl cyanoacetate was added, and further 9.55 g of p-dimethylaminocinnamoyl aldehyde was added under stirring, followed by heating to 55 85°C with continuous stirring for 40 hours. After completion of the reaction, 50 ml of 12 N hydrochloric acid was added, and a solid was recovered. The solid was subjected to recrystallization from methanol, repeated twice, to give 6.38 g of the desired product, m.p. 218 - 219°C. The elemental analysis of C 68.40%, H 5.88%, N 11.30% coincided well with the calculated values of C 69.36%, H 5.84%, N 11.56%. IR absorption spectrum: presence of CN group at 2216 cm<sup>-1</sup>, COOH group at 1673 cm<sup>-1</sup>, benzene ring and conjugate double bond at 60 1615, 1586, and 1551 cm<sup>-1</sup> being recognized. In NMR spectrum, absorption by methyl group was recognized at 3.08 ppm, and AB type absorption based on benzene ring at 6.80 and 7.60 ppm. \( \lambda \text{max was found to be 440 nm.} \)

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## Synthesis Example 2

## Synthesis of 2-cyano-3-(4-dimethylaminophenyl)-2-propenoic acid (2)

In 400 ml of an aqueous solution of 13.77 g of sodium hydroxide, 34.80 g of methyl cyanoacetate was dissolved and 34.01 g of p-dimethylamino benzaldehyde was then added under a nitrogen atmosphere, followed by adding 200 ml of ethanol to obtain a uniform solution. Under reflux, the stirring was continued for 51 hours and the reaction mixture was added to 12 N hydrochloric acid to obtain the precipitates. The resultant solid was repeated twice to recrystallize from a methanol/ethanol mixture to obtain 13.51 g of the needles. NMR spectrum: methyl group at 3.08 ppm, doublet benzene ring at 6.84 - 6.82 ppm and 7.93 - 7.95 ppm, and -CH - group at 8.25 ppm.

-CH = group at 8.25 ppm

Yield: 37%

m.p.: 226 - 228°C

Elemental analysis: C 66.82%, H 5.56%, N 12.76% (Calc. C 66.14%, H 5.60%, N 12.96%)

Amax in ethanol: 399 nm

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#### Synthesis Example 3

## Synthesis of 2-cyano-5-(4-methoxyphenyl)-2,4-pentadienoic acid (3)

The desired compound was prepared in the same manner as in Synthesis Example 1 by using 16.2 g of p-methoxycinnamic aldehyde having a melting point of 45.5°C, which was obtained from p-methoxystyrene and phosphorus trichloride according to a method disclosed in J. Amer. Chem. Soc., 78, 3209 (1956), 4.8 g of sodium hydroxide and 11.3 g of methyl cyanoacetate. The product was recrystallized from ethanol to obtain the needles having a melting point of 240°C at a yield of 69%.

Elemental analysis: C 68.11%, H 4.81%, N 6.10% (Calc. C 68.10%, H 4.85%, N 6.11%)

NMR spectrum: methyl group at 3.83 ppm, doublet benzene ring around 7.02 - 7.64 ppm, and -CH = group at 7.09, 7.59 and 8.06 ppm.

λmax in ethanol: 372 nm

#### Synthesis Example 4

**3**0

## Synthesis of 2-cyano-3-(3,4-methylenedioxyphenyl)-2-propenoic acid (4)

To an aqueous solution containing 14.20 g of sodium hydroxide and 33.75 g of methyl cyanoacetate, 30.32 g of 3,4-(methylenedioxy)benzaldehyde was added, followed by stirring at 95°C for 16 hours. After completion of the reaction, an aqueous diluted hydrochloric acid solution was added to obtain a pale yellow solld. The resultant solid was recrystallized from ethanol to obtain a crystal having a melting point of 233°C.

Elemental analysis: C 61.01%, H 3.21%, N 6.37% (Calc. C 60.83%, H 3.26%, N 6.45%)

Infrared spectrum: Absorption by -CN at a wavelength of 2224 cm<sup>-1</sup>, -COO- at 1677 cm<sup>-1</sup>, conjugated system at 1575 cm<sup>-1</sup> and 1293 cm<sup>-1</sup>

NMR spectrum: -CH<sub>2</sub>- at 6.19 ppm(s), -CH = at 8.22 ppm(s), and -H based upon a benzene ring at 7.12, 7.63, and 7.68 ppm.

#### Synthesis Example 5

## Synthesis of 2-cyano-3-(3,4-dimethoxyphenyl)-2-propenoic acid (5)

To 150 ml of an aqueous solution of 9.19 g of sodium hydroxide, 20.50 g of methyl cyanoacetate was added and, under stirring, 25.38 g of 3,4-dimethoxybenzaldehyde was further added, followed by heating at 85°C for 40 hours under stirring. After completion of the reaction, the reaction mixture was added to 50 ml of 12 N hydrochloric acid to recover the resultant solid. The solid was repeated twice to recrystallize from ethanol to obtain 19.84 g of the desired compound.

50 M.p.: 206.13°C

Elemental analysis: C 61.94%, H 4.78%, N 6.04% (Calc. C 61.79%, H 4.76%, N 6.01%)

IR spectrum: Absorption by CN group at 2221 cm<sup>-1</sup>, COOH group at 1716 cm<sup>-1</sup>, benzene ring at 1596, 1573, and 1512 cm<sup>-1</sup> and presence of conjugated double bond.

NMR spectrum: Absorption by methyl group at 3.97 - 4.01 ppm, ABX type absorption based upon benzene ring at 7.00, 7.55, and 7.88 ppm

λmax in ethanol: 353 nm

#### Synthesis Example 6

# Synthesis of 2-cyano-3-(2,4-dinitrophenyl)-2-propenoic acid (6)

The desired compound (6) was obtained in the same manner as in Synthesis Example 5, except that 2,4-dinitrobenzaldehyde was used instead of 3,4-dimethoxybenzaldehyde.

Elemental analysis: C 46.00%, H 1.98%, N 16.03% (Calc. C 46.53%, H 1.92%, N 15.97%

## Synthesis Example 7

Synthesis		
The design 2-(3,4-dime and phosp	of 2-cyano-5-(3,4-dimethoxyphenyl)-2,4-pentadienoic acid (7) red compound (7) was obtained in the same manner as in Synthesis Example 1, except that thoxyphenyl)-1-formyl 1-propenoic acid obtained from the reaction of 3,4-dimethoxy benzaldehyde horus trichloride.  C analysis: C 64.70%, H 5.15%, N 5.62% (Calc. C 64.85%, H 5.06%, N 5.40%)	5
The com	Examples 8 - 14 pounds (8) - (14) shown in Table 1 were synthesized from the corresponding aldehydes and methylate in the manner described above.	10
	Table 1	15
<u>s</u>	ynthesis of Aromatic Derivative	
Com- pound No.	Structure m.p. (°C) \(\lambda\) max*	20
	Elemental analysis (C, H, N)(F: Found/C: Calod)	
8	p-NO <sub>2</sub> -C <sub>6</sub> H <sub>4</sub> - 208 302nm CH = C(CN)- COOH	<i>2</i> 5
9	F 54.91 2.93 12.80 / C 55.05 2.75 12.84 p-CH <sub>3</sub> - 229 320nm O-C <sub>6</sub> H <sub>4</sub> - CH = C(CN)- COOH	<b>3</b> 0
10	F 65.10 4.64 6.71 / C 65.02 4.96 6.89 H-C <sub>6</sub> H <sub>4</sub> - 210 295nm CH=C(CN)- COOH	35
11	F 69.58 4.36 8.03 / C 69.35 4.08 8.09  C <sub>6</sub> H <sub>5</sub> - 212 320nm  CH = CH- CH = C(CN)- COOH	40
12	F72.50 4.60 7.01 / C 72.34 4.56 7.04  C <sub>6</sub> H <sub>5</sub> - 238 360nm  CH = CH- CH = CH- CH = C(CN)-	<b>4</b> 5
13	COOH F74.75 4.88 6.35 / C 74.64 4.93 6.22 m-CH <sub>3</sub> - 166 296nm O-C <sub>6</sub> H <sub>4</sub> - CH = C(CN)- COOH	50
	F 70.69 6.67 12.37 / C 71.18 6.88 12.46	5
	p-C <sub>10</sub> H <sub>21</sub> O- 82 - C <sub>6</sub> H <sub>4</sub> - CH = C(CN)- COOH F 73.55 8.55 4.15 / C 73.43 8.53 4.08	6
*1: det	ermined in methanol	

**6**5 Synthesis Example 15

Synthesis of trans, trans, trans, 2-cyano-7-(n-pentyl)-2,4,6-hepta-triene-1-carboxylic acid (15)

To 150 ml of an aqueous solution containing 6.87 g of sodium hydroxide and 16.40 g of methyl cyanoacetate 14.85 g of trans, trans-2,4-decadienal was added, followed by heating at 100° C for 16 hours under stirring. After completion of the reaction, an excess amount of an aqueous hydrochloric acid solution was added thereto to obtain a viscous solid. The resultant solid was recrystallized from n-hexane to obtain the crystal having a melting point of 98 - 102°C.

Elemental analysis: C 70.00%, H 7.75%, N 6.27% (Calc. C 71.19%, H 7.83%, N 6.39%)

IR spectrum: -CN at 2211 cm<sup>-1</sup>, -COO- at 1609 cm<sup>-1</sup>, conjugated system at 1561 cm<sup>-1</sup> and 996 cm<sup>-1</sup>

NMR spectrum: -CH = CH- at 6.25 - 7.95 ppm, long CH<sub>2</sub>- and CH<sub>3</sub>- at 0.85 - 2.2 ppm, integrated intensity was well coincided.

## Synthesis Example 16

## Synthesis of trans, trans, 2-cyano-5-(n-heptyl)-2,4-pentadienoic acid (16)

The desired compound was synthesized and purified in the same manner as in Synthesis Example 15, except that trans 2-decenal was used as a starting material. Thus crystals were obtained. Elemental analysis: C 71.20%, H 8.90%, N 6.17% (Calc. C 70.55%, H 8.67%, N 6.33%)

#### Synthesis Example 17 20

## Synthesis of 2-cyano-3-(2-thienyl)-2-propenoic acid (17)

To 160 ml of an aqueous solution containing 20.97 g of sodium hydroxide and 46.11 g of methyl cvanoacetate, 40.08 g of thiophene 2-carboxyaldehyde was added, followed by heating at 90°C for 9 hours under stirring. After completion of the reaction, an excess amount of hydrochloric acid was added and the resultant solid was recovered. The solid was recrystallized from ethanol to obtain the needles. M.p.: 234°C

Elemental analysis: C 53.63%, H 2.69%, N 7.80%, S 17.70% (Caic.: C 53.61%, H 2.82%, N 7.82%, S 17.89%) NMR spectrum: proton of thiophen ring at 7.34, 8.02 and 8.17 ppm, proton at p-position at 8.55 ppm λmax in ethanol: 335 nm

## Synthesis Example 18

#### Synthesis of 2-cyano-3-(3-thienyl)-2-propenoic acid (18)

35 The desired compound (18) was prepared in the same manner as in Synthesis Example 17, except that thiophene 3-carboxyaldehyde was used instead of thiophene 2-carboxyaldehyde. M.p.: 211°C

Elemental analysis: C 53.73%, H 2.71, N 7.73%, S 17.52% (Calc. C 53.61%, H 2.82%, N 7.82%, S 17.89%)

## Synthesis Example 19

## Synthesis of 2-cyano-3-(2-pyrrolyl)-2-propenoic acid (19)

After 36.93 g of methyl cyanoacetate and 16.94 g of sodium hydroxide were dissolved in 260 ml of water, 23.80 g of pyrrole 2-carboxyaldehyde was added thereto, followed by heating at 95°C for 30 hours under stirring. Thereafter, hydrochloric acid was added and the resultant solid was recrystallized from a mixed solvent of ethanol/methanol to obtain the crystal having a melting point of 213°C. Elemental analysis: C 59.34%, H 3.82%, N 17.26% (Calc.: C 59.25%, H 3.73%, N 17.28%)

## Synthesis Example 20

## Synthesis of 2-cyano-3-(2-furyl)-2-propenoic acid (20)

The desired compound (20) was obtained in the same manner as in Synthesis Example 17, except that furfural was used.

M.p.: 219°C

Elemental analysis: C 59.02%, H 2.95, N 8.53% (Calc.: C 58.89%, H 3.10%, N 8.59%) Amax in ethanol: 330 nm

## Synthesis Example 21

## Synthesis of 2-cyano-5-(2-furyl)-2,4-pentadienoic acid (21)

The desired compound (21) was prepared in the same manner as in Synthesis Example 17 except that 24.7 g of 3-(2-furyl) acrolein was used.

The melting point of the resultant compound was 220°C and the structure thereof was confirmed by the elemental analysis value and NMR spectrum. The λmax in ethanol was 368 nm.

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## Synthesis Example 22

Synthesis of 2-cyano-7-(2-furyl)-2,4,6-heptatriene-1-carboxylic\_acid (22)

The desired compound (22) was prepared in the same manner as in Synthesis Example 21 except that the aldehyde obtained from the oxidation reaction with phosphorus oxytrichloride mentioned in Synthesis Example 3. The trans structure of the resultant was confirmed by an NMR spectrum.

## Synthesis Example 23

Synthesis of 2-cyano-3-(3-indolyl)-2-propenoic acid (23)

The desired compound (23) in the form of a pale yellow flake crystal was obtained at a yield of 33.50% in the same manner as in Synthesis Example 1 by using 21.34 g of indole 3-carboxyaldehyde, 9.47 g of sodium hydroxide and 23.46 g of methyl cyanoacetate.

M.p.: 230°C

Elemental analysis: C 68.33%, H 3.77%, N 13.29% (Calc.: C 67.92%, H 3.80%, N 13.20%)

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λmax in ethanol: 378 nm

Evaluation method of intensity of second harmonic generation

According to the method as described in S. K. Kurtz et al, Journal of Applied Physics (J. Appl. Phys.), Vol. 39, p. 3798 (published in 1968), the generation of the second harmonic wave was measured for the powder of the compound of the present invention. As the incident ray source, a beam of 1.06  $\mu$  of Nd:YAG laser (2 W/2 KHz pulse) was employed and irradiated on the powdery sample filled in a glass cell. The incident light was filtered by a filter and the green light generated at an incident angle of 55° from the normal direction was detected to obviate the effect from the strength of the incident light. As the sample for Control, urea powder or m-nitroaniline powder having a particle size of 50 to 90 μm, which was previously powdered, followed by sieving, was employed. Regarding the resistance to a laser beam, the laser beam was irradiated to the sample and the deformation in the appearance was visually observed. Generally, the determination of the principal characteristics was carried out at a non-focus point because the intensity of the laser beam was strong.

A 2.39 g amount of the carboxylic acid compound (1) obtained in the Synthesis Example 1 was dissolved in Example 1 150 ml of tetrahydrofuran and, to the resultant solution, 1.18 g of L-(-)-1-(phenyl)ethylamine was added under stirring. Momentarily, the precipitate was generated, which was filtered to recover 3.15 g of a reddish orange solid. The solid was recrystallized from ethanol/methanol mixture to obtain 2.16 g of needles. The elemental analysis value of this product was as follows. C: 72.70% (Calc. 172.09%), H: 6.68% (Calc. 16.95%), N: 11.63% (Calc. 111.56%) IR spectrum: carboxylate at

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2400 - 3200 cm<sup>-1</sup>

In the case of the carboxylic acid (1), the absorbance of the COOH group at 1673 cm<sup>-1</sup> was shifted to about 1620 cm<sup>-1</sup> to exhibit the generation of the salt formation.

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The NMR spectrum exhibited an absorbance by a methyl group at 2.95 ppm, benzene at 6.74 - 7.50 ppm, and methyl group of 1-(phenyl)ethylamine at 1.50 ppm. The relative ratio of the methyl group absorbance intensity was 2:1, indicating the formation of a salt of carboxylic acid/amine = 1:1. The equivalent molar ratio was also confirmed from the elemental analysis. The λmax of this salt in ethanol was 420 nm, which was changed to the low wavelength side by 20 nm when compared with that of the corresponding carboxylic acid (1). The melting point was 188°C and the degree of the optical rotation [α]<sub>D</sub> at Na-D ray in methanol was -5° (c = 0.597). When the powder was irradiated by a 1.06  $\mu$  light of Nd-YAG laser, the intensity of the second harmonic generation was about 3 times of that of m-nitroaniline.

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Example 2

The carboxylic acid (12) prepared in the Synthesis Example 12 was used to form a salt with L-(-)-1-(phenyl)ethylamine in a THF solution in the same manner as in Example 1. The crystals were precipitated with the elapse of time. The resultant crystal was recrystallized from a mixed solvent of methanol/ethanol to obtain a pale yellow crystal having a melting point of 172°C.

The elemental analysis of the resultant crystal is C: 75.98%, H: 6.18%, and N: 8.06%, which was well coincided with the calculated value of C: 76.26%, H: 6.41%, and N: 8.09% in terms of a 1:1 salt of the carboxylic acid (12) and (phenyl)ethylamine.

IR spectrum showed broad carboxylate absorption at 2400 - 3200 cm<sup>-1</sup>, and the absorption of COOH group at 1673 cm<sup>-1</sup> in the carboxylic acid (12) was shifted to about 1620 cm<sup>-1</sup> to reveal the formation of the salt.

The NMR spectrum gave an integrated intensity suggesting the formation of carboxylic acid/amine of 1:1. The degree of the optical rotation  $[\alpha]_0$  at Na-D ray in methanol was +0.97 degree (c = 0.597). The  $\lambda$ max in ethanol of the resultant salt was 355 nm, which was changed to the low wavelength side by 5 nm when compared with that of the corresponding carboxylic acid. When the powder was irradiated by a 1.06  $\mu$  light of Nd-YAG laser, the intensity of the second harmonic generation was about 1.8 times of that of m-nitroaniline.

<sup>1 :</sup> a 1:1 salt of carboxylic acid (1) and 1-(phenyl)ethylamine

## Examples 3 - 12

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Optically active amine salts of various carboxylic acid compounds were obtained in the same manner as in Example 1, and their second harmonic generating abilities were determined. The results are shown in Table 2.

Table 2

Example No.	Carbox- ylic acid amine	m.p. (°C)	Elementa	al analysis (Fo	ound/Calc.)	Degree of optical rotation [α] <sub>D</sub>	λmax	SHG genera ting ability
3	11	147	C:74.92%,	H:6.14%,	N: 8.77%	-2.01	325 nm	1.2
	PEA		(C:74.96%,	H:6.30%,	N: 8.74%)			
4	2	177	C:70.69%,	H:6.67%,	N:12.37%	-0.33	385 nm	0.4
	PEA		(C:71.18%,	H:6.88%,	N:12.46%)			• • •
5	9	136	C:69.96%,	H:6.04%,	N: 8.61%	-0.88	320 nm	0.3
	PEA		(C:70.34%,	H:6.23%,	N: 8.64%)			
6	10	164	C:72.82%	H:5.98%,	N: 9.58%	-0.73	288 nm	0.1
	PEA		(C:74.45%,	H:6.26%,	N: 9.65%)			
7		149	C:70.41%,	H:6.23%,	N: 8.62%		285 nm	0.3
	PEA		(C:70.34%,	H:6.23%,	N: 8.62%)			
8	5	207	C:67.74%,	H:6.12%,	N: 7.94%	-	333 nm	0.2
	PEA		(C:67.77%,	H:6.27%,	N: 7.91%)			
9	4	176	C:67.79%,	H:5.37%,	N: 8.21%	_	337 nm	0.3
	PEA		(C:67.43%,	H:5.37%,	N: 8.28%)			
10	8	154	C:63.31%,	H:4.78?%,	N:12.25%	+2.35	<b>3</b> 02 nm	0.1
	PEA		(C:63.70%,	H:7.94%,	N:12.38%)			
11	23	175	C:71.90%,	H:5.64%,	N:12.52%	-	358 nm	0.1
40	PEA		(C:72.04%,	H:5.76%,	N:12.61%)			
12	20	150	C:67.90%,	H:5.64%,	N: 9.52%	-	320 nm	0.2
	PEA		(C:67.58%,	H:5.68%,	N: 9.85%)			

PEA: L-(-)-phenylethylamine

## 40 Example 13

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A 0.67~g amount of the carboxylic acid 4 obtained in Synthesis Example 4 was dissolved in 7 ml of tetrahydrofuran, followed by adding 0.92~g of optically active R-(-)-1-( $\alpha$ -naphthyl)ethylamine. The resultant solid was filtered and recrystallized from ethanol. The melting point was 171°C and the ratio of the integrated intensity of the absorption peaks of the carboxylic acid (4) component to ( $\alpha$ -naphthyl)ethylamine component such as methyl group obtained from the NMR spectrum was 1:1.

The elemental analysis was C 71.55%, H 5.20%, N 7.21%, which was coincided with the calculated value, C 71.55%, H 5.26%, N 7.20% which was based upon the formation of a 1:1 salt of the carboxylic acid and the amine.

When the resultant crystal was finely powdered and the generation of the second harmonic generation was determined, the intensity of the emission is about 1.5 times of that of the urea.

#### Example 14

A 0.93 g amount of the carboxylic acid (1) obtained in Synthesis Example 1 was dissolved in 10 ml of tetrahydrofuran, followed by adding 0.70 g of S-(-)-1-( $\alpha$ -naphthyl) ethylamine thereto. The resultant solid was recovered and washed thoroughly with tetrahydrofuran. After drying, the crystal having a melting point of 171°C. The degree [ $\alpha$ ]<sub>0</sub> of the optical rotation of the sample in methanol was -30.0 degree ( $\alpha$  = 0.04) and  $\alpha$  max was 421 nm. The maximum wave length was approximately coincide with that of Example 1. The second harmonic generation generating ability of the crystal was about 3.9 times of that of urea. Furthermore, when the crystalline powder was exposed to the laser beam for a long time, apparent damage was not observed.

## Examples 15 - 19

Various optically active amine salts were prepared in the same manner as in Example 14 and the second harmonic generating abilities were determined.

The results are shown in Table 3.

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<sup>\* (</sup>relative to m-nitroaniline (m-NA))

Table 3

Exam ple	Carboxylic acid	Optically active amine	SHG generating ability *1
15	Synthesis Example 5	1-Phenyl- 2-methylethyl- amine	1.5
16	Synthesis Example 7	1-(Phe- nyl)ethyl- amine	5.0
17	Synthesis Example 7	1-(α-naph- thyl)ethylamine	3.5
18	Synthesis Example 5	1-(Phe-	2.0
19	Synthesis Example 5	1-Phenyl- 2-aminopro- pane	2.5

<sup>\*1:</sup> Based on urea powder

## Example 20

A 1.54 g amount of the thiophene-containing carboxylic acid (17) obtained in Synthesis Example 17 was dissolved in 40 ml of tetrahydrofuran, followed by adding 1.46 g of optically active R-(-)-1-(phenyl)ethylamine. The precipitated solid was recovered and recrystallized from ethanol to obtain the crystal having a melting point of 171°C (decomposition).

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The elemental analysis values were C 63.85%, H 5.15%, N 9.30%, S 10.40%, which were well coincide with the calculated values, C 63.97%, H 5.38%, N 9.33%, S 10.17% based upon a 1:1 amine salt of the carboxylic acid. The integrated intensity ratio of the absorption peak of the carboxylic acid of Synthesis Example 17 and phenethylamine from the NMR spectrum. The maximum absorption wavelength was 322 nm. When the second harmonic generation was determined after the crystal was finely powdered, the green emission having the ability of about twice of that of urea was observed.

A 1.51 g amount of the 3-substituted thiophene carboxylic acid (18) obtained in Synthesis Example 18 was dissolved in 20 ml of tetrahydrofuran, followed by adding 1.20 g of R-(-)-1-(phenyl)ethylamine. The precipitated solid was recovered and recrystallized from ethanol, after drying, to obtain the crystal having a melting point of 171°C (decomposition).

The elemental analysis values were C 63.82%, H 5.07%, N: 9.31%, S 10.57%, which were well coincide with the calculated values, C 63.97%, H 5.38%, N 9.33%, S 10.17% based upon a 1:1 amine salt of the carboxylic acid. The integrated intensity ratio of the absorption peak of the carboxylic acid of Synthesis Example 18 and phenethylamine from the NMR spectrum. The maximum absorption wavelength was 322 nm. The second harmonic generating ability of the crystal was 3 times of that of urea and the maximum absorption wavelength of the sample in ethanol was 322 nm.

## Example 22

A 0.99 g amount of the carboxylic acid (21) obtained in Synthesis Example 21 was dissolved in 40 ml of tetrahydrofuran, followed by adding 0.78 g of optical active R-(-)-1-(phenyl)ethylamine thereto.

After n-hexane was then added, the precipitated solid was recovered. The solid was recrystallized from ethanol to obtain the crystal having a melting point of 121°C (decomposition). The elemental analysis data were C 69.55%, H 5.95%, and N 9.00%, which was well coincided with the calculated values of the optically active amine salt of the synthesized carboxylic acid (21). The emission having an intensity of about 5 times of that of urea was observed by an Nd-YAG laser beam. The maximum absorption wavelength of the sample in ethanol was 350 nm.

## Example 23

A 3.20 g amount of the carboxylic acid (12) obtained in Synthesis Example 12 was dissolved in 50 ml of tetrahydrofuran, followed by adding 2.50 g of R-(-)-2-amino-1-butanol thereto.

The precipitation was momentarily generated and, after filtering, 3.00 g of yellow solid was recovered a mixed solvent of ethanol/methanol to obtain 2.1 g of the needle having a melting point of 187°C. The optical rotation degree in methanol with an Na-D ray was 16 degree. The maximum absorption of the sample in

methanol was 355 nm amine salt of the synthesized carboxylic. The second harmonic generating ability of the powder was 33 times of that of urea.

## Examples 24 - 42

The salts of various carboxylic acids and optically active alcohol amines were carried out in the same manner as in Example 23 and the second harmonic generating abilities of the resultant crystals were determined. The results are shown in Table 4.

Table 4

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	Exam ple	Carboxylic acid	Optically active amine base	SHG generating ability *1		
15	24	23	1-Amino- 2-propanol			
	25	1	2-Amino- 1-butanol	6.9		
-	26	2	-	1.8		
20	27	10	•	1.2		
	28	2	1-Amino- 2-propanol	30.0		
	29	10	•	1.1		
25	30	1	•	26.0		
	31	12	*	1.1		
	32	2	2-Amino- 1-propanol	0.5		
	33	10	*	0.2		
30	34	12	2-Amino- 1-(p-nitro- phenyl)- 1,3-propane diol	0.7		
35	35	12	2-Dimethyl- amino-1-phe- nyl-1-phenyl- 1-benzyl- 1-propanol	0.6		
40	36	17	2-Amino- 1-butanol	3.0		
	37	17	2-Amino- 1-propanol	1.5		
45	38	4	9	1.3		
7.5	39	20	•	3.8		
	40	20	2-Amino- 1-butanol	5.0		
	41	21	•	3.6		
50	42	19	•	3.2		

<sup>\*1:</sup> Based upon urea powder

#### 55 Example 43

A 0.89 g amount of dimethoxy substituted conjugated carboxylic acid (7) obtained in Synthesis Example 7 was dissolved in 10 ml of THF, followed by adding 0.42 g of dextrorotatory R-(-)-2-amino-1-butanol. The resultant precipitates was filtered and recrystallized from ethanol to obtain the white crystal having a melting point of 130.5°C. The NMR spectrum of the resultant solid revealed the integrated intensity, which shows the formation of 1:1 by mole salt of the corresponding carboxylic acid and amine. The crystal was finely powdered and the second harmonic generating ability was determined. As a result, the emission capability thereof was 5.8 times of that of urea. The maximum absorption of the sample in ethanol was 370 nm. The powder of 2-methyl-4-nitroaniline used as a control was melted and carbonized under the determination conditions of the second harmonic generating ability, whereas the change in the emission capability of the present sample with the elapse of time was not observed and the damage resistance of the present sample against light was good.

Example 44  The amine salt was formed in the same manner as in Example 43, except that R-(-)-1-amino-2-propanol was  The amine salt was formed in the same manner as in Example 43, except that R-(-)-1-amino-2-propanol was  used as the optically active amine. The second harmonic generating ability of the resultant salt was about 4  used as the optically active amine. The second harmonic generating ability of the resultant salt was about 4  used to the light damage was good.	5
Example 45  The salt was formed in the same manner as in Example 43, except that the dimethoxy compound (5) obtained in Synthetic Example 5 as the carboxylic acid. The emission capability of the resultant salt was not changed when the sample was exposed to a laser beam for a long time.	10
Example 46	
A 2.30 g amount of L-phenylalanine ethyl ester hydrochloride was suspended in 50 ml of ether, followed by adding thereto 0.96 g of triethyl amine and further 30 ml of water, ten ml of the supernatant ether phase was recovered and this solution was added to a previously prepared solution of 0.26 g of the above-mentioned carboxylic acid (1) in 6 ml of THF. The needles were obtained with the elapse of time. The decomposition of this carboxylic acid (1) in 6 ml of THF. The needles were obtained with the elapse of time.	15
carboxylic acid (1) in 6 ml of THF. The needles were obtained with the superstal was determined, the intensity crystal was 180°C. When the second harmonic generating ability of this crystal was determined, the intensity was about 15 times of that of urea.	20
Example 47  A 3.30 g amount of L-valine methyl ester hydrochloride was suspended in 50 ml of ether, followed by adding thereto 1.89 g of triethyl amine to obtain an ether solution of the L-valine methyl ester. This solution was added to a solution of 0.12 g of the above-mentioned carboxylic acid (2) in 10 ml of THF to obtain the needles. When the second harmonic generating ability of the crystal powder was determined, the intensity was 3 times of that of urea.	25
Examples $48 - 64$ The amine salts of $\alpha$ -amino acid esters of various carboxylic acids were obtained in the same manner as in Examples 1 and 2 and the second harmonic generating abilities were determined. The results are shown in Table 5.	<i>30</i>
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	<b>4</b> 0
	<b>4</b> 5
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	<b>5</b> 5
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Table 5

Exam ple	Carboxylic acid	Optically active amine base	SHG generating ability *1
48	10	L-Phenyl- alanine ethyl ester	16
49	12	•	10
50	8	•	5
51	10	•	7
52	13	*	5
53	15	•	4
54	19	•	4
55	1	L-Valine methyl ester	12
56	11	*	15
57	14	*	4
58	12	•	18
59	16		3
60	1	D-Phenylgly- cine methyl ester	10
61	9	• ster	5
62	7		
63	1	a M Bonzuel	11
03	•	α-N-Benzyol- L-aginine ethyl ester	8
64	7	•	3

<sup>\*1:</sup> Based on urea

## Example 65

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Synthesis of S-(-)-phenethyl 2-cyano-5-phenyl-2,4-pentadienocarboxamide (24)

A 3.3 g amount of an acid chloride of the compound (11) obtained by thermally treating the compound (11) with thionyl chloride was added, under vigorous stirring, to 20 ml of dioxane containing 1.8 g of S-(-)-phenethyl amine and 1.5 g of triethyl amine. After stirring at room temperature for further 3 hours, the reaction product was added to a large amount of water and the resultant precipitates were filtered, followed by recrystallizing to obtain 2.7 g of yellow needles having a melting point of 117°C.

Elemental analysis: C 79.50%, H 6.05%, N 9.30% (Calc. C 79.44%, H 6.00%, N 9.26%) IR absorption spectrum: -NH- group at 3364 cm<sup>-1</sup>, CN group at 2216 cm<sup>-1</sup>, amine I, II at 1649 cm<sup>-1</sup> and 1522 cm<sup>-1</sup>.

λmax in dioxane: 336 nm

When the second harmonic generating ability of the crystal was determined, the intensity was about 11 times of urea and there are no substantial deformation, when the sample was irradiated for a long time.

## Example 66

Synthesis of S-(-)-phenethyl 2-cyano-7-phenyl-2,4.6-hepta-triene-1-carboxamide (25)

A 1.79 g amount of the acid chloride of the compound (11) (m.p. 143°C) obtained by thermally treating the compound (11) with thionyl chloride was added, under vigorous stirring, to 30 ml of THF containing 1.06 g of S-(-)-phenethyl amine and 0.70 g of pyridine dissolved therein. After completion of the reaction, a large amount of water was added to the reaction product and the resultant precipitates were filtered, followed by recrystallizing, to obtain 1.6 g of a crystal having a melting point of 128°C.

Elemental analysis: C 80.74%, H 6.20%, N 8.66% (Calc. C 80.44%, H 6.15%, N 8.53%)
IR absorption spectrum: -NH- group at 3360 cm<sup>-1</sup>, -CN group at 2216 cm<sup>-1</sup>, presence of amine I, II at 1649 cm<sup>-1</sup> and 1522 cm<sup>-1</sup>.

Amax in ethanol: 370 nm

NMR spectrum: the methyl group of phenethyl group at 1.57 ppm, -CH = at 7.99 ppm, 6.79 - 7.05 ppm, 6.82 ppm (Thus, the structure of the desired compound was confirmed)

When the second harmonic generating ability of the crystal was determined, it was observed that the intensity was 1.1 times of urea and there are no substantial deformation after a long time irradiation of a laser beam.

Example 67	5
Synthesis of S-(-)1-(α-naphthyl)etnyl 2-cyano-7-phenyl-2,4,6-hepta-triene-1-carboxamide (26)  To a dry THF solution of an equivalent mixture of the compound (12) and S-(-)1-(α-naphthyl)ethylamine, dicyclohexylcarbo dlimide was added, followed by stirring for one night. The precipitated dicyclohexyl urea was recovered by filtration and the mother figuor was concentrated, followed by recrystallizing from a mixture of ethanol/methanol to obtain a white solid having a melting point of 100°C.  IR absorption spectrum: -NH- group at 3360 cm <sup>-1</sup> , CN group of 2220 cm <sup>-1</sup> , presence of amide i, il at 1650 cm <sup>-1</sup> and 1522 cm <sup>-1</sup> When the second harmonic generating ability of the crystal was determined, the intensity was 4 times of that	10
of urea and there are no substantial deformation after a long time irradiation of a laser beam.	15
Examples 68 - 72  Various optically active amides shown in Table 6 were obtained from various carboxylic acid and optically active acid amide.	20
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Table 6
Optically Active Acid Amide

Example No.	Carboxylic acid component	Amine component	Synthesis method	m.p. (°C)	SHG
	68 p-NO <sub>2</sub> -C <sub>6</sub> H <sub>4</sub> - CH = C(CN)COOH	РЯО	DCC	135 W	
	69 p-CH <sub>3</sub> -O-C <sub>6</sub> H <sub>4</sub> - CH = C(CN)COOH	S-(-)PEA	ر ر	126 S	
	70 H-C <sub>6</sub> H <sub>4</sub> - CH = C(CN)COOH	R-(-)-sBA	Cl	W 86	
	71 p-CH <sub>3</sub> -O-C <sub>8</sub> H <sub>4</sub> -CH = CH- CH = C(CN)COOH	*	•	140 S	
		S-(-)PEA	DCC	158 S	

PRO: L-prolinol

S-(-)PEA: S-(-)-phenethylamine

R-(-)sBA: R-(-)-2-amino-1-butanol

DCC: Dicyclohexyl carbodilmide method (Example 67)

CL: Acid chloride method (Example 66)

W: Weak emission

S: Strong emission

#### Claims

1. An organic nonlinear optical substance having the formula (I):A  $+CR^1=CH+\frac{C}{R}$  CH =  $\frac{C}{C}$  -  $\frac{C}{R}$  -  $\frac{C}{R}$  (I)

wherein R1 represents -H or -CH3;

n is 0, 1, or 2;

A represents Z1-Ar-,

$$z^{2}$$
 $z^{3}$ 
Ar-,  $z^{2}$ 
, or  $(z^{5})$ 
 $z^{4}$ 
,  $z^{2}$ 

wherein Ar represents a 6 - 14 membered aromatic group including a heterocyclic ring or bisphenylene type ring; Z1 represents H-, R5R6N-, R7O-, R8S-, NC-, R9OCO-, R10COO-, O2N-, R11R12NOC-, R13CO(R14)N-, or R15-; Z2, Z3, and Z4 independently represent H-, a C1-C8 alkyl-, R16O-, R17R16N, R19S-, O2N-, or two R16 being, in combination, R20CH <; R2 represents H- or a C1-C12 alkyl; R5 to R20 Independently represent H-, or a C1-C10 hydrocarbon residue; Z5 independently represents H-, a C1-C8 saturated hydrocarbon residue, O2N-, R21O-, R22S-, NC- or R23R24N-, wherein R21 to R24 independently represent H or a C1-C8 saturated hydrocarbon residue; X represents -S-, -O-, or > NR28; r is 0 cr an integer of 1 to 3; and R28 represents H or a hydrocarbon group having 1 to 8 carbon atoms;

B represents -OH-Amine\* where Amine represents an optically active amine; -NR4Y where R4 represents -H or a single bond; Y represents (CH<sub>2</sub>)<sub>p</sub> CQ<sup>1</sup>Q<sup>2</sup>Q<sup>3</sup> where p is 0 or 1; Q<sup>1</sup>, Q<sup>2</sup>, and Q<sup>3</sup> are different and represent -H, a C<sub>1</sub>-C<sub>5</sub> alkyl, phenyl, naphthyl, -OH, -CH<sub>2</sub>OH, -COOR<sup>25</sup>, -CNR<sup>26</sup>R<sup>27</sup>, a residue of an α-amino acid from which an amino group is removed, where R<sup>25</sup> to R<sup>27</sup> independently represent -H or -C<sub>1</sub>-C<sub>5</sub> hydrocarbon residue; or -CQ<sup>4</sup>Q<sup>5</sup>Q<sup>6</sup> where Q<sup>4</sup>, Q<sup>5</sup> and Q<sup>6</sup> are different and Q<sup>4</sup> and Q<sup>5</sup> are as defined for Q<sup>1</sup>, Q<sup>2</sup> and Q<sup>3</sup> and Q<sup>6</sup> represents (CH<sub>2</sub>)<sub>q</sub> of which one bond is linked to the bond of R<sup>4</sup> where g is an integer of 1 to 4.

2 An organic nonlinear optical substance as claimed in claim 1, wherein in the formula (I), R1 represents H, Z1 represents H-, R5R6N-, R7O-, R8S-, NC-, R9OCO, R10COO-, O2N-, R11R12NOC-, R13CO(R14)N-, or R15-, Ar represents an aromatic group having 5 to 14 carbon atoms, and B represents -OH-Amino\*wherein Amine\* represents an optically active amine selected from the group consisting of 1-(phenyl)ethylamine, 1-( $\alpha$ -naphthyl) ethylamine, 1-(phenyl)-2-(methyl)ethylamine, 1-phenyl-2-aminopropane, and brucine.

3. An organic nonlinear optical substance as claimed in claim 1, wherein, in the formula (I), R1 represents H, Z1 represents H-, R5R6N-, R7O-, R8S-, NC-, O2N-, R9OCO-, R10COO-, R11R12NOC, R13CO(R14)N-, or R15-, Ar represents an aromatic group having 6 to 14 carbon atoms, and B represents OH Amine\* wherein Amine\* represents an optically active amine selected from the group consisting of 2-amino-1-butanol, 1-amino-2-propanol, 2-amino-1-propanol, 2-amino-1-(p-nitrophenyl)-1,3-propanediol, 2-dimethylamino-1-phenyl-1-benzyl-1-propanol, and 1-(N,N-dimethylamino)-1-phenyl-propylamine.

4. An organic nonlinear optical substance as claimed in claim 1, wherein, in the formula (I), R1 represents H, A represents

$$z^{2}$$
 $z^{3}$ 
Ar
 $z^{4}$ 

wherein one of  $Z^2$ ,  $Z^3$ , and  $Z^4$  represents H and the remainder independently represents  $C_1-C_{10}$  alkyl,  $R^{18}O_-$ ,  $R^{17}R^{18}N_-$ ,  $R^{19}S_-$ , or  $O_2N_-$ , Ar represents an aromatic group having 6 to 14 carbon atoms, and B represents a residue of an optically active amine selected from the group consisting of 2-amino-1-butanol, 1-amino-2-propanol, 2-amino-1-propanol, 2-amino-1-(p-nitrophenyl)-1.3-propanediol, 2-dimethylamino)-1-phenyl-1-benzyl-1-propanol, and 1-(N,N-dimethylamino)-1-phenyl-propylamine.

5. An organic nonlinear optical substance as claimed in claim 1, wherein, in the formula (I), R1 represents H, A represents

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$$z^{2}$$
 $z^{3}$ 
Ar
 $z^{4}$ 

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**6**0

wherein one of  $Z^2$ ,  $Z^3$  and  $Z^4$  represents H- and the remainder independently represents  $C_1$ - $C_{10}$ alkyl,  $R^{16}$ C<sub>1</sub>- $R^{17}$ R<sup>18</sup>N-,  $R^{19}$ S, or  $O_2$ N-, Ar represents an aromatic group having 6 to 14 carbon atoms, and B represents a residue of an optically active amine selected from the group consisting of 1-(phenyl)ethylamine, 1-( $\alpha$ -napnthyl)ethylamine, 1-phenyl-2-(methyl)ethylamine, 1-phenyl-2-aminopropane, and brucine

6 An organic nonlinear optical substance as claimed in claim 1, wherein, in the formula (I), R1 represents H, A represents  $Z^1$  Ar-,  $Z^1$  represents H-, R5R6N-, R7O-, R8S-, NC-, O2N-, R9OCO-, R10COO-, R11R12NOC-, R13CO(R14)N-, or R15-, Ar represents an aromatic ring having 6 to 14 carbon atoms, and B represents -NR4Y.

7. An organic nonlinear optical substance as claimed in claim 1, wherein, in the formula (I), R<sup>1</sup> represents H, A represents Z<sup>1</sup>-Ar-, Z<sup>1</sup> represents R<sup>5</sup>R<sup>6</sup>N-, R<sup>7</sup>O-, R<sup>8</sup>S-, NC, R<sup>9</sup>OCO-, R<sup>10</sup>COO-, R<sup>11</sup>R<sup>12</sup>NOC, R<sup>13</sup>CO(R<sup>14</sup>)N-, or R<sup>15</sup> Ar represents an aromatic group having 6 to 14 carbon atoms, and B represents -OH- Amine\* wherein Amine\* represents an optically active  $\alpha$ -amino acid or the derivative thereof.

8. An organic nonlinear optical substance as claimed in claim 1, wherein, in the formula (I), R1 represents H, A represents

$$\begin{array}{ccc}
z^{2} \\
z^{3} \\
z^{4}
\end{array}$$

where one of Z<sup>2</sup>, Z<sup>3</sup>, and Z<sup>4</sup> represents H or substituted C<sub>1</sub>-C<sub>8</sub> alkyl, the remainder of Z<sup>2</sup>, Z<sup>3</sup>, and Z<sup>4</sup> represents together methylene dioxy group wherein the dioxy groups are bonded to the adjacent positions of Ar, Ar represents an aromatic group having 6 to 14 carbon atoms, and B represents -OH-Amine\* wherein Amine\* represents an optically active amine selected from the group consisting of 1-(phenyl)ethylamine, 1-(α-naphthyl)ethylamine, 1-phenyl-2-(methyl)ethylamine, 1-phenyl-2-aminopropane, brucine, 2-amino-1-butanol, 1-amino-2-propanol, 2-amino-1-propanol, 2-amino-1-(p-nitrophenyl)-1,3-propanediol, 2-dimethylamino-1-phenyl-1-benzyl-1-propanol, 1-(N,N-dimethylamino)-1-phenyl-propylamine, and a residue of an optically active α-amino acid and the derivative thereof; or -NR<sup>4</sup>Y.

9. An organic nonlinear optical substance as claimed in claim 1, wherein, in the formula (I),  $R^1$  represents H or  $CH_3$ , A represents  $R^2$  where  $R^2$  represents H or an alkyl group having 1 to 12 carbon atoms, and B represents -OH Amine\* wherein Amine\* represents an optically active amine selected from the group consisting of 1-(phenyl)ethylamine, 1-( $\alpha$ -naphthyl)ethylamine, 1-phenyl-2-methylethylamine, 1-phenyl-2-aminopropane, brucine; 2-amino-1-butanol, 1-amino-2-propanol, 2-amino-1-propanol, 2-amino-1-(p-nitrophenyl)-1,3-propanediol, 2-dimethylamino-1-phenyl-1-benzyl-1-propanol, 1-(N-dimethylamino)-1-phenyl-propylamine, and a residue of an optically active  $\alpha$ -amino acid and the derivative thereof.

10. An organic nonlinear optical substance as claimed in claim 1, wherein, in the formula (I), R1 represents H, A represents

$$(z^5)_r \stackrel{C}{\underset{C}{+}} \stackrel{C}{\underset{C}{+}} ,$$

and B represents -OH-Amine\* wherein Amine\* represents an optically active amine selected from the group consisting of 1-phenylethylamine, 1-α-naphthylamine, 1-phenyl-2-methylethylamine, 1-phenyl-2-aminopropane, brucine, 2-amino-1-butanol, 1-amino-2-propanol, 2-amino-1-propanol, 2-aminol-(p-nitrophenyl)-1,3-propanediol, 2-dimethylamino-1-phenyl-1-benzyl-1-propanol, 1-(N,N-dimethylamino)-1-phenyl-propylamine, and a residue of an optically active α-amino acid and the derivatives thereof; or NR4Y.

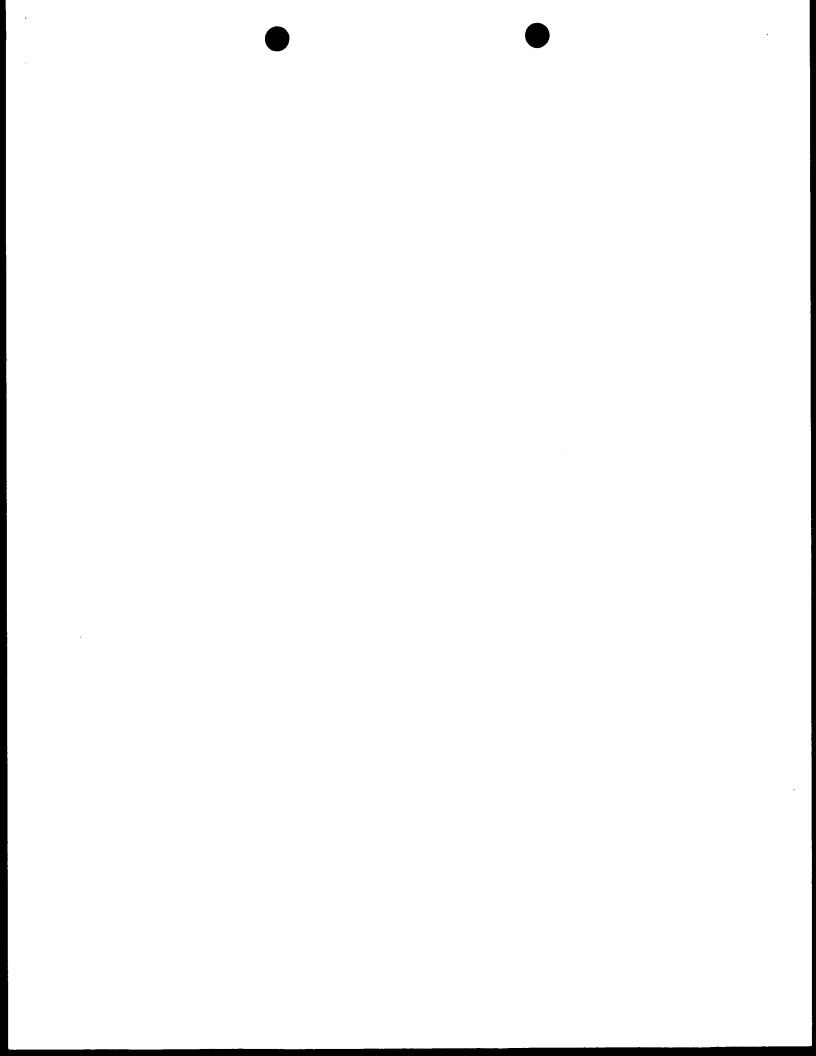
11. An organic nonlinear optical substance as claimed in claim 1, wherein, in the formula (I), R1 represents H, A represents

$$z^2$$
 $z^3$ 
 $z^4$ 
Ar

wherein one of  $Z^2$ ,  $Z^3$ , and  $Z^4$  represents H- and the remainder independently represents  $C_1$ - $C_{10}$ alkyl, R<sup>18</sup>O-, R<sup>17</sup>R<sup>18</sup>N-, R<sup>19</sup>S, or O<sub>2</sub>N-, Ar represents an aromatic group having 6 to 14 carbon atoms, and B represents -OH-Amine\* wherein Amine\* represents an optically active  $\alpha$ -amino acids or the derivatives thereof.

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## (F)

# EUROPEAN PATENT APPLICATION

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- Organic nonlinear optical substance.
- (5) An organic nonlinear optical substance having the formula (1):

$$A \leftarrow CR^{1} = CH \rightarrow_{\overline{n}} CH = C - C - B \quad (I)$$

wherein R¹ represents -H or -CH₅; n is 0, 1, or 2; A represents Z¹-Ar,

$$z^{2}$$
 $z^{3}$ 
 $z^{4}$ 
Ar-,  $z^{2}$ -, or  $(z^{5})$ 
 $z^{11}$ 
 $z^{11}$ 
 $z^{11}$ 
 $z^{11}$ 

wherein Ar represents a 6 - 14 membered aromatic group including a heterocyclic ring or bisphenylene type

ring: Z¹ represents H-, R² R⁵ N-, R² O-, R¢S-, NC-, R³ OCO-, R¹³ COO-, O₂N-, R¹¹ R¹² NOC, R¹³ CO(R¹²)N-, or R¹⁵ -; Z², Z³, and Z⁴ independently represent H-, a C₁-C٤ alkyl-, R¹6 C-, R¹² R¹⁵ N, R¹⁵ S-, O₂N-, or two R¹€ being, in combination, R²° CH ; R² represents H- or a C₁-C₁₂ alkyl; R⁵ to R²⁵ independently represent H-, or a C₁-C₁₀ hydrocarbon residue; Z⁵ independently represents H-, a C₁-C٤ saturated hydrocarbon residue, O₂N-, R²¹O-, R²²S-, NC-, or R²³R²⁴N- wherein R²¹ to R²⁴ independently represent H or a C₁-C٤ saturated hydrocarbon residue; X represents -S-, -O-, or >NR²⁵; r is 0 or an integer of 1 to 3; and R²⁵ represents H or a hydrocarbon group having 1 to 8 carbon atoms;

B represents -OH\*Amine\* where Amine\* represents an optically active amine; -OR3 where R3 represents a  $C_{12}$ - $C_{25}$  linear hydrocarbon residue; -NR4Y where R4 represents -H or a single bond; Y represents

where p is 0 or 1; Q1, Q2, and Q3 are different and represent -H, a  $C_1$ - $C_5$  alkyl, phenyl, naphthyl, -OH, -CH<sub>2</sub>OH, -COOR<sup>25</sup>. -CNR<sup>26</sup>R<sup>27</sup>, a residue of an  $\alpha$ -amino acid from which an amino group is removed, where to independently represent -H or -C<sub>1</sub>- $C_8$  hydrocarbon residue; or -CQ<sup>4</sup>Q<sup>5</sup>Q<sup>6</sup> where Q<sup>4</sup>, Q<sup>5</sup> and Q<sup>6</sup> are different and Q<sup>4</sup> and Q<sup>5</sup> are as defined for Q1, Q<sup>2</sup> and Q<sup>3</sup> and Q<sup>6</sup> represent

of which one bond is linked to the bond of R4 where c an integer of 1 to 4.



\_\_\_\_\_ EP 89303013.0

	DOCUMENTS CONSI	DERED TO BE RELEV	ANT	EP 89303013.0
Category	Citation of document with	indication where appropriate, intipassages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (INC. C. 4.)
	DE - A - 1 443 (GAF) * Page 6, li claims *	nes 3-17;	1	C 07 C 121/70 C 07 C 121/411 C 07 C 121/75 C 07 D 209/18 C 07 D 207/33 C 07 D 307/54
	US - A - 3 174 (A.F. STROBEL e * Examples 3	937 t al.) -6; claim 1 *	1	C 07 D 317/60 C 07 D 333/24 G 02 F 1/35
	US - A - 3 523 (A:F. STROBEL e * Examples 1 lines 25-4	t al.) ,8; column 2,		
<b>A</b>	DE - B - 1 443 (GAF) * Example 2		1	
				TECHNICAL FIELDS SEARCHED (INI. CF 4)
				C 07 C 255/00 C 07 D 207/00 C 07 D 209/00 C 07 D 307/00 C 07 D 333/00
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